Spin Hall Effect and Optical Detection in Semiconductor Quantum Wells

Kai Chang SKLSM, Institute of Semiconductors, Chinese Academy of Sciences, Beijing, China



28 March 2008, PKU, Beijing, China

Outline

- Introduction
- Nonlinear Rashba model and Spin Relaxation
- Spin Hall Effect in narrow band-gap QW
- Directly optical detection of pure spin current
- Conlusions

Collaborators

W. Yang, J. T. Liu, Z. Zhang, J. Li (SKLSM, IOS) Prof. S. C. Zhang (Stanford University)

Acknowledgments: NSFC Grant No. 60525405 and The innovation project of knowledge from CAS

Introduction...







- 1. Power consumption---heat
- 2. Quantum effect

Possible solution: Spintronics Limits: Room temperature spin injection and relaxation

Manipulation of spin in Semiconductors

1, sp-d exchange interaction: DMS, e.g., GaMnAs 2, Laser pulse: **Optical Stark effect** 3, Relativistic effect: **Spin-orbit interaction**

Datta-Das transistor, APL(1990)

HH

DMS $\langle H_0 \hat{z} \rangle$ (a) Θ_{Tip} σ^+ pump ΗH $H_{Stark}\hat{x}$

Optical Stark effect ΔE_{stark} CB

Progress of spintronics

Milestones

Origin of Rashba spin-orbit coupling

Symmetry

Spin-orbit interactions

E. I. Rashba, JETP 1961

Rashba SOI Structural asymmetry (SIA) G. Dresselahus, Phys Rev 1955 **Dresselhaus SOI** Crystal inversion asymmetry(**BIA**)

$$H_{R} \mid \zeta \sqrt[\mu]{\omega} \Delta \overline{k} \sqrt[\mu]{\hat{z}} \mid \zeta / \omega_{x} k_{y} 4 \omega_{y} k_{x} 0$$

$$\overline{k} \mid k_{x} \hat{x} 2 k_{y} \hat{y} 2 k_{z} \hat{z}$$

R. Winkler, Spin-Orbit Coupling Effects in Two-Dimensional Electron and Hole System, Springer ,2003

Linear Rashba model

Linear Rashba model

$$H_{R} \mid \zeta \sqrt[\mu]{\omega} \Delta \overline{k} \sqrt[\beta]{\hat{z}} \mid \zeta / \omega_{x} k_{y} 4 \omega_{y} k_{x} 0$$

$$\overline{k} \mid k_{x} \hat{x} 2 k_{y} \hat{y} 2 k_{z} \hat{z}$$

$$\Delta E = 2\alpha k_{\rm H}$$

R. Winkler and U. Rössler, PRB 48, 8918 (1993)

E. A. de Andrada e Silva et al., PRB 55, 16293 (1997)

- R. Winkler and U. Rössler, PRB 62, 4245 (2000)
- S. Lamari, PRB 64, 245340 (2001)

.

Gate Control of Spin-Orbit Interaction in an Inverted

Junsaku Nitta, Tatsushi Akazaki, and Hideaki Takayanagi

NTT Basic Research Laboratories, 3-1 Wakamiya, Morinosato, Atsugi-shi, Kanagawa 243-01, Japan

Takatomo Enoki

NTT System Electronics Laboratories, 3-1 Wakamiya, Morinosato, Atsugi-shi, Kanagawa 243-01, Japan (Received 23 July 1996)

Effective-mass theory

Effective mass k·p theory

the Bloch functions $e^{i \mathbf{k} \cdot \mathbf{r}} \hat{u}_{\nu \mathbf{k}}(\mathbf{r}) \equiv e^{i \mathbf{k} \cdot \mathbf{r}} \langle \mathbf{r} | \nu \mathbf{k} \rangle$

$$\left[\frac{p^2}{2m_0} + V_0(\boldsymbol{r})\right] e^{i\boldsymbol{k}\cdot\boldsymbol{r}} u_{\nu\boldsymbol{k}}(\boldsymbol{r}) = E_{\nu}(\boldsymbol{k}) e^{i\boldsymbol{k}\cdot\boldsymbol{r}} u_{\nu\boldsymbol{k}}(\boldsymbol{r}) .$$

$$\left[\frac{p^2}{2m_0} + V_0 + \frac{\hbar^2 k^2}{2m_0} + \frac{\hbar}{m_0} \boldsymbol{k} \cdot \boldsymbol{p}\right] |\nu \boldsymbol{k}\rangle = E_{\nu}(\boldsymbol{k}) |\nu \boldsymbol{k}\rangle$$

Considering SOI

$$\begin{split} & [\frac{p^{2}}{2m_{0}} 2 V_{0} 2 \frac{k^{2}}{2m_{0}} 2 \frac{\hbar}{m_{0}} k \notin \phi 2 \frac{\hbar}{4m_{0}^{2}c^{2}} p \notin (\omega \Delta \subseteq V)] |nk\rangle | E_{n} |nk\rangle, \\ & \text{where} \quad \phi \mid p 2 \frac{\hbar}{4m_{0}^{2}c^{2}} \omega \Delta \subseteq V_{0}, \\ & |nk\rangle \mid \sum_{\tau, \omega \mid \Rightarrow \Leftrightarrow} c_{\tau, \omega'} |nk_{0}\rangle \cup |\omega'\rangle \end{split}$$

Effective-mass theory

Effective mass k·p theory

$$\frac{1}{\tau \cdot \omega} \left[\left[\left(E_{\tau}(0) 2 \frac{k^{2}}{2m_{0}} \right] \iota_{\tau\tau} \iota_{\omega\omega} 2 \frac{\hbar}{m_{0}} \vec{k} \notin \vec{P}_{\tau\tau' \omega\omega'} 2 \div_{\tau\tau' \omega\omega'} c_{n\tau'\omega'}(k) + E_{n}(k)c_{n\tau'\omega'}(k), \right] \right]$$
where $P_{\tau\tau' \omega\omega'} + \left\langle \tau \omega \right| \phi \left| \tau' \omega' \right\rangle,$

$$\div_{\tau\tau' \omega\omega'} + \frac{\hbar}{4m_{0}^{2}c^{2}} \left\langle \tau \omega \right| p \notin (\omega \Delta \subseteq V) \left| \tau' \omega' \right\rangle,$$
The envelope function approximation
$$\Phi(r) + \frac{1}{\tau'\omega'} \left[\left(E_{\tau'}(0) 2 \frac{k^{2}}{2m_{0}} \right\} \iota_{\tau\tau'} \iota_{\omega\omega'} 2 \frac{\hbar}{m_{0}} \vec{k} \notin \vec{P}_{\tau\tau' \omega\omega'} 2 \div_{\tau\tau' \omega\omega'} \left| E_{n}(k) \cdot \cdot \cdot t_{\tau\omega'}(r) \right| E_{n}(k) \cdot \cdot t_{\tau\omega'}(r),$$

Origin of Rashba spin-orbit coupling

PHYS. REV. B 73, 113303 (2006) W. Yang and Kai Chang

v

 $H_{lh} \mid E_{v} 4V 2P 2Q;$ $H_{so} \mid E_{v} 4 \div 2V 2P.$

lines are obtained from Eqs. (3) and (5)

exact results, dashed

Origin of Rashba spin splitting

The formulism can explain why large RSS in HgTe, but small in graphene! Origin of the nonlinear behavior of RSOI!

SOI in Graphene

The spin-orbit interaction near the K point

1, C. L. Kane and E. J. Mele, Phys. Rev. Lett. 95, 226801 (2005)(Q

2, Phys. Rev. B 74, 165310 (2006) From MacDonald' group

 ς_{R} -0.0111meV under F=50V/300nm -1667kV/cm!

SOI depends on the bandgap and the atomic mass!

Anisotropy of Rashba spin splitting

Two-coefficient nonlinear Rashba model

$$\Delta \boldsymbol{E} = 2\boldsymbol{\alpha}\boldsymbol{k}_{//}$$

$$\Delta \boldsymbol{E} = \frac{2\boldsymbol{ok}_{//}}{1 + \boldsymbol{\beta k}_{//}^2}$$

GaAs/AlGaAs

InGaAs/GaAs

• Many-body effect

$$H\Phi(z) \mid E\Phi(z)$$

$$H \mid H_{K} 2V_{conf}(z) 2V_{H}(z)$$

$$\left|\left\langle \mathcal{E}_{z}^{\text{ext}}\right\rangle = \frac{e}{\epsilon\epsilon_{0}} \left[N_{A}(z_{d} - \langle z \rangle) + \frac{N_{c_{z}}}{2}\right]$$

$$\mathcal{E}_{z}^{\text{ext}}(z) = (1/e)\partial_{z}V_{H}(z) = \frac{e}{\epsilon\epsilon_{0}} \left[N_{A}(z_{d} - z) + N_{s} - \int_{-\infty}^{z} dz' \rho(z')\right]$$

Self-consistent eight-band calculation including Hatree potential We try to include the exchange-correlation interaction in the next step.

• Delta Doping In Quantum Well

Lz=12.2nm, Ne=3.47× 10¹²/cm²

• Free standing Quantum Wire

E=100(kv/cm) is added in the z direction

Family of spin relaxation mechanisms:

- D'yakonov-Perel' (DP) mechanism(SOI)
- Elliot-Yafet (EY) mechanism(SOI)
- Bir-Aronov-Pikus (BAP) mechanism(exchange)
- Hyperfine (s-d) mechanism(nuclear, magnetic ions)

D'yakonov Perel' (DP) mechanism:

• Origin: spin-orbit interaction: RSOI and DSOI

Equation of motion given by *Liouville equation*:

$$\frac{\partial \rho}{\partial t} = -\frac{i}{\hbar} [H, \rho],$$

Density matrix:

$$\boldsymbol{\varsigma}) := \begin{pmatrix} \varrho_{\uparrow\uparrow}(\mathbf{k}) & \varrho_{\uparrow\downarrow}(\mathbf{k}) \\ \varrho_{\downarrow\uparrow}(\mathbf{k}) & \varrho_{\downarrow\downarrow}(\mathbf{k}) \end{pmatrix} \quad \varrho(\mathbf{k}) \end{pmatrix}$$

Decay of the components:

J. Kainz, U. Rössler and R. Winkler, Phys. Rev. B 70, 195322 (2004); N. S. Averkiev and L. E. Golub, Phys. Rev. B 60, 15582 (1999)

- 1, diagonal elements (occupation number) T_{1;}
- 2, off-diagonal elements (decoherence) T,

H=H₀+H_{im}+H' H'=H_{SO} scattering

Comparing between widely used linear Rashba model And our nonlinear Rashba model

Anomalous SOI in InAs/GaSb QW

J. Li, Kai Chang, APL (in press)

Anomalous SOI in InAs/GaSb QW

Conclusion:

Nonlinear Rashba model can give correct behavior at large in-plane momentum. Nonlinear behavior of RSS is universal phenomenon in semiconductor nanostructures; can lead to surprising consequences, e.g., spin relaxation.

E. H. Hall, (1855-1938)

The Hall Effect

Classic Hall Effect (1879); Anomalous Hall Effect (1881); Quantum Hall Effect(1978;1982); Spin Hall Effect (1971, 2004).

What is the spin Hall effect?

Electric field induces transverse spin current due to spin-orbit coupling

Extrinsic Spin Hall Effect: impurity scattering D'yakonov and Perel' /JETP 8[>80_ Hirsch /PRL 8[[[03#Zhang /PRL 97770

Intrinsic Spin Hall Effect: band effect (SOI) Murakami, Nagaosa, Zhang, Science (2003);

J. Sinova et al (PRL 2004)

The Intrinsic Spin Hall Effect

Berry phase in momentum space Independent of impurities

The intrinsic Spin Hall effect

PRL(2003) J.Sinova, D.Culcer, Q. Niu, A. H. MacDonald

Spin Hall effect

Kubo linear response theory:

$$\frac{\sigma_{xv}^{\text{sH}}(\omega) = \frac{e\hbar}{r} \sum_{V} (f_{n',k} - f_{n,k})}{\prod_{k,n \neq n'}} \times \frac{\text{Im}[\langle n'k | \hat{j}_{\text{spin}\,x}^z | nk \rangle \langle nk | \upsilon_y | n'k \rangle}{(E_{nk} - E_{n'k})(E_{nk} - E_{n'k} - \hbar\omega - \mu)}$$

Linear Rashba Model: (PRL(2004))

$$\sigma_{\rm sH} \equiv -\frac{J_{s,y}}{E_x} = \frac{e}{8\pi}, n_{\rm 2D} > n_{\rm 2D}^*$$

$$\sigma_{\rm sH} = \frac{e}{8\pi} \frac{n_{\rm 2D}}{n_{\rm 2D}^*}, \qquad n_{\rm 2D} < n_{\rm 2D}^*$$
$$n_{\rm 2D}^* \equiv m^2 \lambda^2 / \pi \hbar^4$$

J. Inoue, G. E. Bauer and L. W. Molenkamp PRB (2004)

- 1, single parabolic band,
- 2, linear response theory,
- 3, linear Rashba model,
- 4, short-range potential, Born approximation.

Conclusions:

- 1, vanishing spin Hall effect including the vertex correction
- 2, dominant forward scattering leads to a nonvanishing SHE.

•Sinova [PRL, 2003]

Inoue

- Inoue [PRB, 2004] 1 ()
- S. Murakami [PRL (2004)]
- Raimondi [PRB, 2005]
- Dimitrova [PRB,2005]
- Krotkov [PRB, 2006]
- Inoue

(

Rashba

ι)

Rashba

• Khaetskii [PRL 2006]

Rashba

Born

SHE

Controversy Does Intrinsic SHE vanish in 2DEG and/or 2DHG

- Conclusions SHE vanishes at
- 1, Linear Rashba model,
- 2, parabolic band,
- 3, short-range impurity potential, Born approximation.

Unsolved:

PRL 100, 056602(2008)

- 1, Long-range potential? (Khaetskii 2006 SHE still vanishes!)
- 2, Beyond Born approxiamtion

The operators in the eight band Kane model

$$\phi_{1} = S \uparrow,$$

$$\phi_{2} = S \downarrow,$$

$$\phi_{3} = \left| \frac{3}{2}, \frac{3}{2} \right\rangle = \frac{1}{\sqrt{2}} (X + iY) \uparrow,$$

$$\phi_{4} = \left| \frac{3}{2}, \frac{1}{2} \right\rangle = \frac{i}{\sqrt{6}} \left[(X + iY) \downarrow -2Z \uparrow \right],$$

$$\phi_{5} = \left| \frac{3}{2}, -\frac{1}{2} \right\rangle = \frac{1}{\sqrt{6}} \left[(X - iY) \uparrow +2Z \downarrow \right],$$

$$\phi_{6} = \left| \frac{3}{2}, -\frac{3}{2} \right\rangle = \frac{i}{\sqrt{2}} (X - iY) \downarrow,$$

$$\phi_{7} = \left| \frac{1}{2}, \frac{1}{2} \right\rangle = \frac{1}{\sqrt{3}} \left[(X + iY) \downarrow +Z \uparrow \right],$$

For the operators O:

PRL 100, 056602(2008)

$$\tilde{\mathbf{O}}_{j\mathbf{k},j'\mathbf{k}'} = \mathbf{O}_{j\mathbf{k},j'\mathbf{k}'} + \sum_{l\mathbf{k}''} [\mathbf{O}_{j\mathbf{k},l\mathbf{k}''}(E-E_l)^{-1}(H_{kp})_{l\mathbf{k}'',j'\mathbf{k}'} + (H_{kp})_{j\mathbf{k},l\mathbf{k}''}(E-E_l)^{-1}\mathbf{O}_{l\mathbf{k}'',j'\mathbf{k}'}]$$

The operators in the eight band Kane model

$$[\tilde{v}_{\alpha}(\mathbf{k})]_{jj'} = \frac{p_{jj'}^{\alpha}}{m_0} + \delta_{jj'} \frac{\hbar k_{\alpha}}{m_0} + \frac{\hbar}{4m_0^2 c^2} (\boldsymbol{\sigma} \times \nabla V)_{\mu\mu'} + \frac{\hbar}{m_0^2} \sum_{\beta,l} (\frac{p_{jl}^{\alpha} p_{lj'}^{\beta}}{E - E_l} k_{\beta} + k_{\beta} \frac{p_{jl}^{\beta} p_{lj'}^{\alpha}}{E - E_l}).$$

二维电子气的二维直流自旋霍尔电导率 $\sigma^{2D}_{\alpha\beta\gamma} = \langle J^{\beta}_{\alpha} \rangle / E_{\gamma}$

$$\sigma^{3D}_{\alpha\beta\gamma} = \frac{1}{\hbar V} \lim_{\omega \to 0} \frac{e}{i\omega} \left[G^r_{AB}(\omega) - G^r_{AB}(0) \right]$$

PRL 100, 056602(2008)

We adopt:

- 1 **8band Hamiltonian** in axial approximation ($v_2 = v_3$)
- 2 Green function: self-consistent Born approximation

$$\overset{O}{\swarrow} = \overset{\land}{\underset{g}{\swarrow}}$$

Bethe-Salpeter equation

Spin Hall effect

Intrinsic spin Hall conductivity ω_{sH} with vertex correction

Spin Hall effect

Conclusions:

- Unified description of Spin Hall effect;
- Switching of SHE utilizing the external electric field;
- Could provides us a possible way to distinguish extrinsic and intrinsic Spin Hall effects.

Optical detection of spin current

Pure spin current: the spin-up and spin-down electron currents have equal magnitudes but travel in opposite directions vanishing charge current and total spin No conventional magneto-optical effects

Asymmetric distribution in k space f(-k)=f(+k) = 0

Transition rate of QUIC: W(-k)=0, W(+k) 0

Theory:

The Luttinger-Kohn Hamiltonian:

$$H_{h}(k,\theta,\varphi) = \frac{\hbar^{2}k^{2}}{2m_{0}} \begin{pmatrix} H_{h} & L & M & 0 \\ L^{*} & H_{l} & 0 & M \\ M^{*} & 0 & H_{l} & -L \\ 0 & M^{*} & -I^{*} \sqrt{H_{y}} \end{pmatrix}_{\mathcal{M}^{*}} - \frac{\hbar^{2}k^{2}}{2m_{0}}\gamma_{1}$$

where

$$H_{h} = -\gamma_{2} \sin^{2} \theta + 2\gamma_{2} \cos^{2} \theta$$
$$H_{l} = +\gamma_{2} \sin^{2} \theta - 2\gamma_{2} \cos^{2} \theta = -H_{h}$$
$$M = -\sqrt{3}\gamma_{2} \sin^{2} \theta e^{-2i\varphi}$$
$$L = i2\sqrt{3}\gamma_{2} \cos \theta \sin \theta e^{-i\varphi}$$

The Valkov-type solution

$$\dots_{c,v}(\mathbf{k},r,t) \mid u_{c,v}(\mathbf{k},r) \exp[i\mathbf{k} \notin \mathbf{r} \, 4 \, i \, \overline{\mathcal{O}}_{c,v}(\mathbf{k})t \, 2 \, \frac{ie}{m_{c,v}} \Big|_{0}^{t} \mathbf{k} \notin A(\vartheta) \, d\vartheta]$$

The transition rate is calculated using Fermi golden rule:

$$S \mid 4\frac{i}{\hbar} \Big|_{4\leftarrow}^{\leftarrow} dt^{\mathbb{N}} \Big| d^{3}r... 1_{c} (\mathbf{k}, r, t^{\mathbb{N}}) \frac{4e}{m_{0}c} A \notin P..._{v} (\mathbf{k}^{\mathbb{N}}, r, t^{\mathbb{N}})$$

The transition rate

$$w/\mathbf{k} = \lim_{t \downarrow \leftarrow} \frac{d}{dt} |S|^{2}$$

$$|\{ \bigotimes_{\mathbb{W}} \frac{\xi_{1}}{2} \int_{-\infty}^{2} |\mathbf{p}_{vc} \notin \mathbf{a}_{1}|^{2} A_{1}^{2} 2 |\mathbf{p}_{vc} \notin \mathbf{a}_{2}|^{2} A_{2}^{2} 2 A_{1}A_{2} \frac{\xi_{1}}{2}$$

$$\forall \mathbf{p}_{vc} \notin \mathbf{a}_{1} \theta^{1} / \mathbf{p}_{vc} \notin \mathbf{a}_{2} \theta \exp i(2\pi_{1} 4 \pi_{2}) 2 / \mathbf{p}_{vc} \notin \mathbf{a}_{1} \theta / \mathbf{p}_{vc} \notin \mathbf{a}_{2} \theta^{1} \exp i(42\pi_{1} 2 \pi_{2}) \beta$$

where

$$\xi_1 \mid \frac{eA_1}{\varpi cm_{cv}} \mathbf{k} \notin \vec{a}_1, \frac{1}{m_{cv}} \mid \frac{1}{m_c} 4 \frac{1}{m_v}$$

Consider ϖ and 2ϖ beams are polarized along the x direction, the electric fields are given by

 $\mathbf{E}/\boldsymbol{\varpi} \| E/\boldsymbol{\varpi} e^{i\pi_1} \hat{x}$ $\mathbf{E}/2\boldsymbol{\varpi} \| E/2\boldsymbol{\varpi} e^{i\pi_2} \hat{x} \| E/2\boldsymbol{\varpi} e^{i\pi_2} \frac{\psi}{\hat{x}} 2 i\hat{y} \| 2/\hat{x} 4 i\hat{y} \|$ Magneto-optical Effects: Faraday Rotation

$$\theta_F(\omega) = \frac{\omega}{c} Re(N_+ - N_+)$$

$$N_{+} - N_{-} \propto W_{+}(+k) + W_{+}(-k) - W_{-}(+k) - W_{-}(-k)$$

$$= \underbrace{-\underbrace{\left\{ -\frac{f_{-}}{2} + \frac{f_{-}}{2} + \frac{f_{-}}{$$

If fd=fu (Pure spin current) Conventional Magneto-optical Effect vanishes, but FR of QUIP appears

Contour map of the Faraday rotation angle $\chi_F/\sigma rad0$ as a function of transition energy and the relative phase of the two fields $2\pi_8 4\pi_9$. The pure spin carriers is along the k_x direction.

Contour map of the Faraday rotation angle $\chi_F/\sigma rad0$ #as a function of transition energy and the polar angle χ of the direction of pure spin carriers for $2\pi_8 4\pi_9$ |7.

Contour map of the Faraday rotation angle $\chi_F/\sigma rad0$ as a function of transition energy and the polar angle π of the direction of pure spin carriers for $2\pi_8 4\pi_9 | 7$ and $\chi=90$.

Conclusions:

Quantum interference Faraday rotation provides us a possible way to detect spin current directly, and help us to distinguish extrinsic and intrinsic Spin Hall effects.

Thank you for your attention!