

Berry Phase Effects on Electronic Properties

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Outline

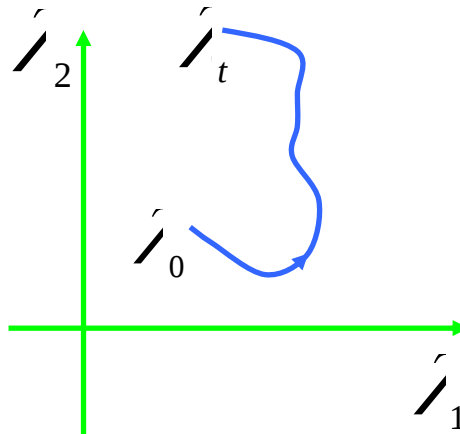
- Berry phase and its applications
- Anomalous velocity
- Anomalous density of states
- Graphene without inversion symmetry
- Nonabelian extension
- Quantization of semiclassical dynamics
- Conclusion



Berry Phase

In the adiabatic limit: $\Psi(t) = \psi_n(\lambda(t)) e^{-i \int_0^t dt \mathcal{E}_n / \hbar} e^{-i \gamma_n(t)}$

Geometric phase: $\gamma_n = \int_{\lambda_0}^{\lambda_t} d\lambda \langle \psi_n | i \frac{\partial}{\partial \lambda} | \psi_n \rangle$

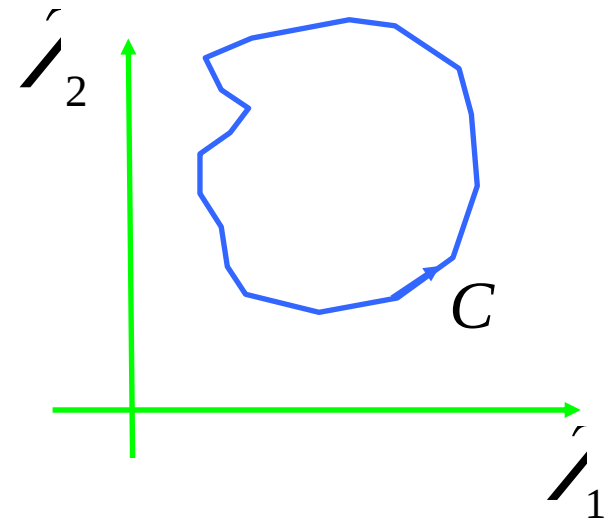


Well defined for a closed path

$$\gamma_n = \oint_C d\lambda \langle \psi_n | i \frac{\dot{C}}{\partial \lambda} | \psi_n \rangle$$

Stokes theorem

$$\gamma_n = \iint d\lambda_1 d\lambda_2 \Omega$$



Berry Curvature

$$\Omega = i \frac{\dot{C}}{\partial \lambda_1} \langle \psi | \frac{\dot{C}}{\partial \lambda_2} | \psi \rangle - i \frac{\partial}{\partial \lambda_2} \langle \psi | \frac{\dot{C}}{\partial \lambda_1} | \psi \rangle$$

Analogy

Berry curvature

$$\Omega(\vec{\lambda})$$

Berry connection

$$\langle \psi | i \frac{\partial}{\partial \vec{\lambda}} | \psi \rangle$$

Geometric phase

$$\oint d\vec{\lambda} \langle \psi | i \frac{\partial}{\partial \vec{\lambda}} | \psi \rangle = \iint d^2 \vec{\lambda} \Omega(\vec{\lambda})$$

Chern number

$$\iint d^2 \vec{\lambda} \Omega(\vec{\lambda}) = \text{integer}$$

Magnetic field

$$B(\vec{r})$$

Vector potential

$$A(\vec{r})$$

Aharonov-Bohm phase

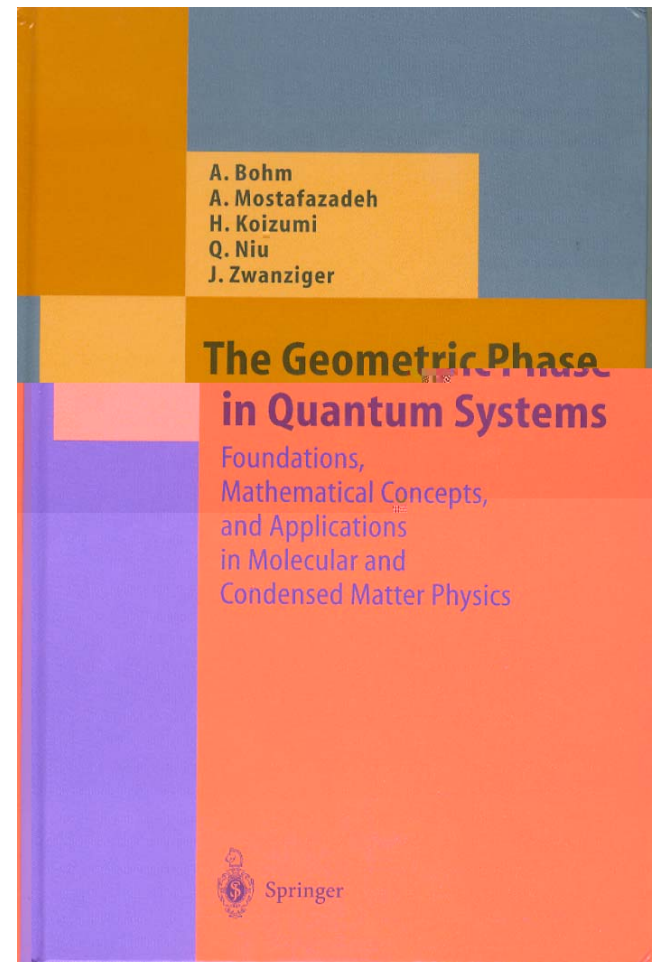
$$\oint d\vec{r} A(\vec{r}) = \iint d^2 r B(\vec{r})$$

Dirac monopole

$$\iint d^2 r B(\vec{r}) = \text{integer } h/e$$

Applications

- **Berry phase**
interference,
energy levels,
polarization in crystals
- **Berry curvature**
spin dynamics,
electron dynamics in Bloch bands
- **Chern number**
quantum Hall effect,
quantum charge pump



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Semiclassical Equations of Motion

space



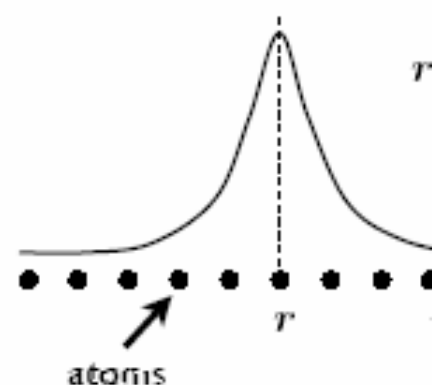
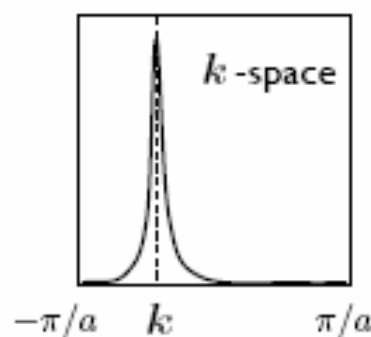
either time-reversal
symmetry is broken



$$\nabla_{\mathbf{k}} u_n(\mathbf{k})$$

, Y. Yao, e

Wave-packet
Dynamics
(r, k)



G. Sundaram and Q. Niu, PRB **59**, 14915 (1999)

$$\dot{r} = \frac{\partial \varepsilon_n(\mathbf{k})}{\hbar \partial \mathbf{k}} - \dot{\mathbf{k}} \times \Omega_n(\mathbf{k})$$

$$\hbar \dot{\mathbf{k}} = -e\mathbf{E}(\mathbf{r}) - e\dot{\mathbf{r}} \times \mathbf{B}(\mathbf{r})$$

Berry Curvature

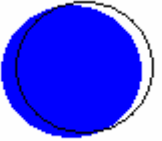
$$\Omega_n(\mathbf{k}) = i \langle \nabla_{\mathbf{k}} u_n(\mathbf{k}) | \times | \nabla_{\mathbf{k}} u_n(\mathbf{k}) \rangle$$

Nonzero if e
or inversion

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ce, **302**, 92 (2003)

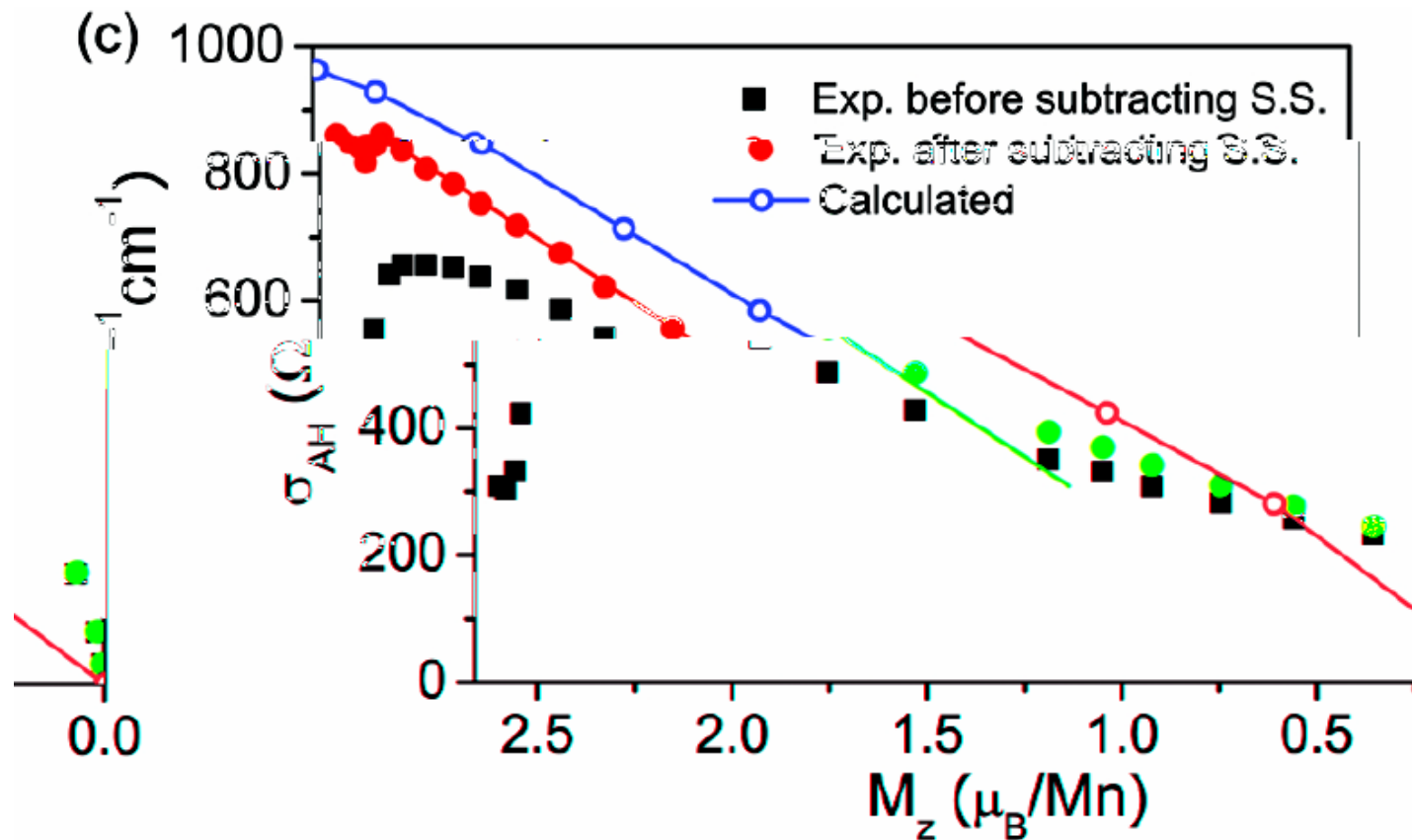
Anomalous Hall effect

- velocity $\dot{\mathbf{x}} = \frac{\partial \mathcal{E}}{\partial \mathbf{k}} + e \mathbf{E} \times \boldsymbol{\Omega},$
- distribution $g(\mathbf{k}) = f(\mathbf{k}) + \delta f(\mathbf{k})$ 
- current $-e^2 \mathbf{E} \times \int d^3 \mathbf{k} f(\mathbf{k}) \boldsymbol{\Omega} - e \int d^3 \mathbf{k} \delta f(\mathbf{k}) \frac{\partial \mathcal{E}}{\partial \mathbf{k}}$

Intrinsic

Recent experiment

Mn₅Ge₃ : Zeng, Yao, Niu & Weitering, PRL 2006



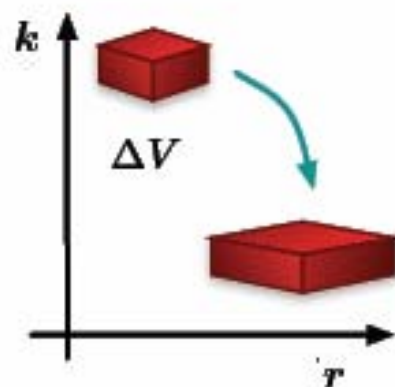
Intrinsic AHE in other ferromagnets

- Semiconductors, $\text{Mn}_x\text{Ga}_{1-x}\text{As}$
 - Jungwirth, Niu, MacDonald , PRL (2002)
- Oxides, SrRuO_3
 - Fang et al, Science , (2003).
- Transition metals, Fe
 - Yao et al, PRL (2004)
 - Wang et al, PRB (2006)
- Spinel, $\text{CuCr}_2\text{Se}_{4-x}\text{Br}_x$
 - Lee et al, Science, (2004)

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Phase Space Density of States



Evolution of a phase space volume

$$\frac{1}{\Delta V} \frac{d\Delta V}{dt} = \nabla_{\mathbf{r}} \cdot \dot{\mathbf{r}} + \nabla_{\mathbf{k}} \cdot \dot{\mathbf{k}}$$

$$\Delta V = \Delta V_0 / (1 + \frac{e}{\hbar} \mathbf{B} \cdot \boldsymbol{\Omega}_n)$$

Liouville's theorem breaks down

Density of States

$$D_n(\mathbf{r}, \mathbf{k}) = (2\pi)^{-d} (1 + \frac{e}{\hbar} \mathbf{B} \cdot \boldsymbol{\Omega}_n)$$

Thermal dynamic quantity

$$\bar{Q} = \sum_n \int d\mathbf{k} D_n(\mathbf{k}) f_n(\mathbf{k}) Q_n(\mathbf{k})$$

(homogenous system)

Definition:

$$M = - \left(\frac{\partial F}{\partial \mathbf{B}} \right)_{\mu, T}$$

Free energy:

$$\begin{aligned} F &= -\frac{1}{\beta} \sum_{\mathbf{k}} \log(1 + e^{-\beta(\tilde{\varepsilon} - \mu)}) \\ &= -\frac{1}{\beta} \int \frac{d\mathbf{k}}{(2\pi)^3} \left(1 + \frac{e}{\hbar} \mathbf{B} \cdot \boldsymbol{\Omega} \right) \log(1 + e^{-\beta(\tilde{\varepsilon} - \mu)}) \end{aligned}$$

Our Formula:

$$\begin{aligned} &= -\frac{1}{\beta} \int \frac{d\mathbf{k}}{(2\pi)^3} \log(1 + e^{-\beta(\varepsilon - \mu)}) \\ &\quad + \frac{1}{\beta} \int \frac{d\mathbf{k}}{(2\pi)^3} \frac{e}{\hbar} \boldsymbol{\Omega}(\mathbf{k}) \log(1 + e^{-\beta(\varepsilon - \mu)}) \end{aligned}$$

Anomalous Thermoelectric Transport

- Berry phase correction

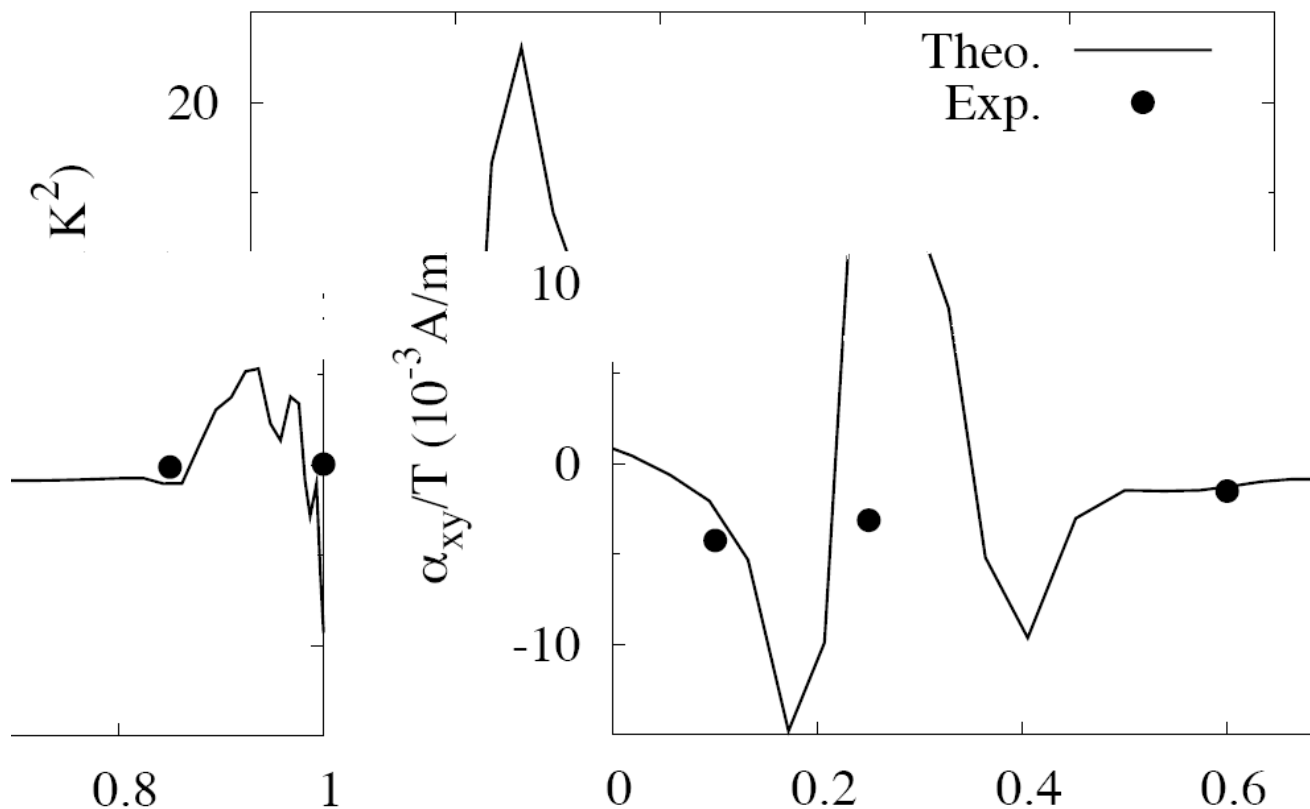
$$\begin{aligned} \mathbf{M} &= \int d\mathbf{k} f(\mathbf{k}) \mathbf{m}(\mathbf{k}) + k_B T \int d\mathbf{k} \frac{e}{\hbar} \boldsymbol{\Omega} \log(1 + e^{-\beta(\epsilon - \mu)}) \\ &= \mathbf{M}_{\text{moment}} + \mathbf{M}_{\text{free}} \end{aligned}$$

- Thermoelectric transport

$$\mathbf{j}^{\text{tr}} = -e \int d\mathbf{k} g(\mathbf{r}, \mathbf{k}) \dot{\mathbf{r}} - \nabla \times \mathbf{M}_{\text{free}}$$

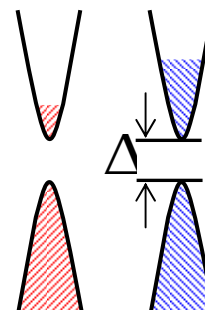
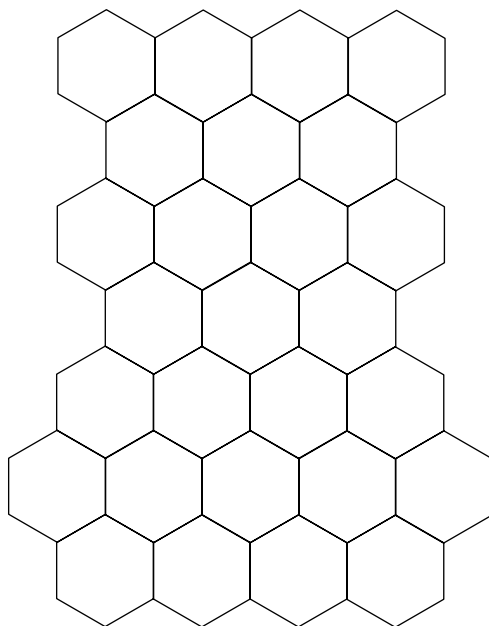
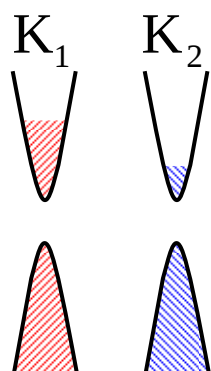
Anomalous Nernst Effect in $\text{CuCr}_2\text{Se}_{4-x}\text{Br}_x$

Lee, *et al*, Science 2004; PRL 2004, Xiao et al, PRL 2006



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Degenerate bands

- Internal degree of freedom: η
- Non-abelian Berry curvature: $\mathcal{F}$
- Useful for spin transport studies

$$\begin{aligned}\hbar \dot{\mathbf{k}}_c &= -e(\mathbf{E} + \dot{\mathbf{r}}_c \times \mathbf{B}), \\ \hbar \dot{\mathbf{r}}_c &= \eta^\dagger \left[\frac{D}{D\mathbf{k}}, \mathcal{H} \right] \eta - \hbar \dot{\mathbf{k}}_c \times \eta^\dagger \mathcal{F} \eta, \\ i\hbar \frac{D\eta}{Dt} &= \mathcal{H} \eta.\end{aligned}$$

Cucler, Yao & Niu, PRB, 2005

Shindou & Imura, Nucl. Phys. B, 2005

Chuu, Chang & Niu, 2006

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Quantization by Canonization

- Physical variables are not canonical
 - because of Berry curvature and magnetic field
- Canonical variables
 - Generalization of Peierls substitution
 - Gauge dependent

$$\begin{aligned}\mathbf{r} &= \mathbf{r}_c - \mathbf{R}(\mathbf{k}_c) - \mathbf{G}(\mathbf{k}_c), \\ \mathbf{p} &= \hbar \mathbf{k}_c - e \mathbf{A}(\mathbf{r}_c) - \frac{e}{2} \mathbf{B} \times \mathbf{R}(\mathbf{k}_c),\end{aligned}$$

$$\text{where } G_\alpha \equiv 1/2(\partial \mathbf{R} / \partial k^\alpha) \cdot (\mathbf{R} \times \mathbf{B}).$$

M.C. Chang and QN (2007)

Effective Hamiltonian

- Wavepacket energy

$$H(\mathbf{r}_e, \mathbf{k}_e) = E_0(\mathbf{k}_e) - e\phi(\mathbf{r}_e) + \frac{e}{2m_2} \mathbf{B} \cdot \mathcal{L}(\mathbf{k}_e)$$

- Energy in canonical variables

Peierls substitution

$$\pi = \mathbf{p} + e\mathbf{A}(\mathbf{r})$$

Spin-orbit

$$H(\mathbf{r}, \mathbf{p}) = E_0(\pi) - e\phi(\mathbf{r}) + e\mathbf{E} \cdot \mathbf{R}(\pi) + \frac{e}{2m_2} \mathbf{B} \cdot \mathcal{L}(\pi) + 2\mathbf{R}(\pi) \times \mathbf{p} \times \mathbf{R}(\pi)$$

- Quantum theory

$$[r, p] = i\hbar/2\pi$$

Spin & orbital moment

Yafet term

Applications

- Dirac bands:
Reproduces Pauli Hamiltonian
with spin-orbit coupling
- Semiconductor bands

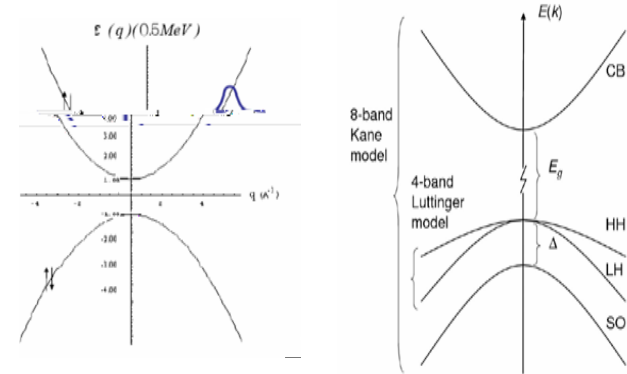


TABLE I: Berry connection, Berry curvature, and orbital angular momentum of the wavepacket in three disjoint subspaces of the 8-band Kane model. Only the leading order (in k) terms are shown. E_g and Δ are the conduction-valence band gap and the spin-orbit gap, σ and $\mathbf{J}$ are the spin-1/2 and spin-3/2 angular momentum matrices, and $V = \hbar \langle S | p_x | X \rangle / m_0$.

	conduction band	HH-LH band	split-off band
$\mathcal{R}$	$\frac{V^2}{3} \left[\frac{1}{E_g^2} - \frac{1}{(E_g + \Delta)^2} \right] \sigma \times \mathbf{k}$	$-\frac{V^2}{3E_g^2} \mathbf{J} \times \mathbf{k}$	$-\frac{V^2}{3} \frac{1}{(E_g + \Delta)^2} \sigma \times \mathbf{k}$
$\mathcal{F}$	$\frac{2V^2}{3} \left[\frac{1}{E_g^2} - \frac{1}{(E_g + \Delta)^2} \right] \sigma$	$-\frac{2V^2}{3E_g^2} \mathbf{J}$	$-\frac{2V^2}{3} \frac{1}{(E_g + \Delta)^2} \sigma$
$\mathcal{L}$	$-\frac{2m_0}{3\hbar} V^2 \left(\frac{1}{E_g} - \frac{1}{E_g + \Delta} \right) \sigma$	$-\frac{2m_0}{3\hbar} \frac{V^2}{E_g} \mathbf{J}$	$-\frac{2m_0}{3\hbar} \frac{V^2}{E_g} \sigma$

Extension of 4-band Luttinger model:

$$H(\mathbf{r}, \mathbf{p}) = E_0(\pi, \mathbf{J}) - e\phi(\mathbf{r}) + \alpha_H \mathbf{E} \cdot \mathbf{J} \times \pi + 2\kappa \mu_B \mathbf{B} \cdot \mathbf{J}$$

Conclusion

Berry phase

A unifying concept with many applications

Anomalous velocity

Hall effect from a 'magnetic field' in k space.

Anomalous density of states

Berry phase correction to orbital magnetization
anomalous thermoelectric transport

Graphene without inversion symmetry

valley dependent orbital moment
valley Hall effect

Nonabelian extension for degenerate bands

Quantization of semiclassical dynamics

Physical variables are non-canonical
Generalized Peierls substitution

Take home message

**To account all effects linear in E & B ,
it is necessary and sufficient to know
the Berry curvature and orbital moment.**

Let's calculate their band structures!