

# Short-range In-segregation in InGaN and InAlN. Band structure and light emission related effects .



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**theory:** *'Unipress'*

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**Experiment:**

## Outline

Info about UNIPRESS (and TopGaN) concerning nitride activity  
Lasers and importance of bulk GaN crystals for laser substrates  
Band gap in InGaAlN ternary alloys. Absorption vs. Luminescence  
Modelling within the short-range In-fluctuation approach  
- Band gaps and their pressure coefficients  
Alternative results on InGaN layers and InGaN/GaN QWs  
Summary

# **UNIPRESS, Institute of High Pressure Physics of the Polish Academy of Sciences**

**Director: Izabella Grzegory**

**Head of Semiconductors Lab: T. Suski**

**Polish Academy of Sciences is a corporation of famous professors and an „owner” of research institutions covering all fields. From humanistics to engineering and natural sciences.**

**UNIPRESS is one of 80 institutes of PAS**

**UNIPRESS is located in Warsaw (Warszawa) and consists of 7 Labs  
Largest - Semiconductor Laboratory**

## Research areas:

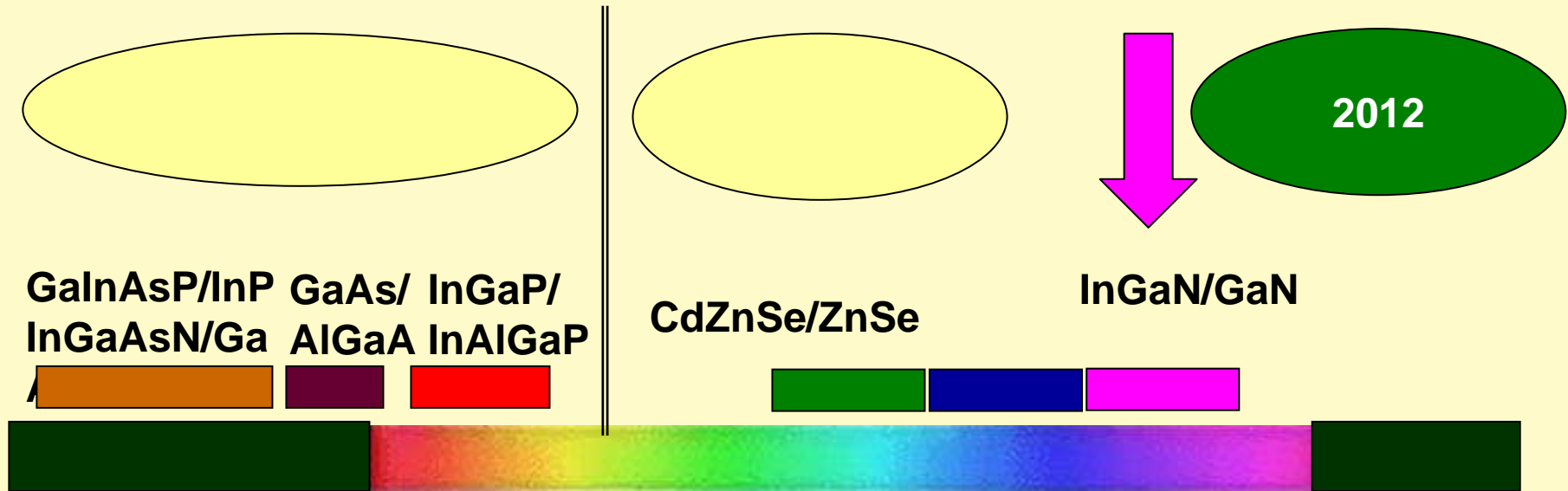
- \* **physics, optoelectronics: mainly in green-blue-violet spectral region**
- \* **electronics: mainly nitride epi-structures for HEMTs and THz**
- \* **nanomaterials: ceramics, metals, superconductors**
- \* **biological materials**

## Untypical (for PAS) structure of research activity

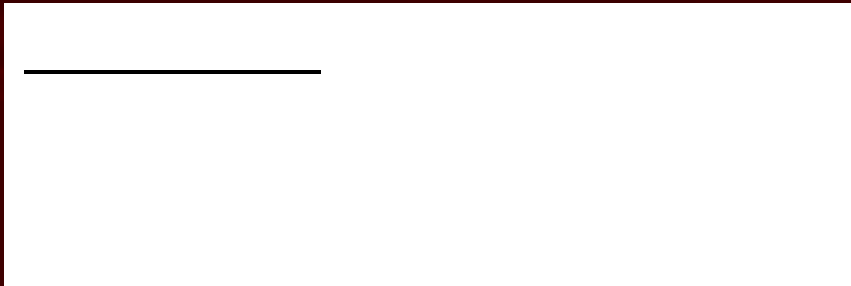
- \* **basic research - 40%**
- \* **applied research - 40%**
- \* **high pressure methods and instrumentation - 20%**

# Semiconductor Laser Diodes

## Semiconductor families used



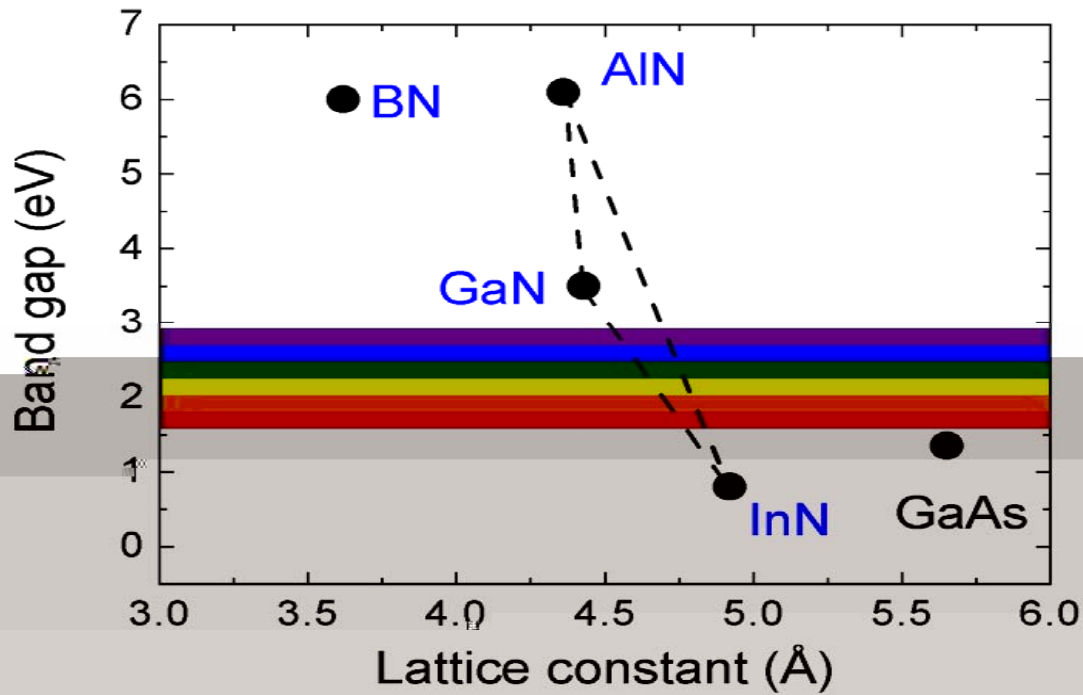
**Choice of GaN, InN, AlN and their alloys for construction of green, blue and ultraviolet Light Emitting Diodes and Laser Diodes**



Second semiconductor system after Silicon

# GaN-AlN-InN properties

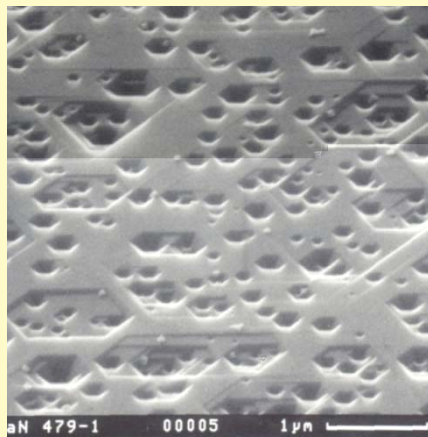
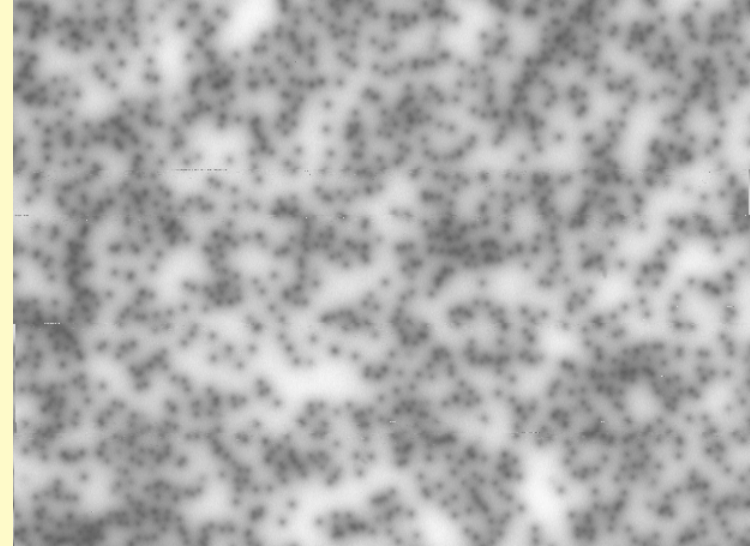
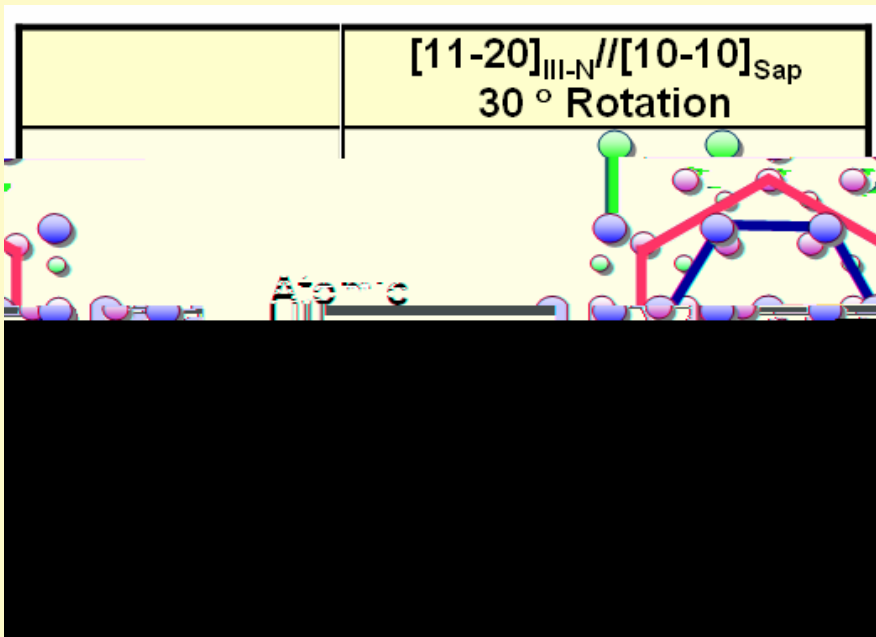
## Important applications

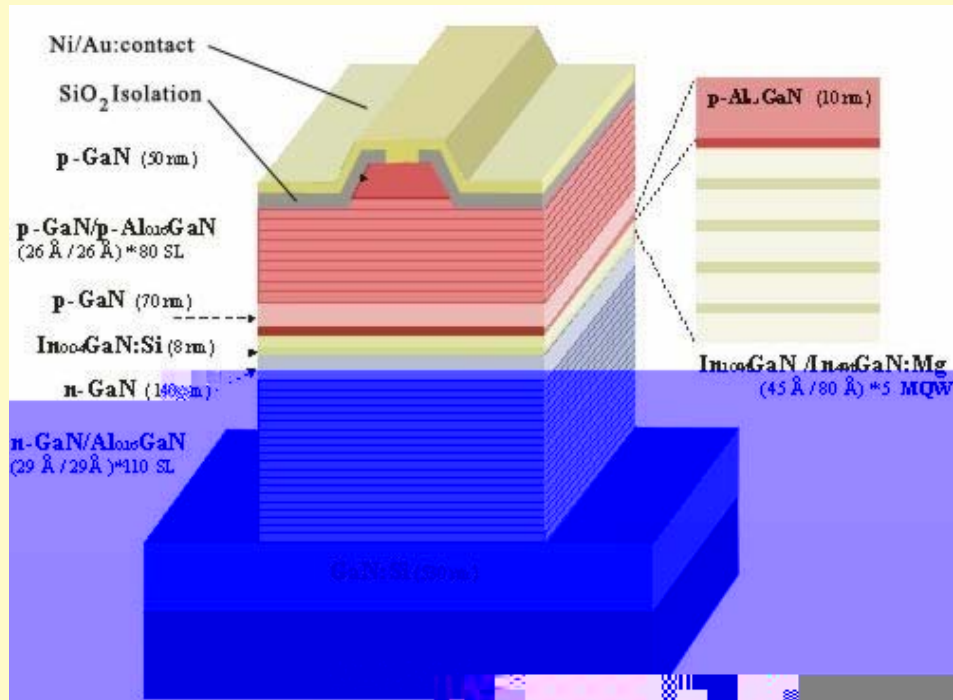


# A BEST MOCVD GaN

on sapphire

Large lattice mismatch leads to misfit dislocations







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**GaN**  
**AlN**  
**InN**

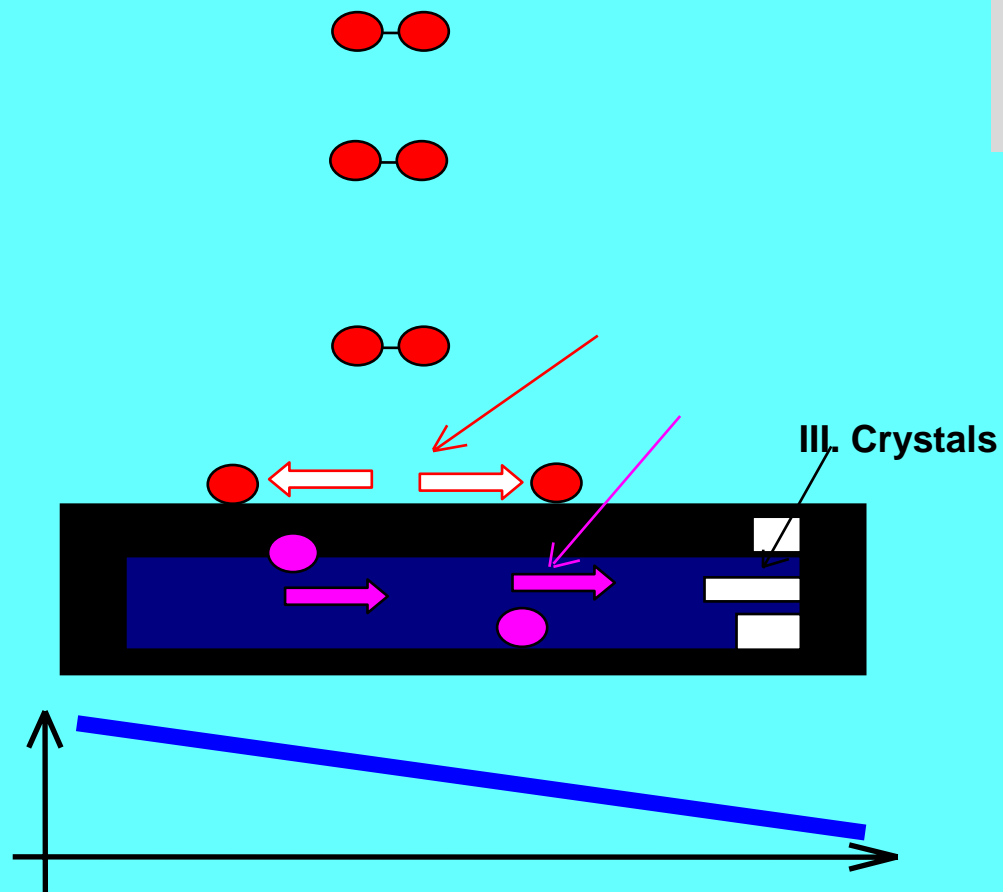
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**2500**  
**2800**  
**2200**

**45 000**  
**>100**  
**>60 000**

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## Three stages of HPSG growth of GaN



$T=1500^{\circ}\text{C}$   
 $P_{\text{Nitrog}}=15\,000-20\,000$   
 atm

ć

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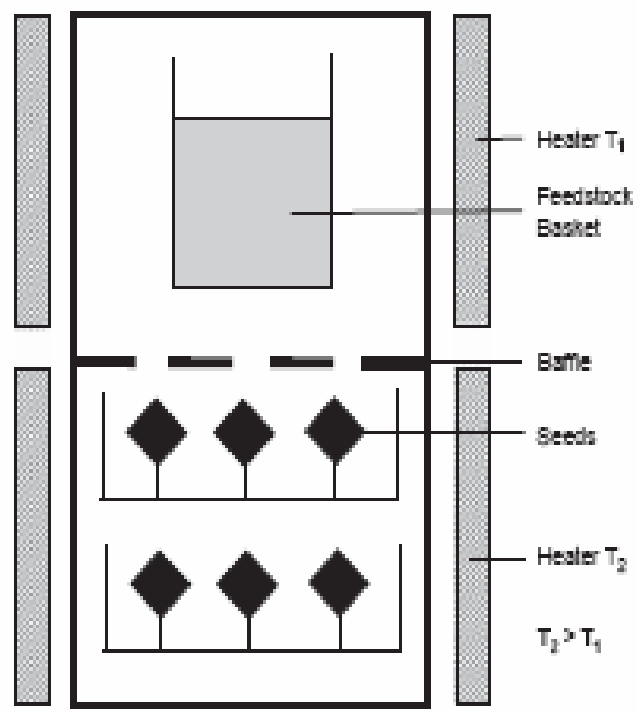
**Working volume      4500 cm<sup>3</sup>**

**Max pressure  
15 000 atm**

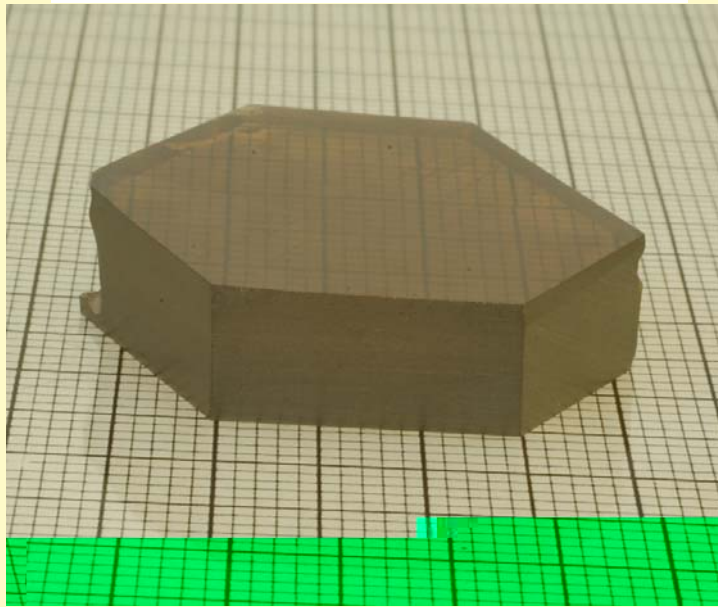
**Temperature  
1550°C**

**Pressure stabilization  
10 atm**

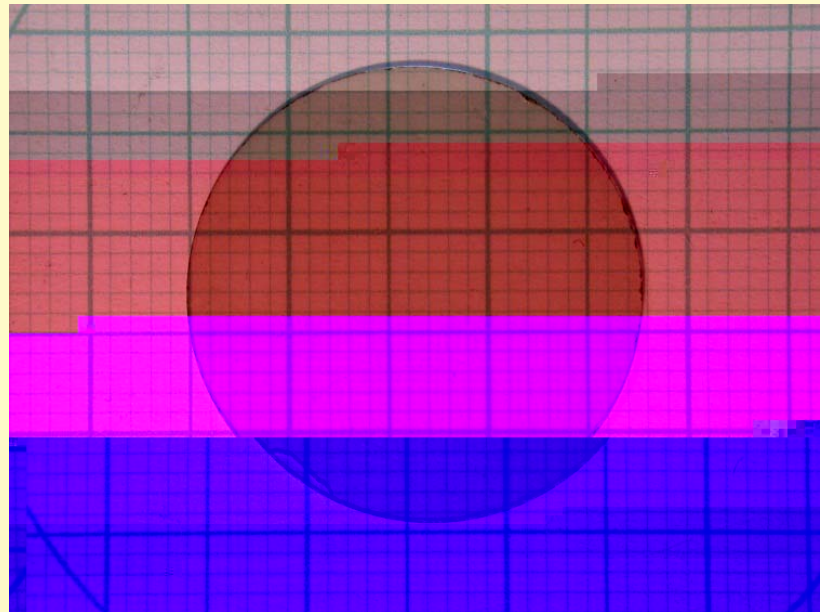
**Temp. stabilization 0.1°C**



# High Quality GaN Substrates for Laser Diodes

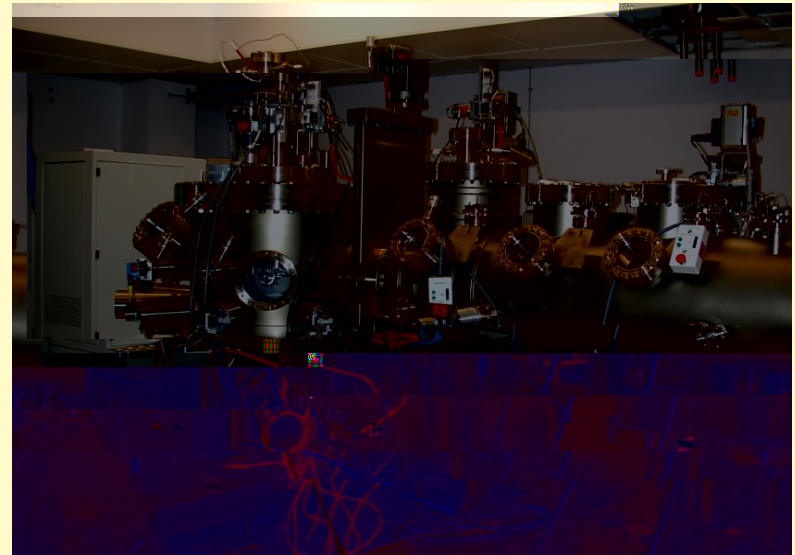
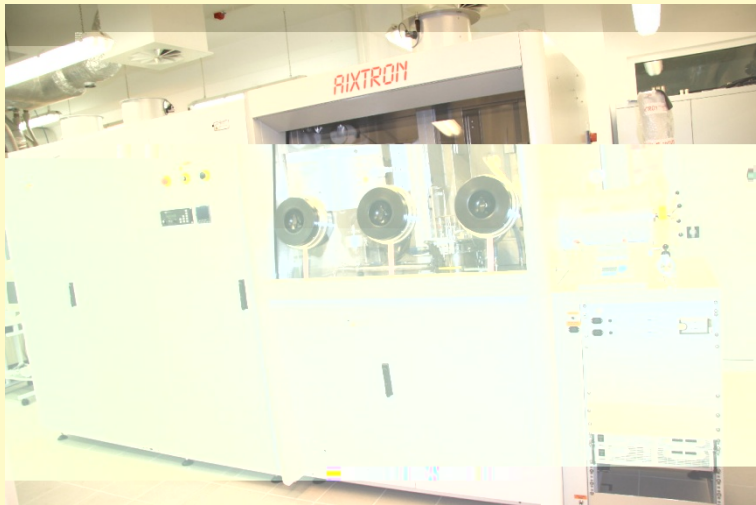


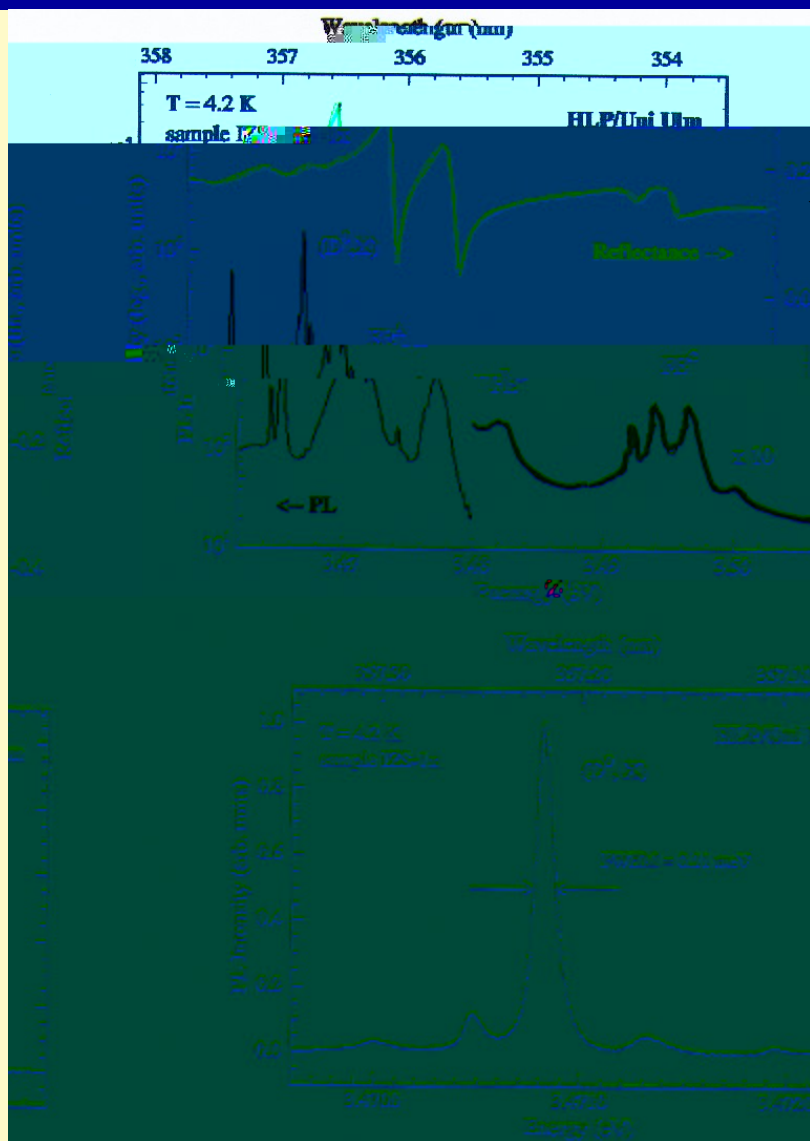
High Pressure  
Multi-feed -seed method

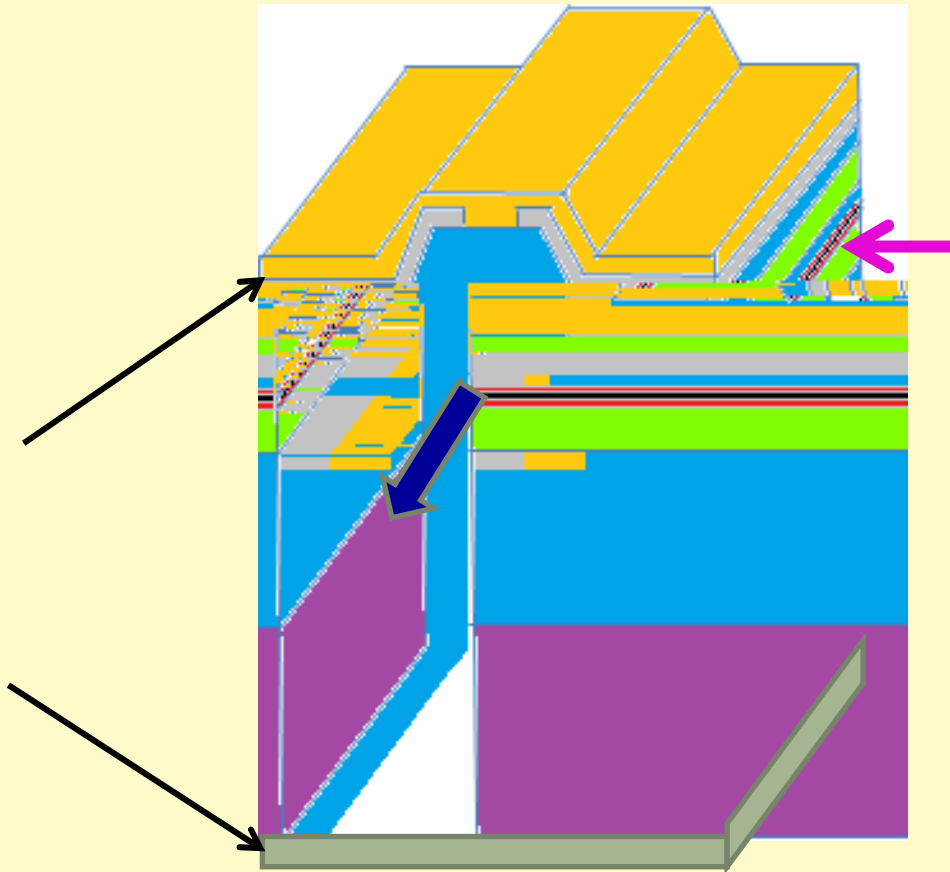


**Surface preparation difficult.  
Mechano-chemical polishing**

**Metalorganic vapor phase epitaxy (3 MOVPE reactors)  
and molecular beam epitaxy (2 PA MBE reactors) growth of nitrides  
layers and structures in Unipress**







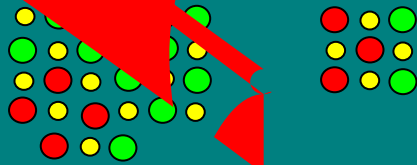
$\text{In}_x\text{Ga}_{1-x}\text{N}/\text{GaN}$

$\text{GaN}/\text{In}_y\text{Ga}_{1-y}\text{N}$



# Compositional inhomogeneities and carrier localization in InGaN & AlInN. Almost commonly accepted concept

Chichibu/Nakamura model: Clustering of indium.



## In- fluctuations

- clustering, spinodal decomposition, phase separation

- Chichibu et al. *Appl. Phys. Lett.* **69**, 4188 (1996))

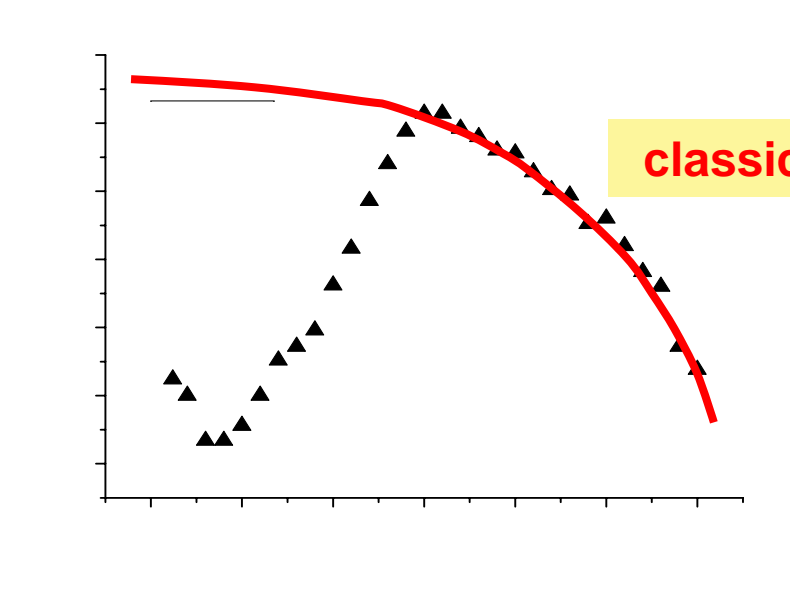
- short range order/statistical fluctuations

- Gorczyca et al., *Phys. Rev. B* **82**, 045409 (2010), Bellaiche et al. **74**, 1842 (1999);

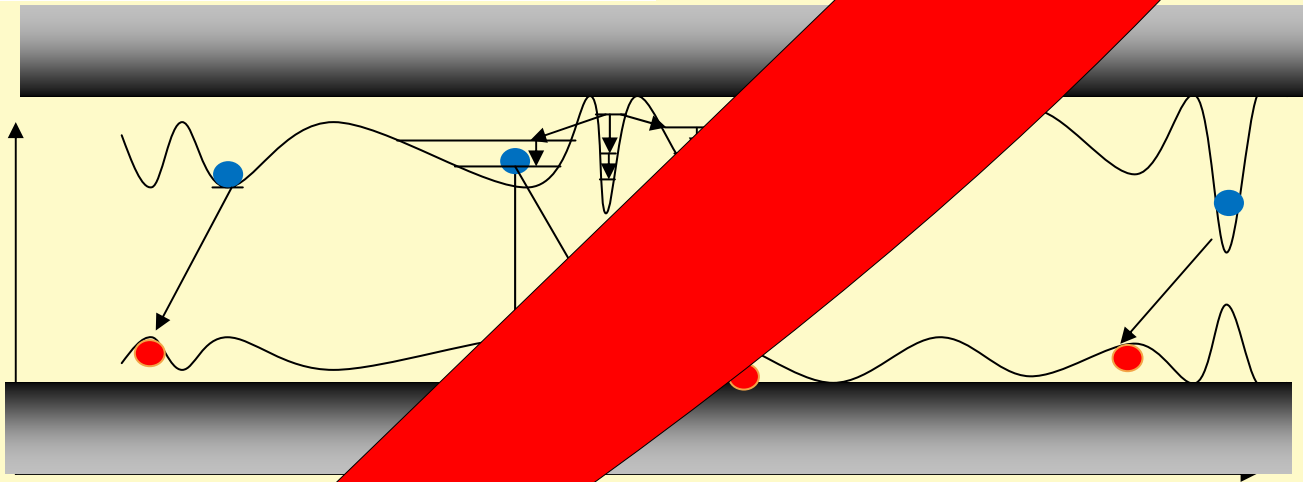
There are results of optical spectroscopy demonstrating that potential fluctuations within spatial scale of below 50 nm are important for luminescence data (SNOM, CL)

# What is a common understanding of the potential fluctuations contribution to the light emission in In-containing alloys.

## Electron-hole pair or exciton transport before radiative recombination



classical" behavior of semiconductor band gap



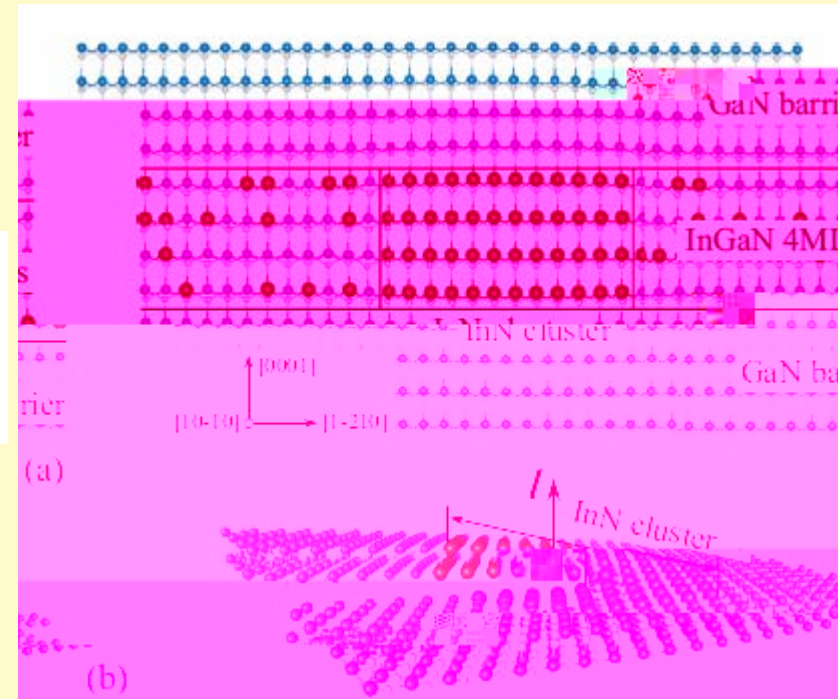
# In-fluctuations are particularly enhanced when structural defects appear

Strained and relaxed layers with different In concentration were observed when InGaN layers increased in thickness. Above the critical layer thickness stacking faults start to appear with increased density toward the surface. Stacking faults cause an appearance of multipeak PL and CL.

JOURNAL OF APPLIED PHYSICS 108, 103503 (2010)

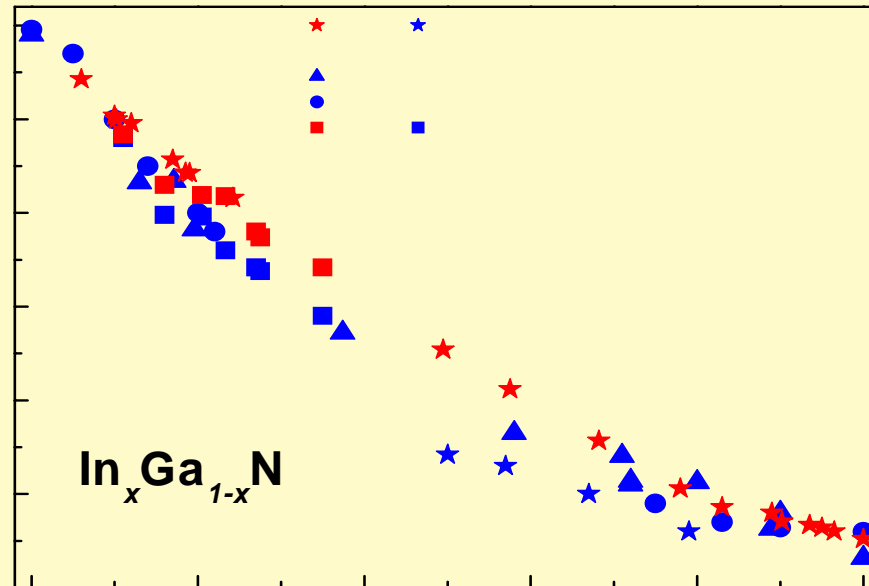
## Influences of the biaxial strain and c-screw dislocation on the clustering in InGaN alloys

Huaping Lei,<sup>1,a)</sup> Jun Chen,<sup>2</sup> and Pierre Ruterana<sup>1</sup>



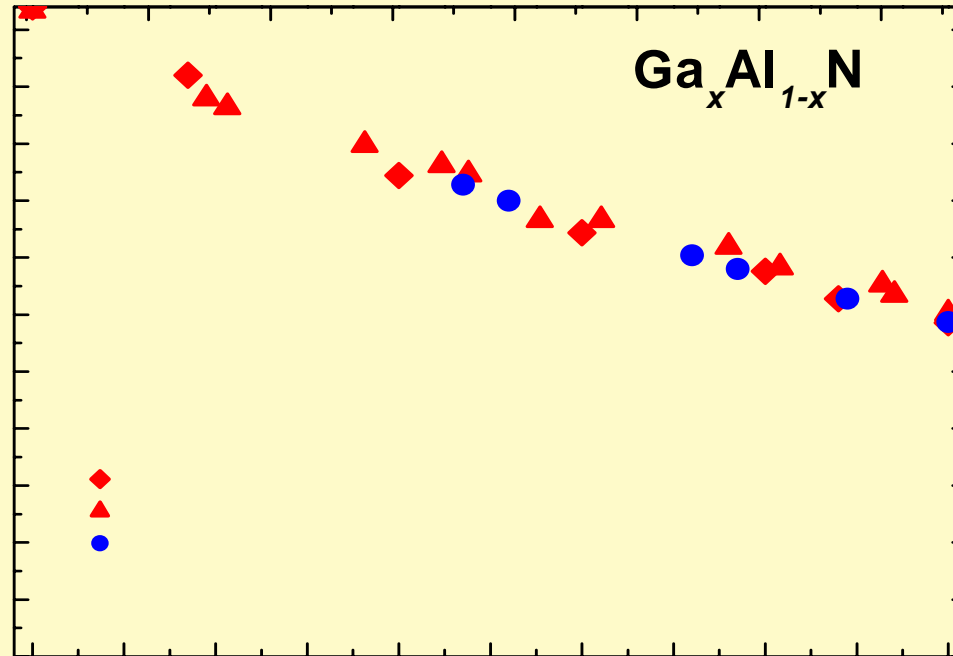
# $\text{In}_x\text{Ga}_{1-x}\text{N}$ alloy „real” band gap determination

## Differences between absorption-type and luminescence type of measurements



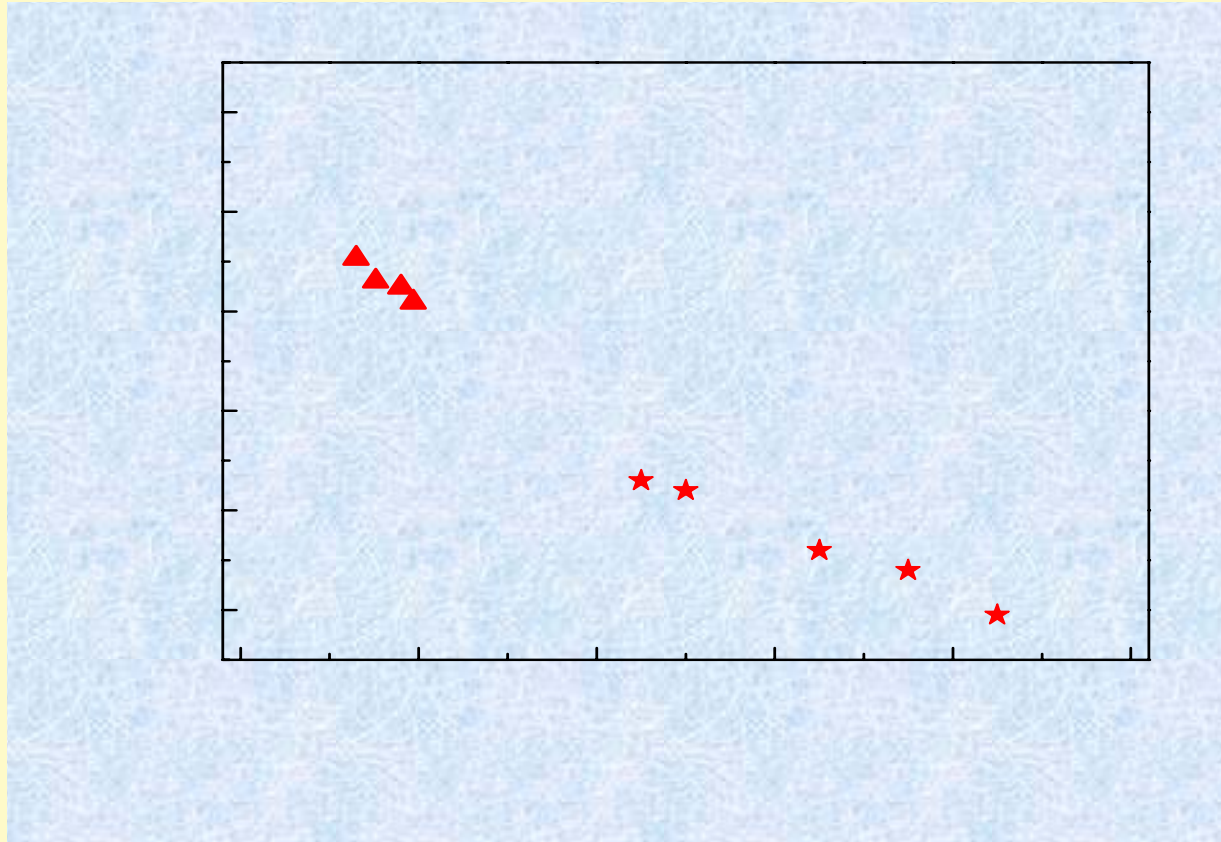
# $\text{Al}_x\text{Ga}_{1-x}\text{N}$ alloy band gap determination

## Differences between absorption-type and luminescence type of measurements



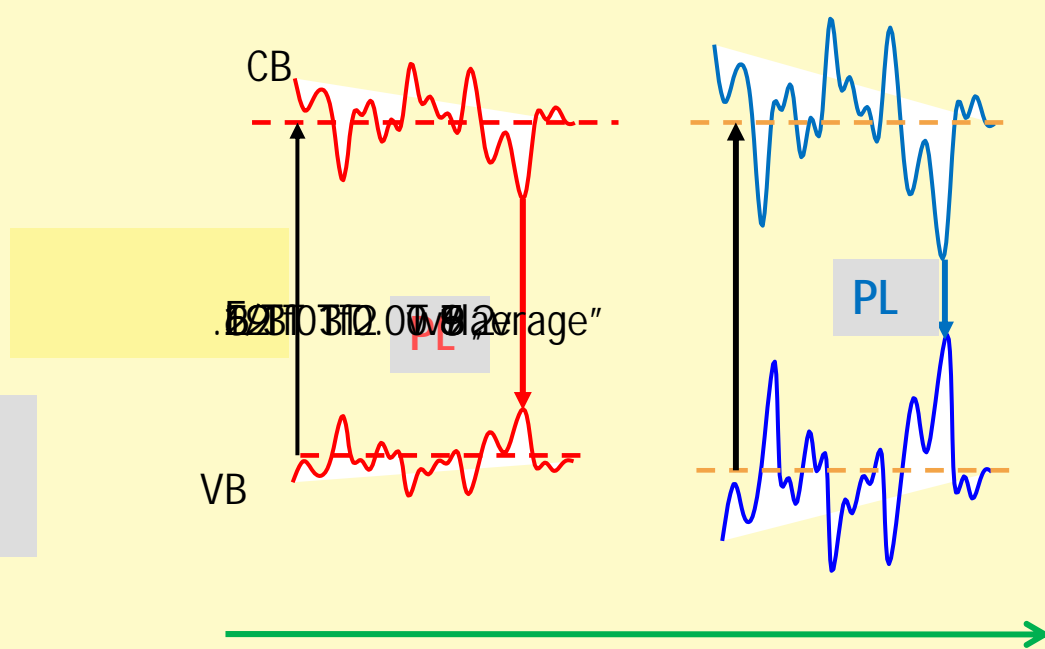
# $\text{In}_x\text{Al}_{1-x}\text{N}$ alloy band gap determination

## Differences between absorption-type and luminescence type of measurements



$\approx$

$E_G$



Larger  $E_{PL}$   
smaller  
fluctuations

Smaller  $E_{PL}$   
larger  
fluctuations

along the sample

# Theoretical description



## Electronic band structures of wurtzite $\text{In}_x\text{Ga}_{1-x}\text{N}$ , $\text{In}_x\text{Al}_{1-x}\text{N}$ , and $\text{Ga}_x\text{Al}_{1-x}\text{N}$ by DFT with corrected band gaps

### 2 steps :

#### 1. relaxed atomic positions

Pseudopotential method

§ Vienna simulation package (VASP)

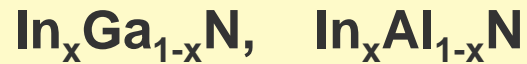
#### 2. energy band structure

Full-potential linear muffin-tin-orbital (FP-LMTO)

§ semicore cation-*d* states included as local orbitals

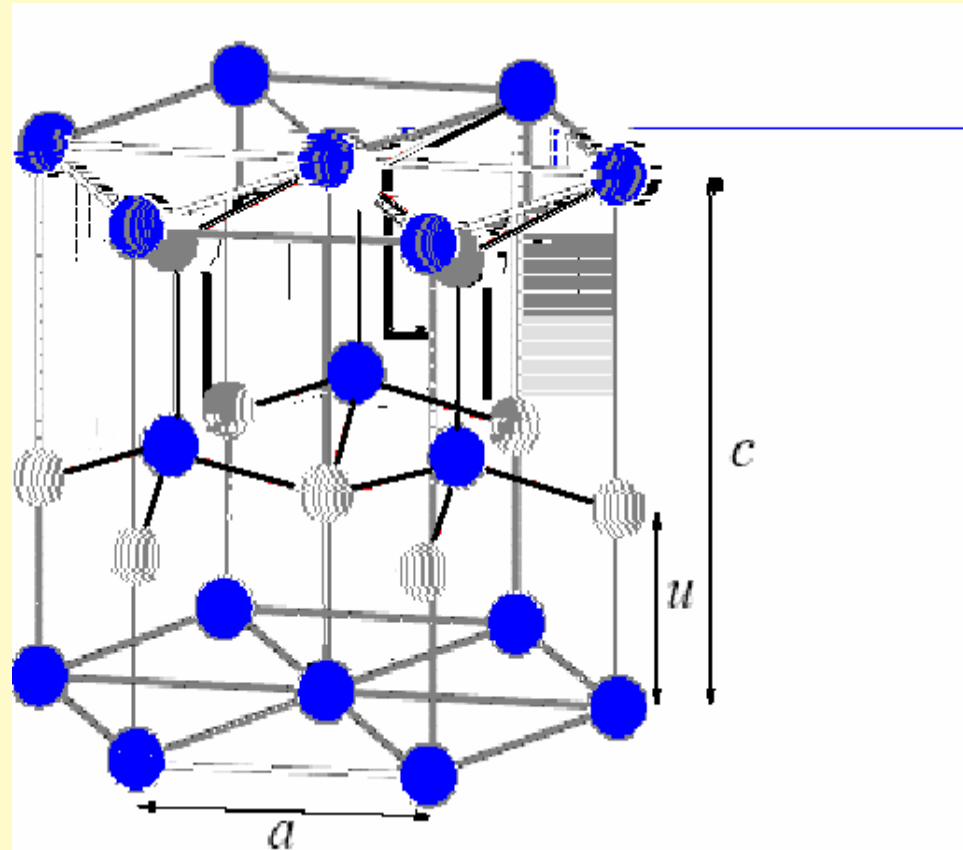
§ band gaps correction

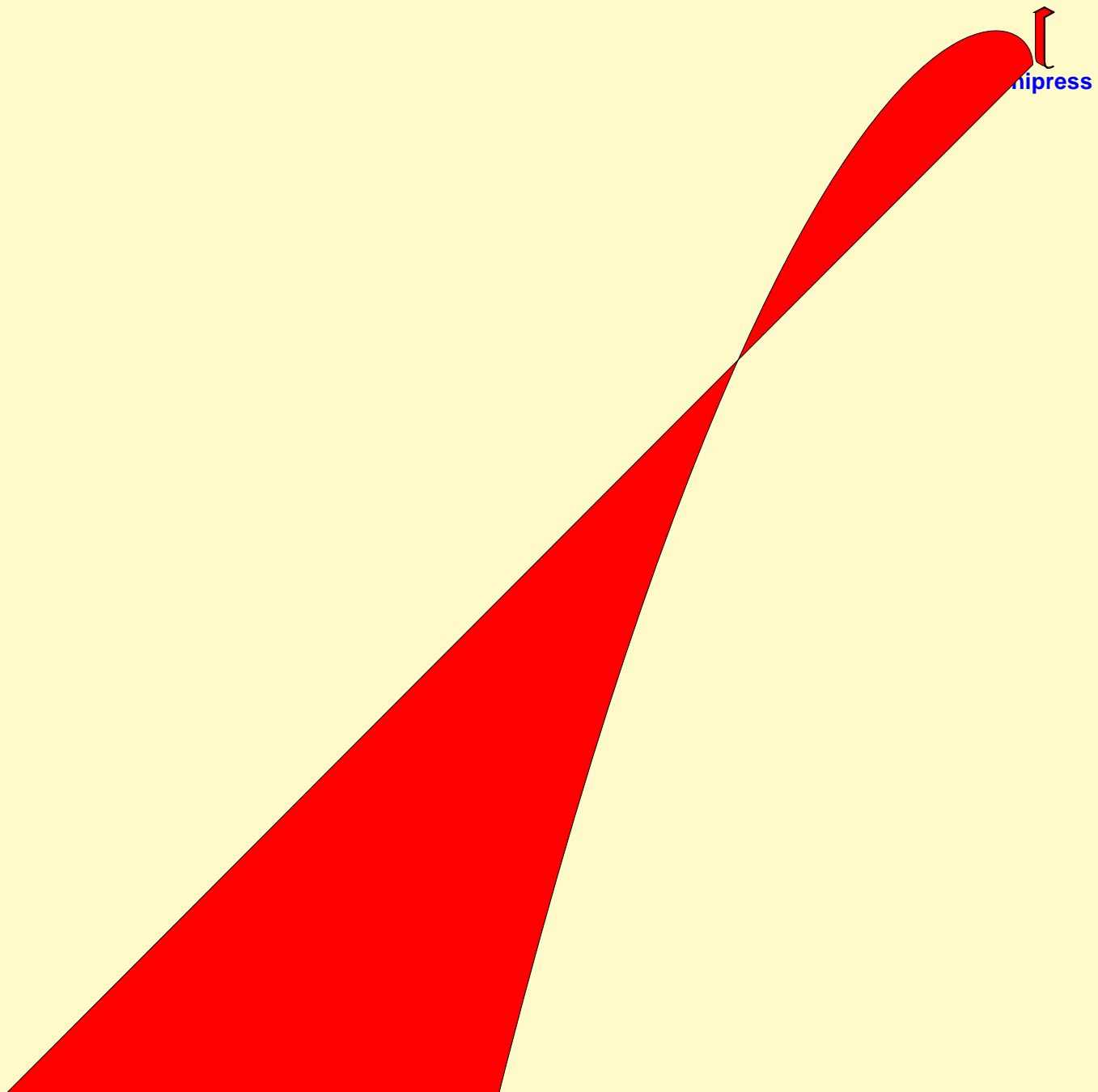
# Method – superlattice like structure

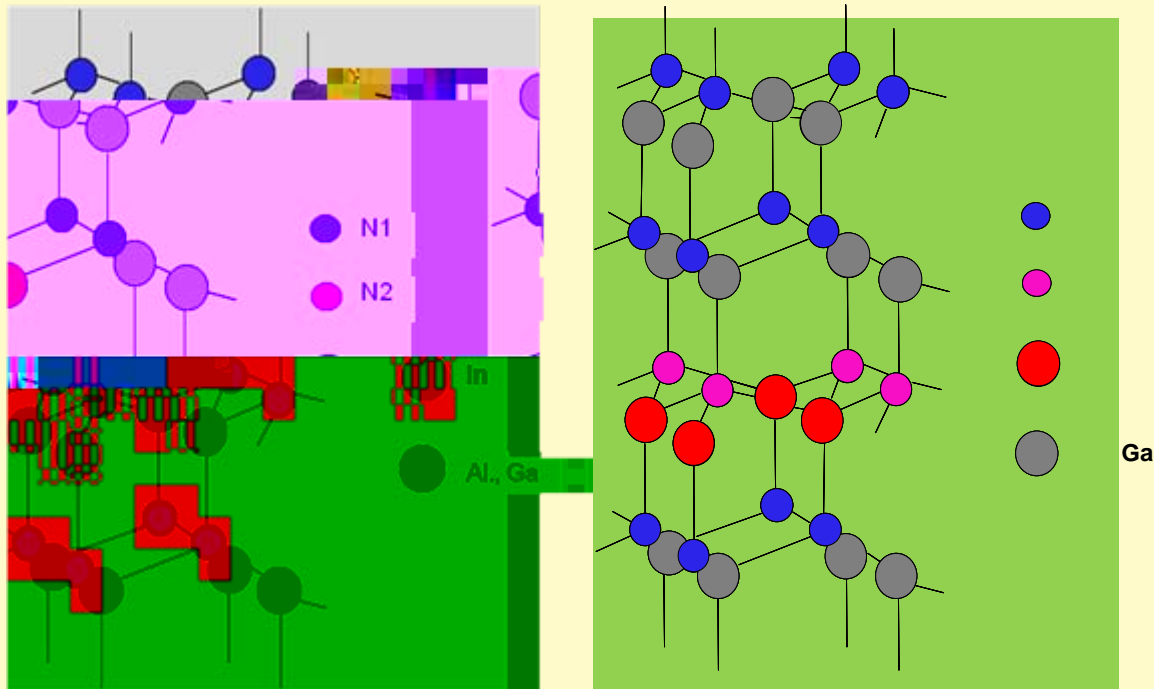


32 atoms supercell  
wurtzite structure

No of In atoms	x
2	0.125
3	0.19
4	0.25
6	0.375
8	0.50
10	0.625
12	0.75
14	0.875



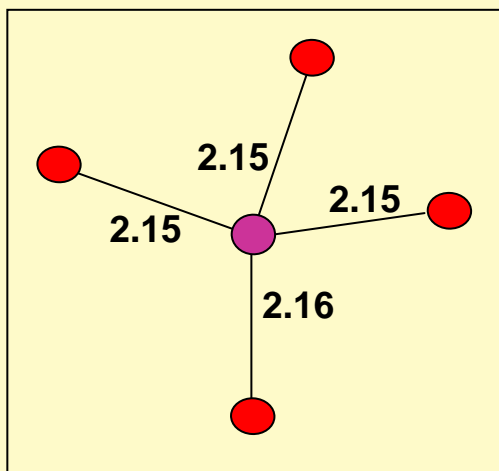




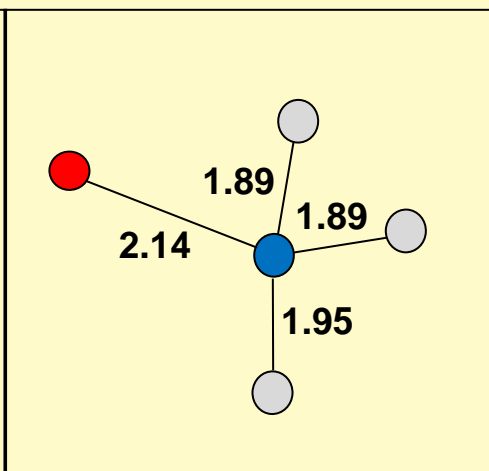
**What is the effect of different arrangements of indium atoms on the band structure?**

# Clustered $\text{In}_x\text{Al}_{1-x}\text{N}$ $x=0.25$ very short bond-length of In-N(2)

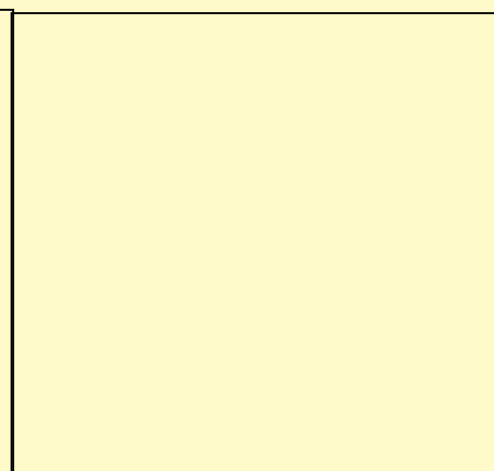
InN



uniform



clustered 1

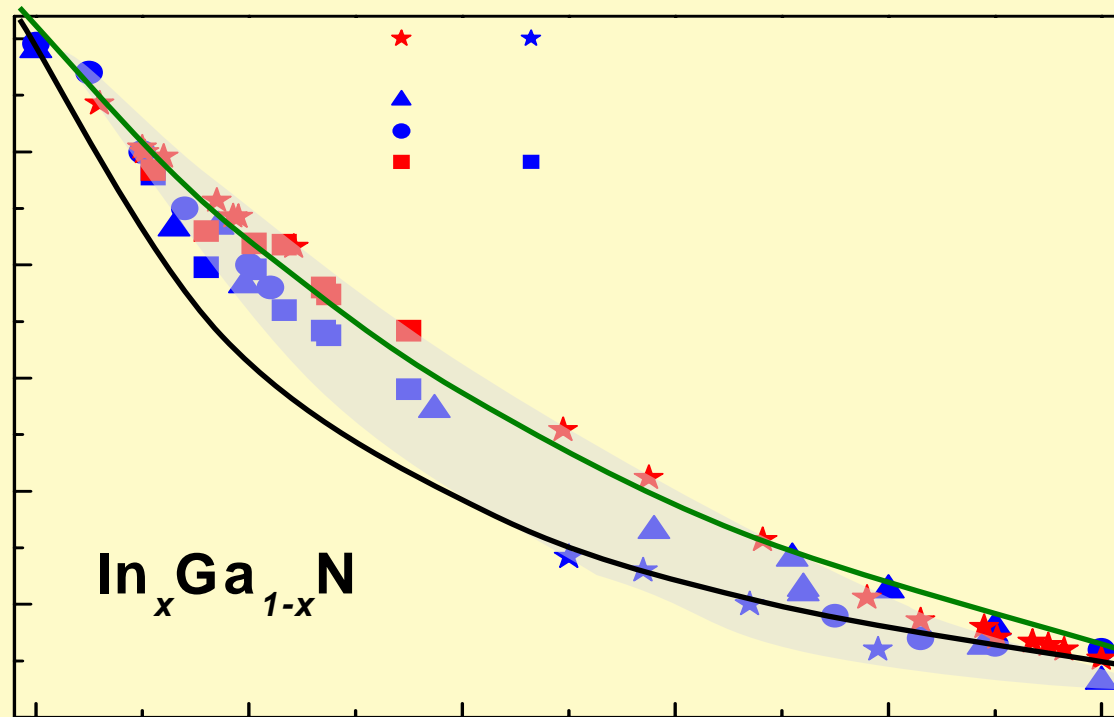


Å

Å

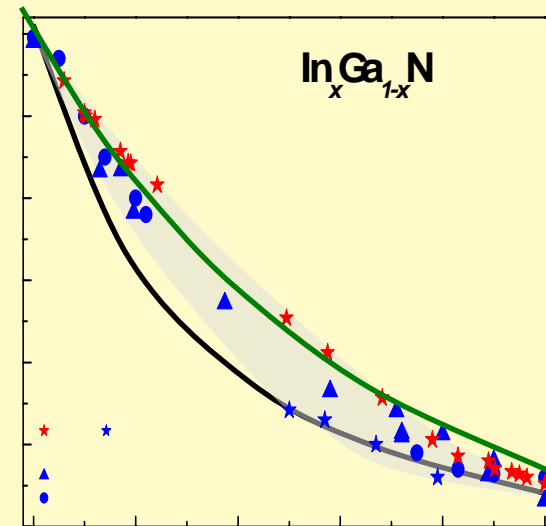
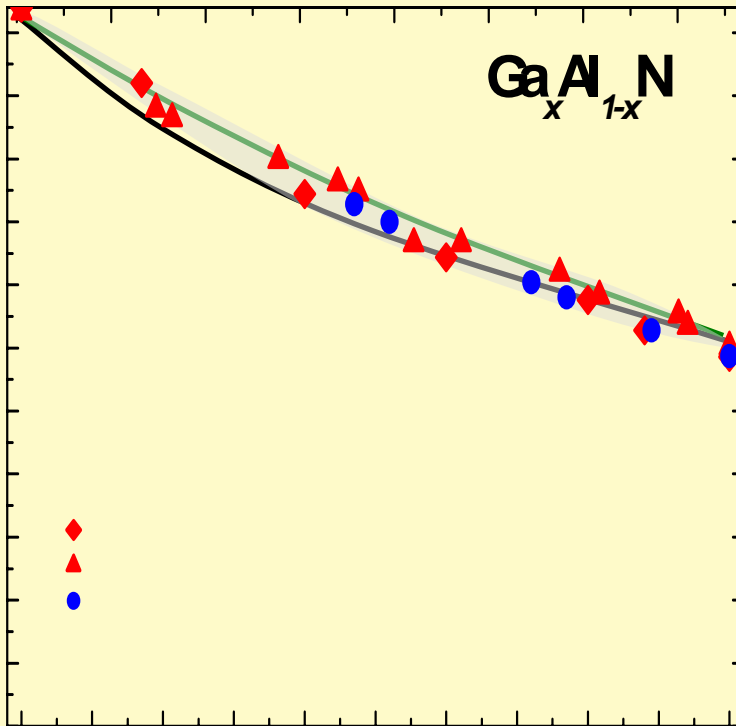
# $\text{In}_x\text{Ga}_{1-x}\text{N}$ alloy band gap determination

## Theory vs. experiment



# $\text{Al}_x\text{Ga}_{1-x}\text{N}$ alloy band gap determination

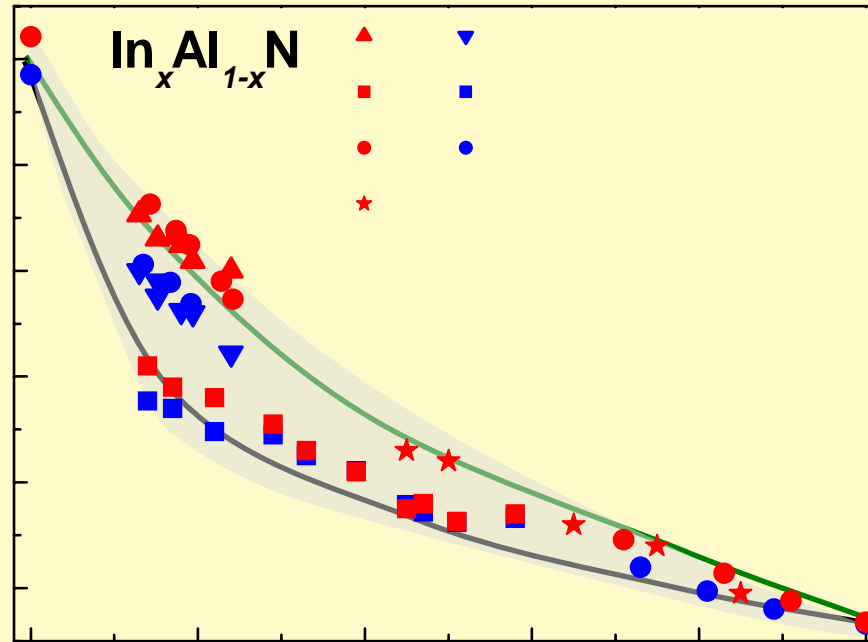
Theory vs. Experiment, comparison with InGaN



$\text{Al}_x\text{Ga}_{1-x}\text{N}$

# $\text{In}_x\text{Al}_{1-x}\text{N}$ alloy band gap determination

## Theory vs. Experiment,

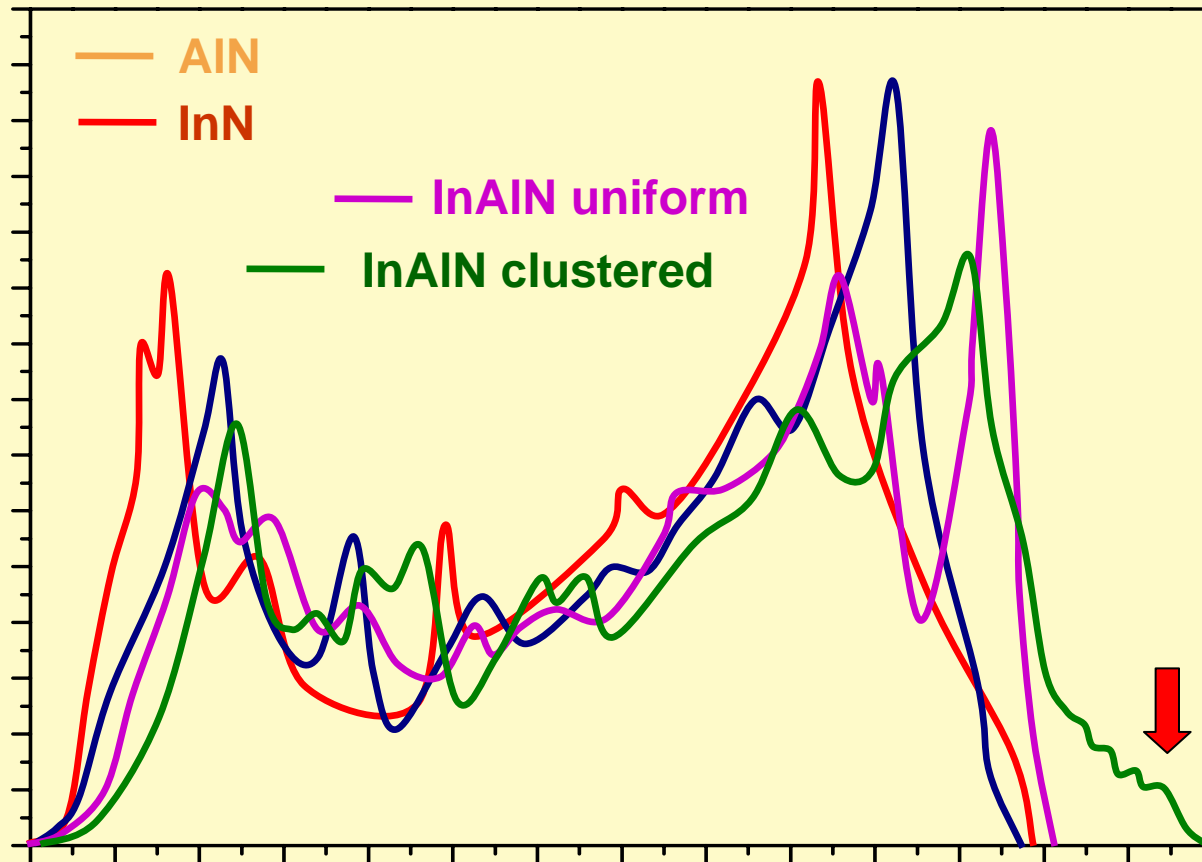


$\text{In}_x\text{Al}_{1-x}\text{N}$



# Valence-band density of states (VB DOS)

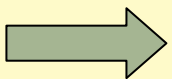
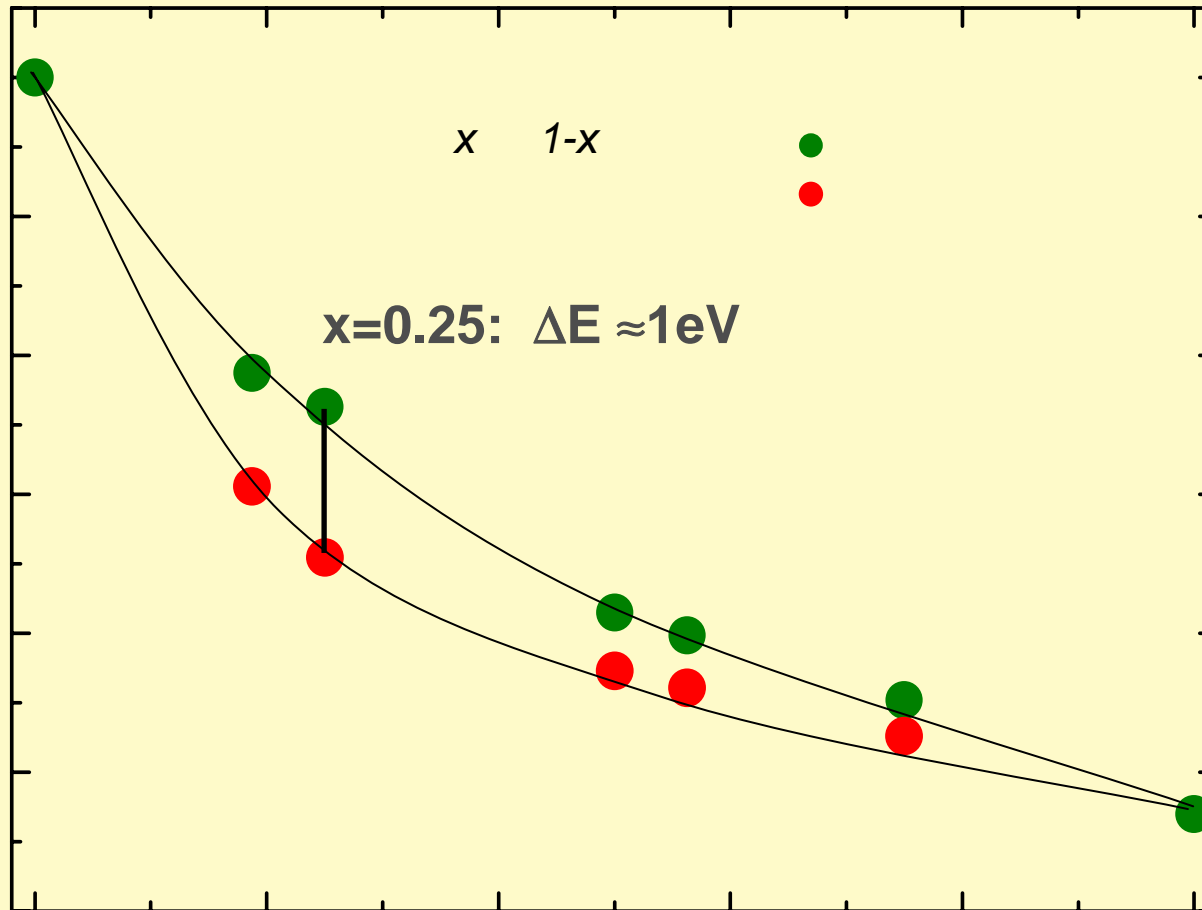
## Comparison for various nitrides



$\Delta E$   
about 1eV



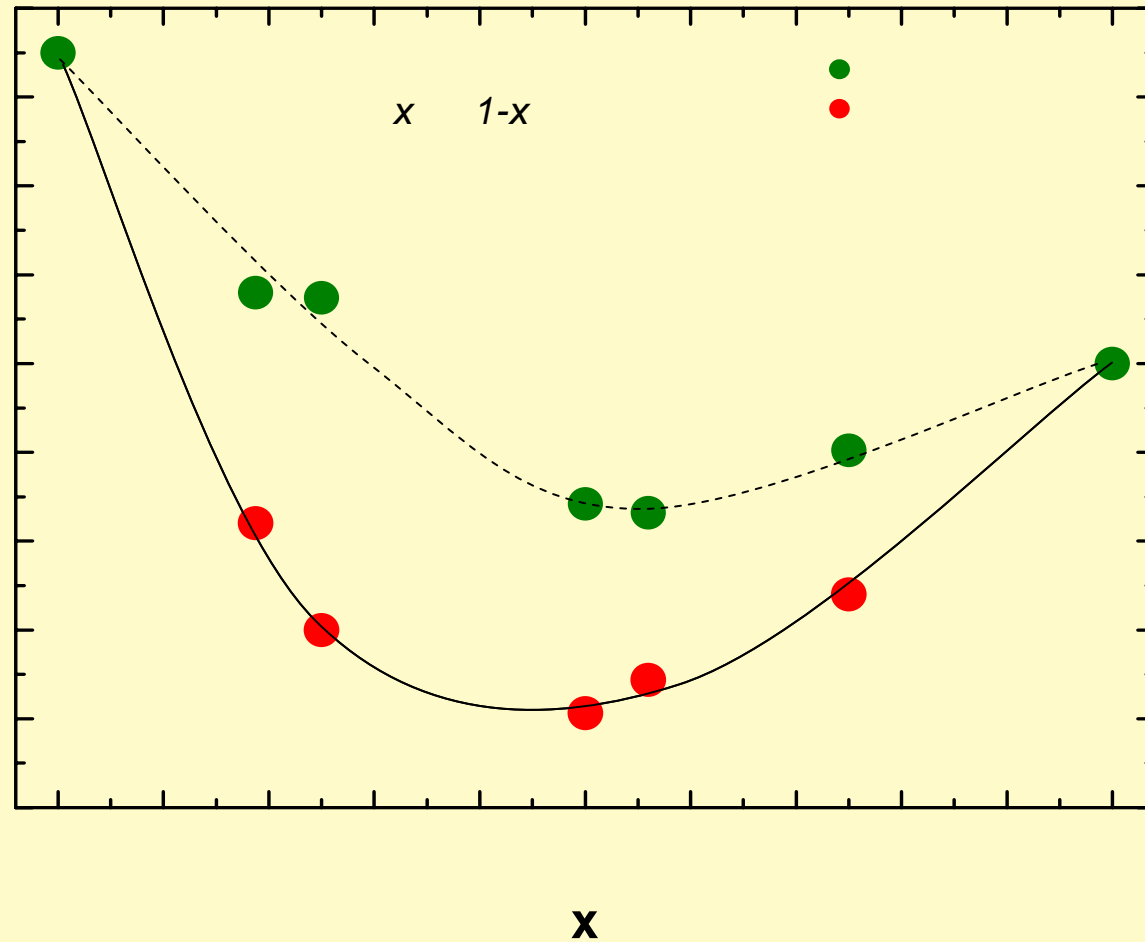
# Bandgap bowings – results of calculations



**Decrease of the band gap comes from the valence band width reduction**

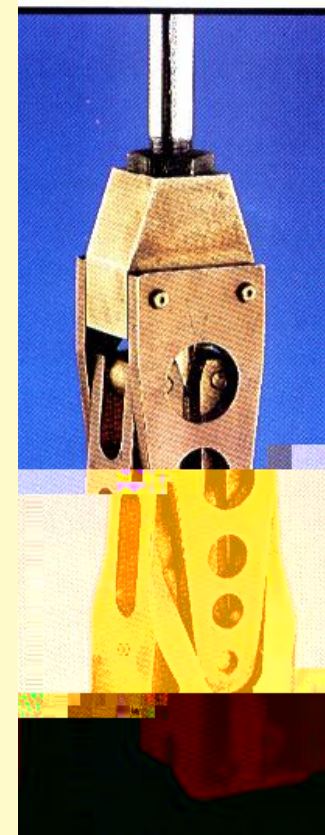
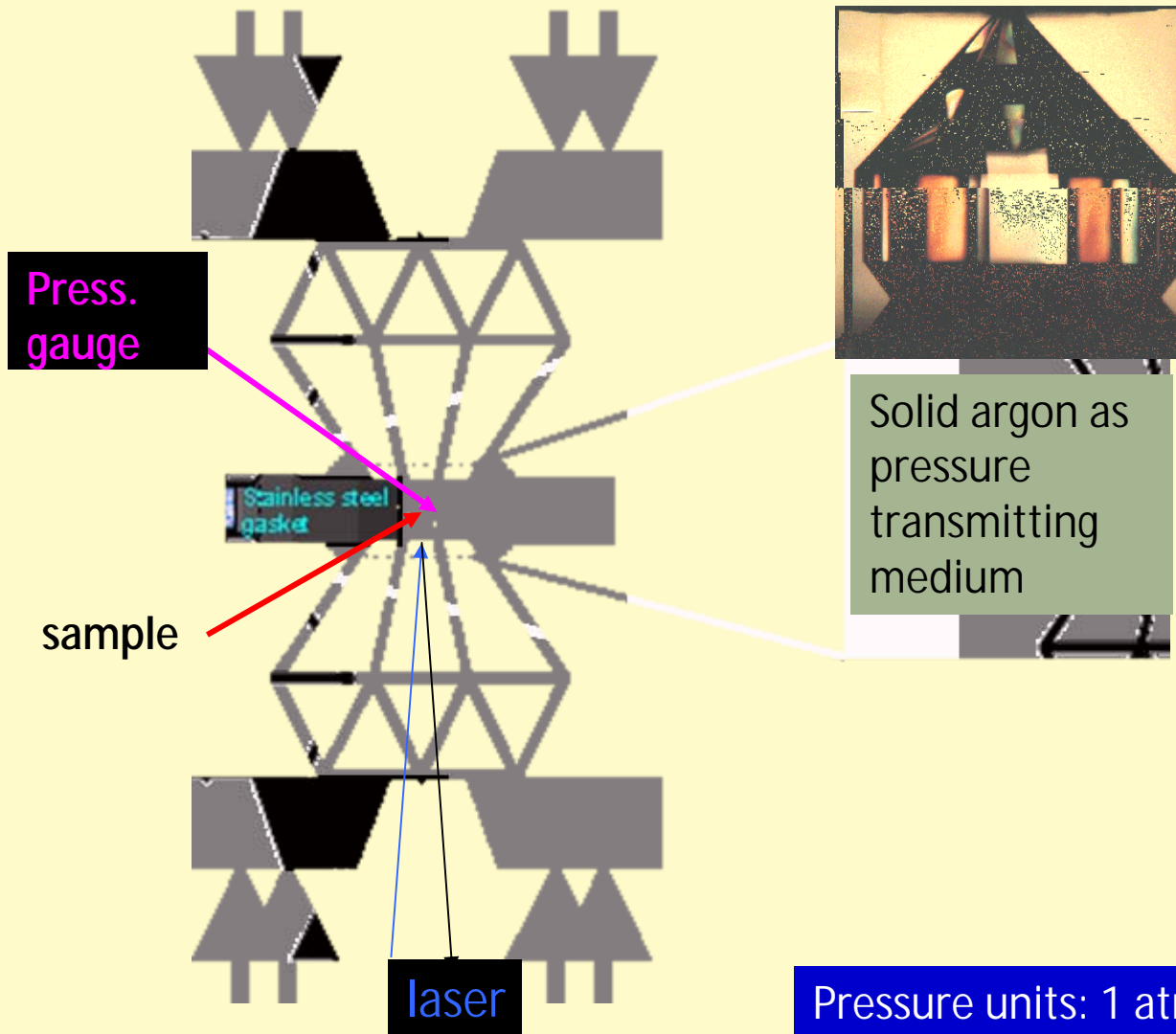
# Pressure coefficients of bandgap – calculations

## Sensitive tool to detect In-segregation?



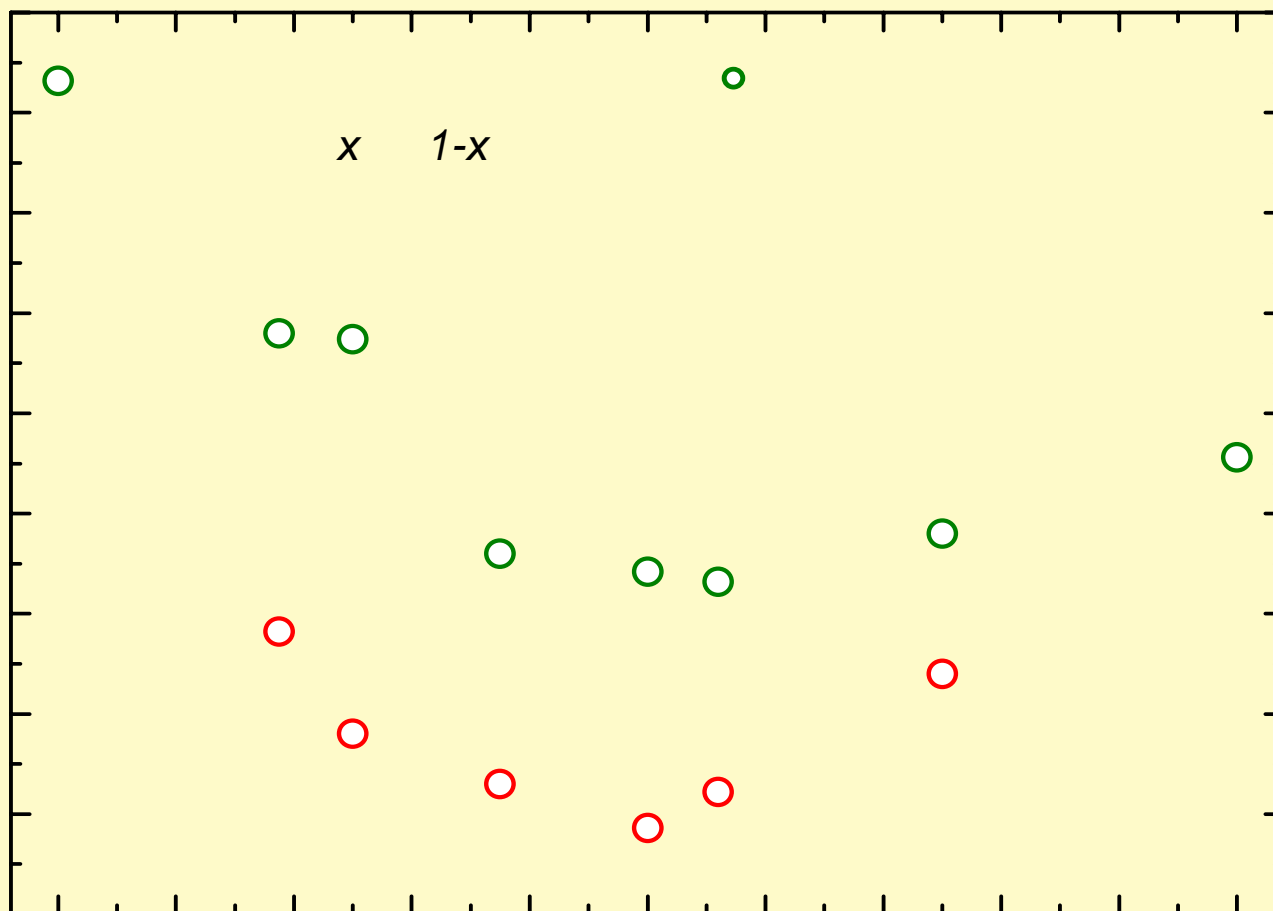
# Experimental techniques in high-pressure studies of the optical properties of semiconductors.

## Diamond Anvil Cell

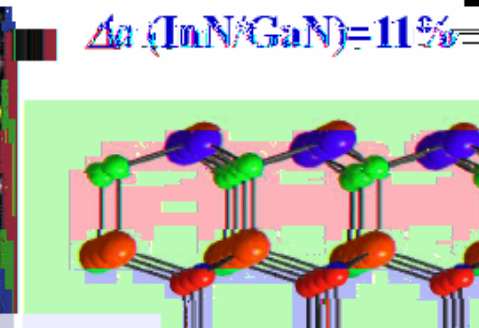
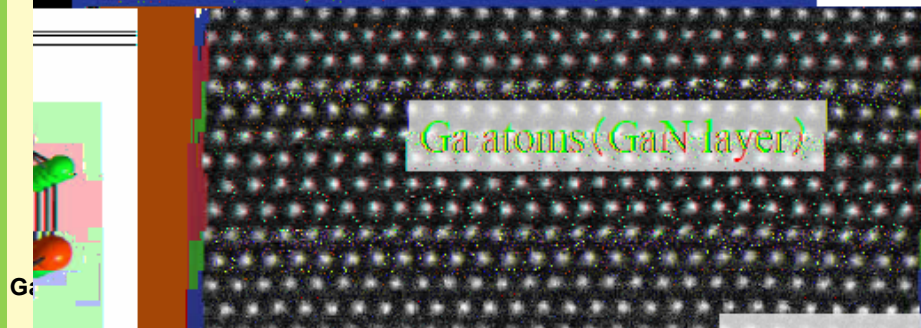
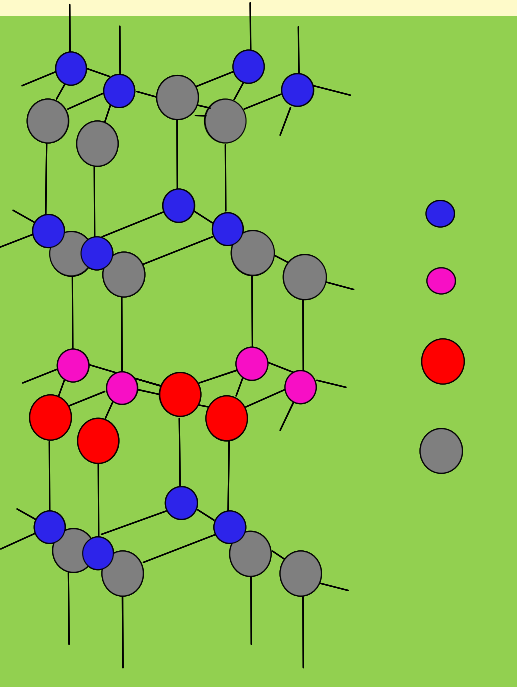


Pressure units: 1 atm = 1 bar; 10 kbar=1 GPa





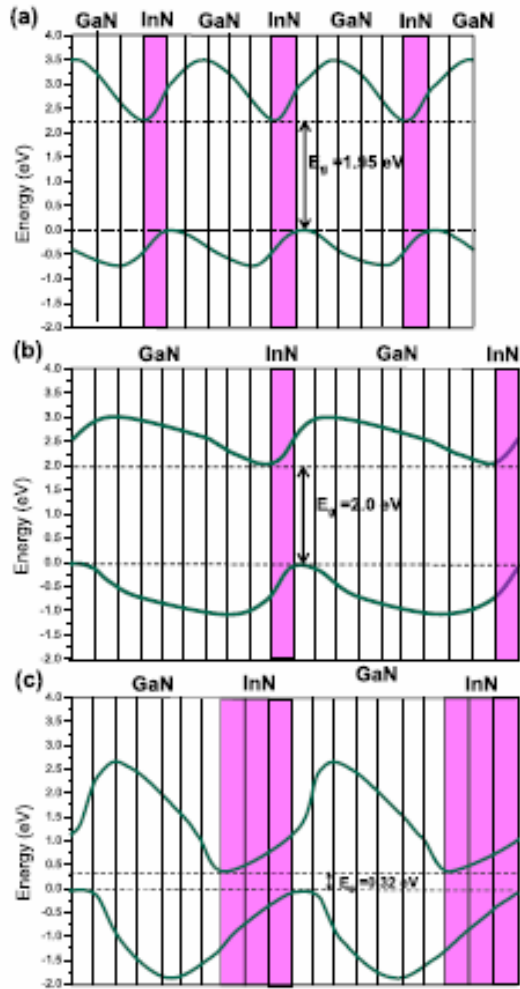
# Fundamental structure of the proposed symmetrical structure 1ML-InN/GaN matrix quantum well



$$\Delta a(\text{InN/GaN}) = 11\%$$



082104-3 Górczyk et al.



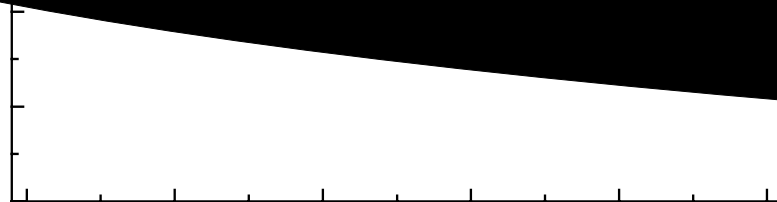
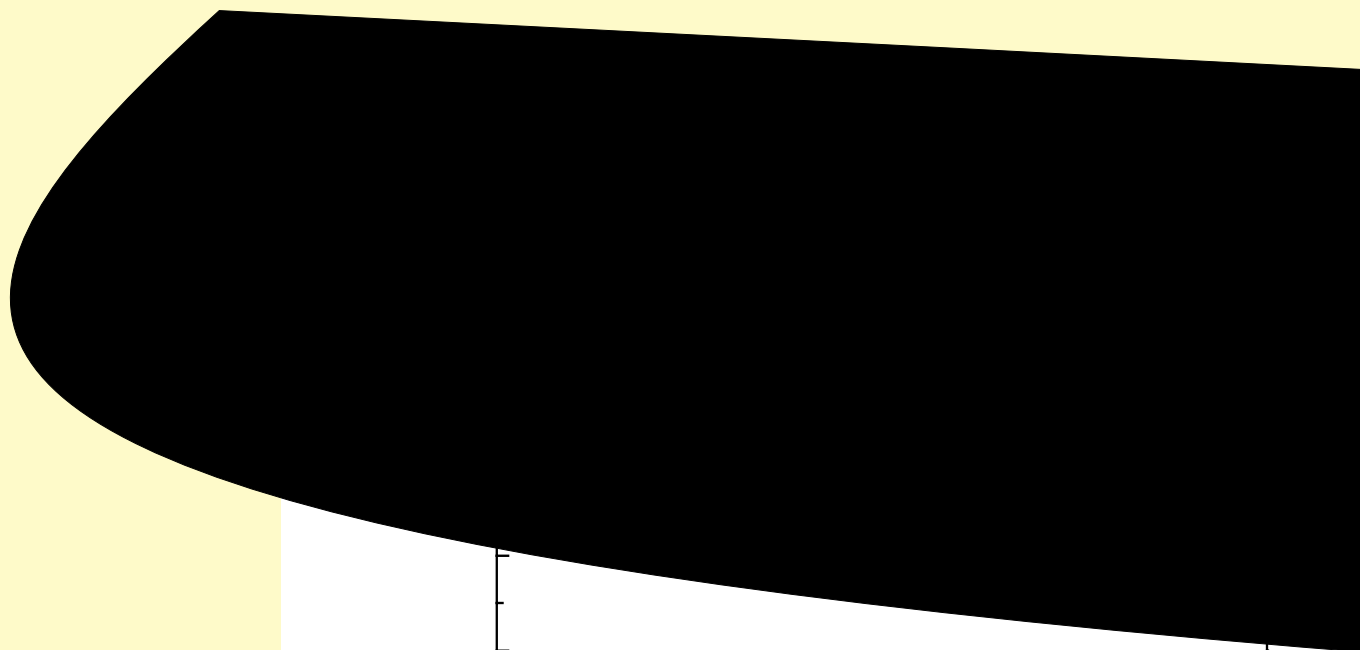
## InN/GaN Superlattices: Band Structures and their Pressure Dependence



# Summary

*perfect*

*imperfect*



# Incoherent alloys (InGaN) demonstrate strong decrease of band gap - sophisticated calculations

**coherent alloys – precipitates having continuous crystal planes across the phase boundary between them and the crystal matrix**

**incoherent alloys – precipitates having the dislocations, grain boundaries  
Leading to disengaging them from the matrix**

