

# **Particle-Number-Conditioned Master Equation and Its Application in Quantum Measurement and in Quantum Transport**

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## **Collaborators**

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**Prof. S.A. Gurvitz (Weizmann Institute of Science )**

**Prof. W.M. Zhang (Cheng Kong Univ., Taiwan)**

# Outline:

## **Master equation approach to quantum transport**

### **Quantum measurement of solid-state qubit**

- Example: SET detector, signal-to-noise ratio, etc

### **Quantum transport**

- Current fluctuation, full counting statistics
- Example: double-dot interferometer



rate      1990'

nGF

Landauer-Buttiker

rate      Gurvitz (1996 ),      "      +      "  
Schrodinger

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quantum shuttle

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2

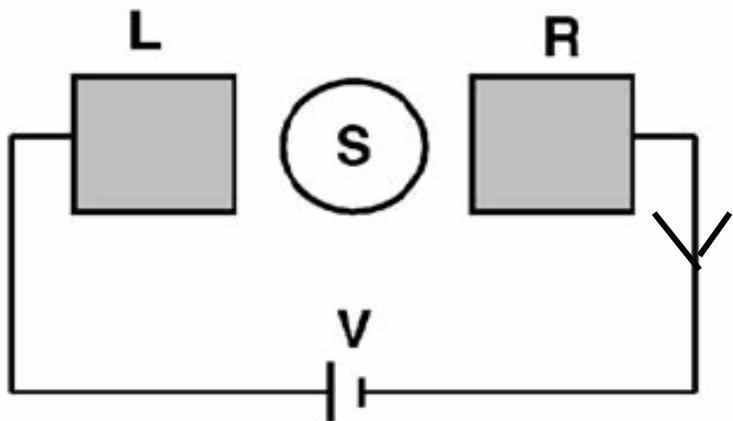
Jauho



$$\dot{\rho} = -i[H_S, \rho] - R\rho$$



X.Q. Li *et al*:  
PRL 94, 066803 (2005)  
PRB 71, 205304 (2005)  
PRB 76, 085325 (2007)



$$\dot{\rho} = -i[H_S, \rho] - R\rho$$



$$\dot{\rho}^{(n)} = -i[H_S, \rho^{(n)}] - [R_1\rho^{(n)} + R_2\rho^{(n+1)} + R_3\rho^{(n-1)}]$$

## Current

$$\frac{dN(t)}{dt} = \sum_n n \text{Tr}[i(\hat{n})(t)]$$
$$= \frac{e}{2} \text{Tr}[\bar{Q}\rho Q + \text{H.c.}],$$

where  $\bar{Q} \equiv \tilde{Q}^{(-)} - \tilde{Q}^{(+)}$

## Noise spectrum: MacDonald's formula

$$S_I(\omega) = 2\omega \int_0^\infty dt \sin \omega t \frac{d}{dt} [e^2 \langle n^2(t) \rangle - (\bar{I}t)^2]$$

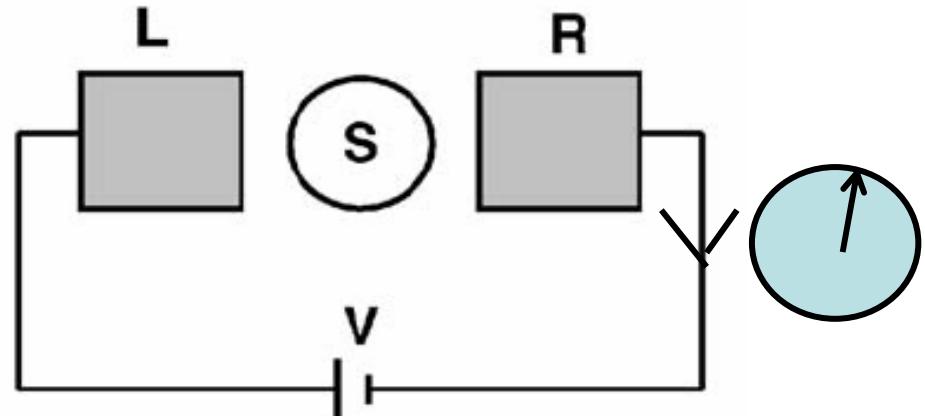
$$\langle n^2(t) \rangle = \sum_n n^2 P(n, t)$$

$$\frac{d}{dt} \langle n^2(t) \rangle = \text{Tr} \left[ \bar{Q} \hat{N}(t) Q + \frac{1}{2} \tilde{Q} \rho(t) Q + \text{H.c.} \right]$$

$$\hat{N}(t) \equiv \sum_n n \rho^{(n)}(t) \quad \frac{d\hat{N}}{dt} = -i\mathcal{L}\hat{N} - \frac{1}{2}\mathcal{R}\hat{N} + \frac{1}{2}(\bar{Q}\rho Q + \text{H.c.})$$

# Full counting statistics

Levitov, Lee, & Lesovik:  
J. Math. Phys. 37, 4845(1996)



Generating function:

$$e^{-F(\chi)} = \sum_n P(n,t) e^{in\chi}$$

$$C_k = -(-i\partial_\chi)^k F(\chi)|_{\chi=0}$$

$$C_1 = \bar{n}$$

$$I = eC_1/t$$

Current

$$C_2 = \overline{n^2} - \bar{n}^2$$

$$S = 2e^2 C_2 / t$$

Zero-frequency noise

$$C_3 = \overline{(n - \bar{n})^3}$$

$$F = C_2 / C_1$$

Fano factor

$$\dot{\rho}^{(n)} = A\rho^{(n)} + C\rho^{(n+1)} + D\rho^{(n-1)}$$

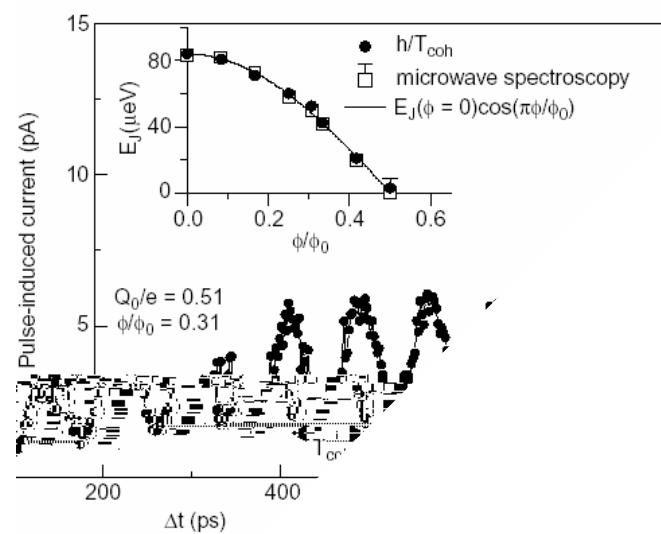
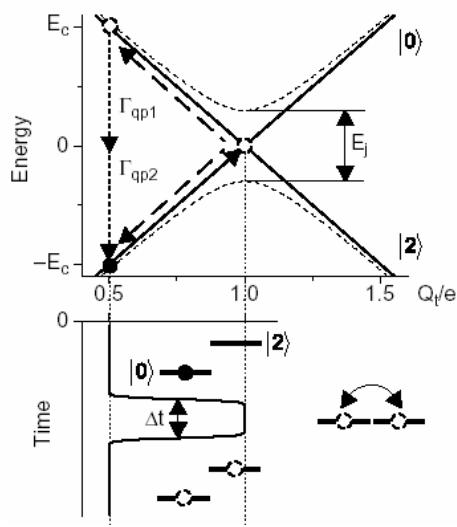
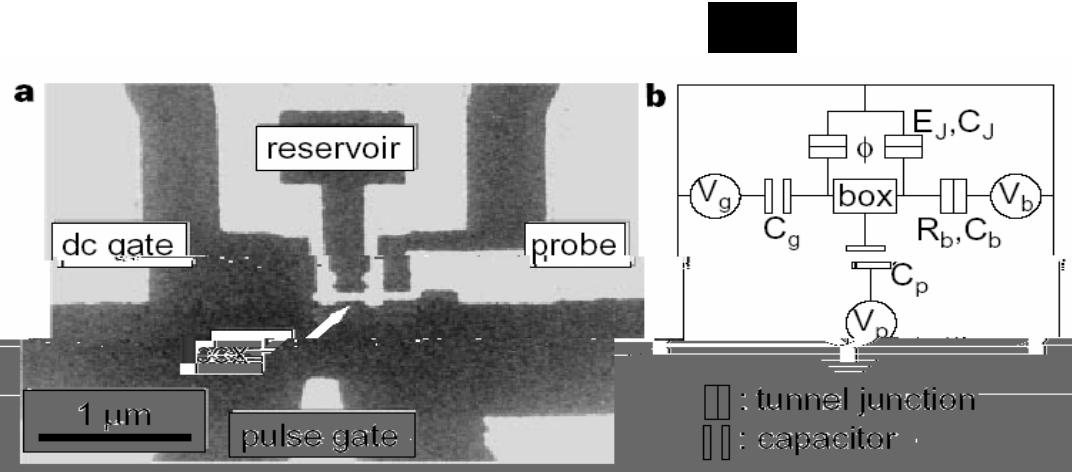
$$S(\chi,t)\!=\!\textstyle\sum_n \rho^{(n)}(t) e^{in\chi}$$

$$e^{-F(\chi)}\!=\!{\rm Tr}[S(\chi,t)]$$

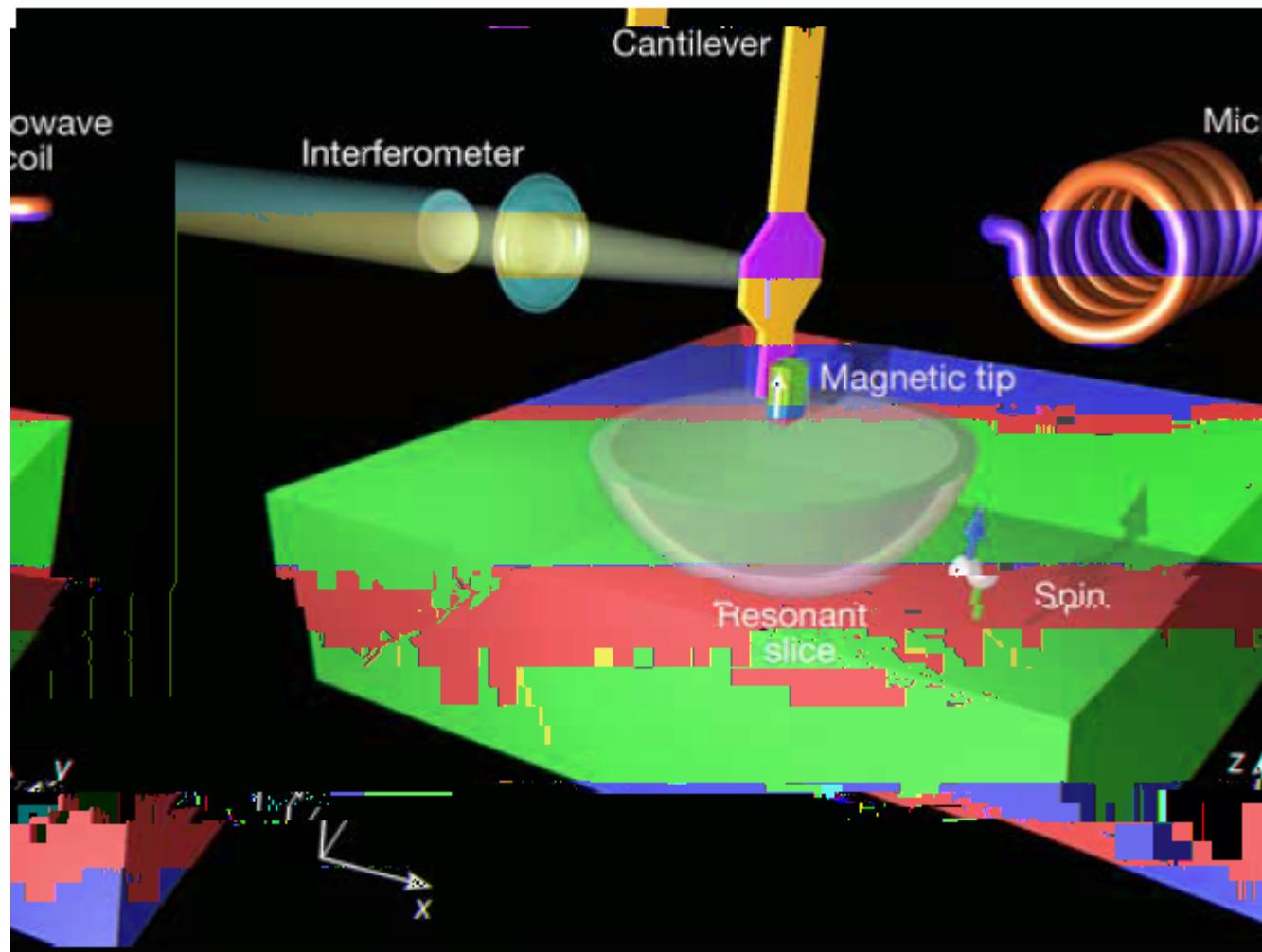
$$\dot{S}=AS+e^{-i\chi}CS+e^{i\chi}DS\equiv\mathcal{L}_{\chi}S$$

$$F(\chi)\!=\!-\lambda_1(\chi)t\qquad\lambda_1(\chi)|_{\chi\rightarrow0}\!\rightarrow0$$



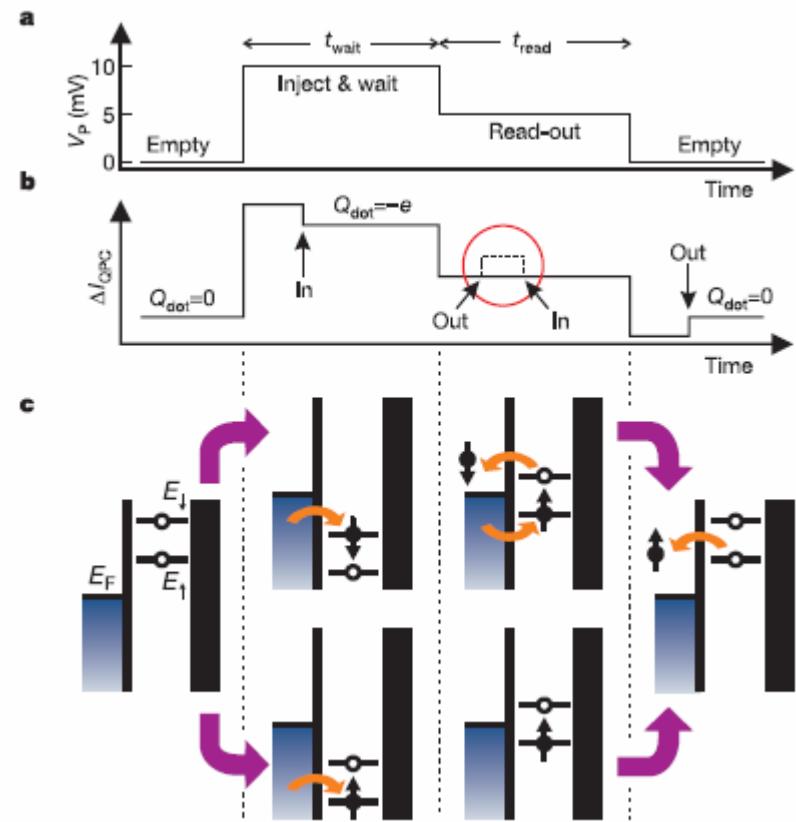
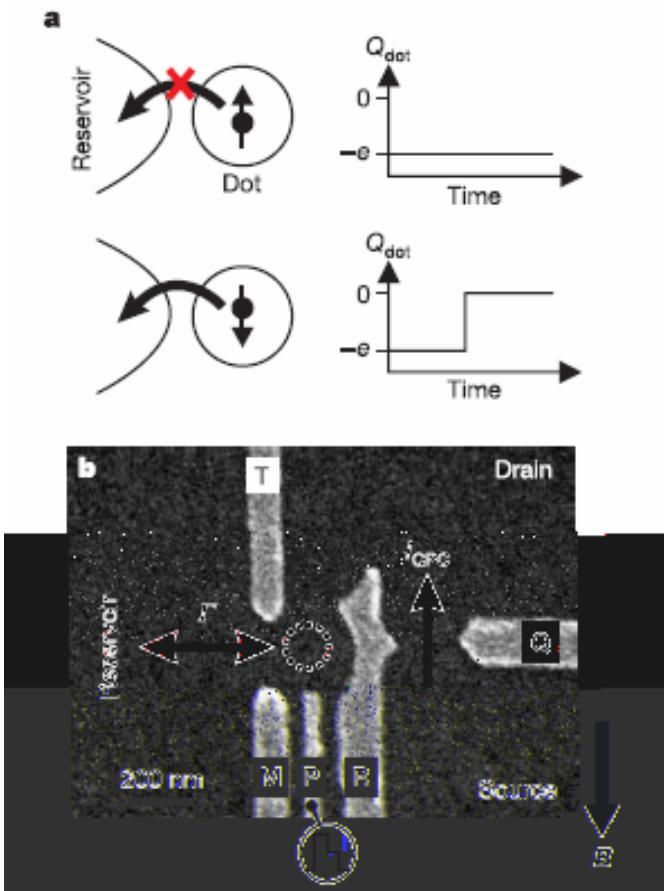


Nature 430, 329( 2004)



OPC

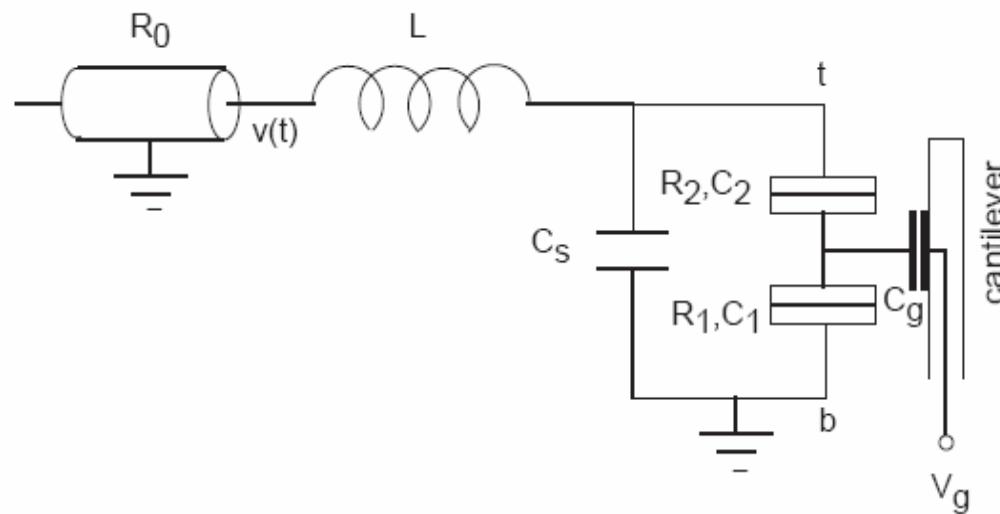
L.P. Kouwenhoven, et al., Nature 430, 431 (2004)



OPC

# SET

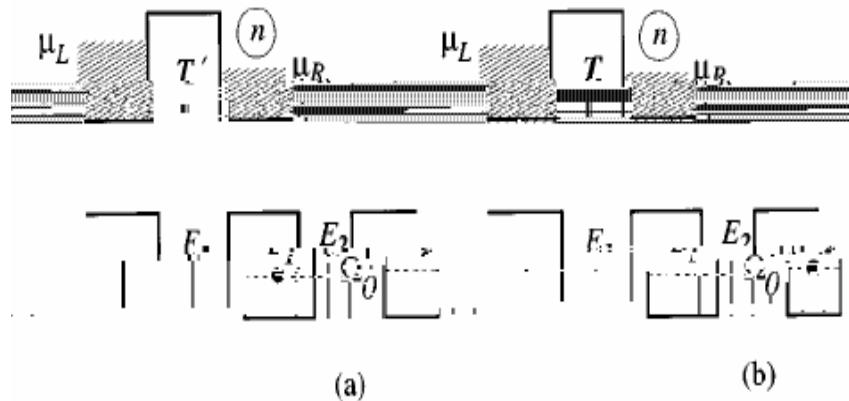
*M. Blencowe / Physics Reports 395 (2004) 159–222*



Circuit diagram of the rf-SET displacement detector.

## QPC/SET

Gurvitz: PRB 56, 15215 (1997)



**Non-trivial points:**

- signal-to-noise ratio
- quantum efficiency of meas.
- quantum trajectory under meas.

Schoen: PRB (98); RMP(00); PRL(02)

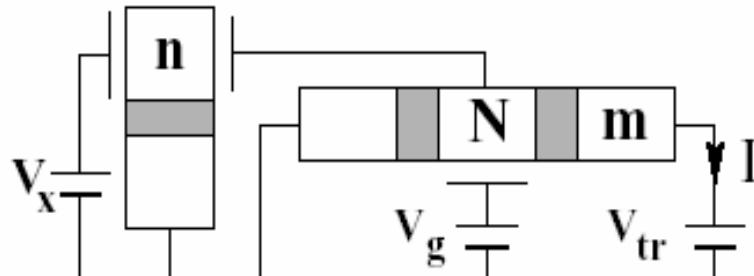


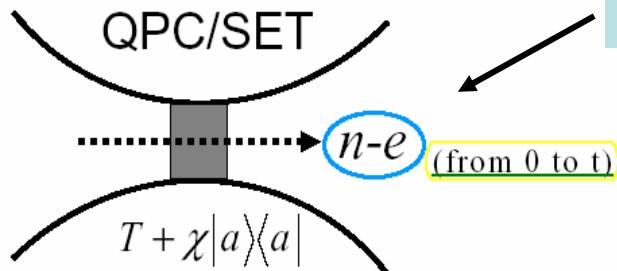
FIG. 1. The circuit of a qubit and a SET used as a meter.



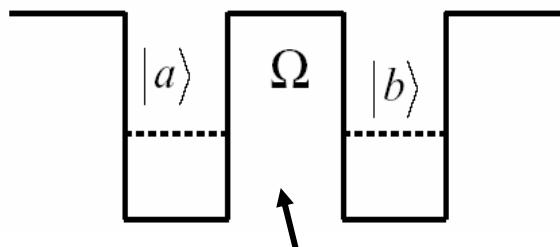
1. Gurvitz *et al.*: “n”-resol ved Bloch Equations  
**PRB 56, 15215 (1997); PRL85, 812 (2000)**  
**PRL89, 018301(2002); PRL91, 066801 (2003)**
2. Goan, Wsemann and Miltzow: quantum trajectory  
**PRB 63, 125326(2001); 64, 235307(2001)**
3. Korotkov, Averin *et al.*: Bayesian Approach  
**PRB 60, 5737 (1999) PRB 63, 085312 (2001)**  
**PRB 64, 165310 (2001) PRB 67, 075303 (2003)**

**Remarks:**

!

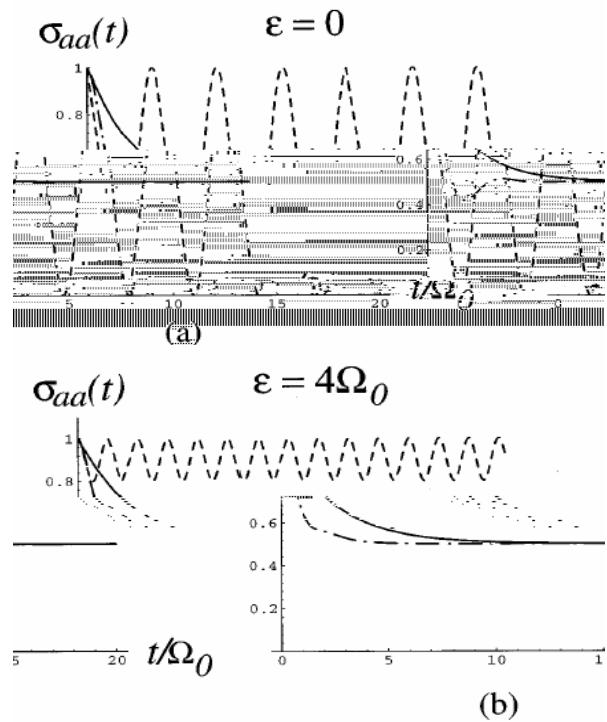
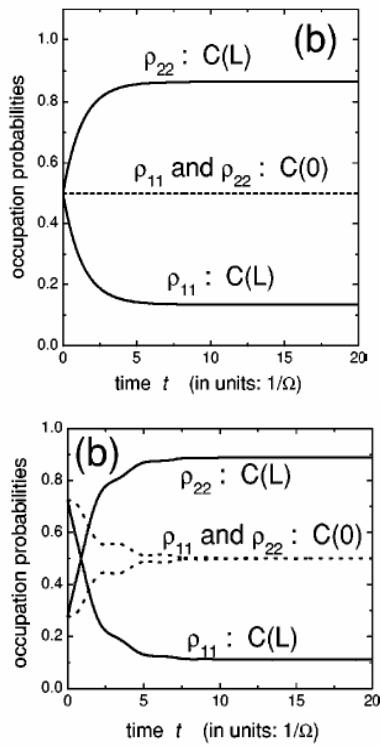
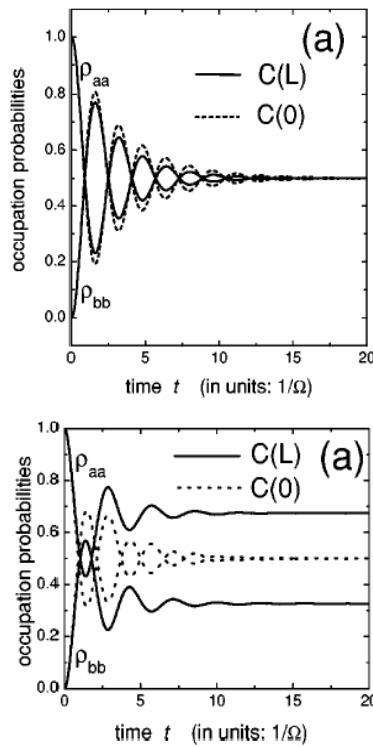


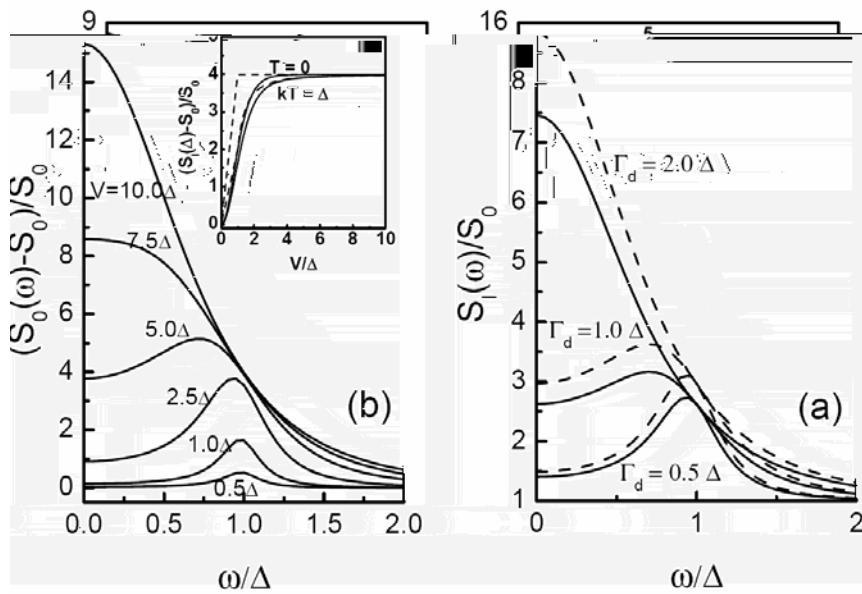
X.Q.Li *et al*, PRB69, 085315 (2004);  
PRL94, 066803 (2005).



$$\dot{\rho}^{(n)} = -i\mathcal{L}\rho^{(n)} - \frac{1}{2} \left\{ [Q\tilde{Q}\rho^{(n)} + \text{H.c.}] - [\tilde{Q}^{(-)}\rho^{(n-1)}Q + \text{H.c.}] \right. \\ \left. - [\tilde{Q}^{(+)}\rho^{(n+1)}Q + \text{H.c.}] \right\}$$

( )

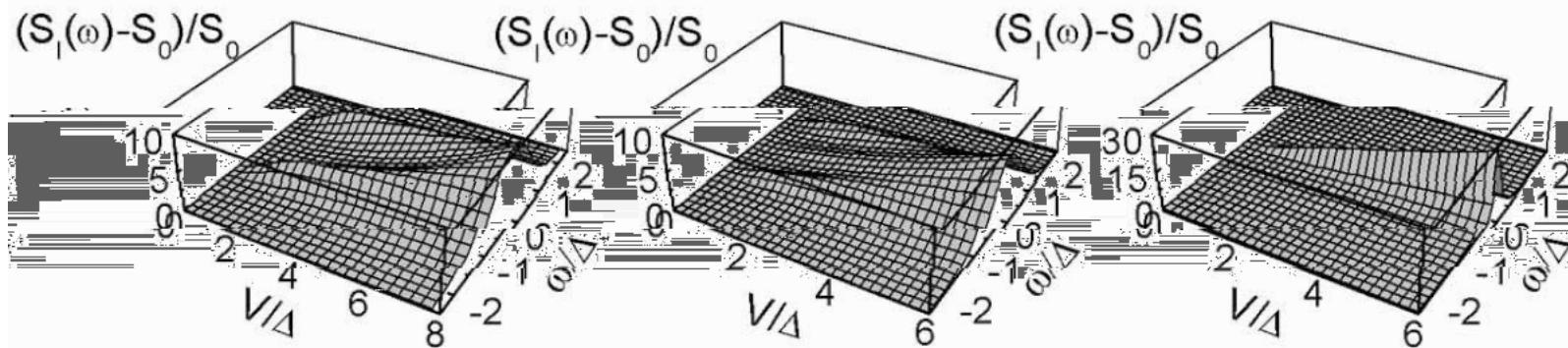




(a)  $\theta = \pi/2$

(b)  $\theta = \pi/3$

(c)  $\theta = \pi/6$





**T. M. Stace and S. D. Barrett,  
Phys. Rev. Lett. 92, 136802 (2004)**

**D.V. Averin and A. N. Korotkov,  
Phys. Rev. Lett. 94, 069701 (2005);  
T. M. Stace and S. D. Barrett,  
Phys. Rev.Lett. 94, 069702 (2005).**

# **Application to qubit measurements:**

**Xin-Qi Li *et al* : Quantum measurement of a solid-state qubit: A unified quantum master equation approach, Phys. Rev. B 69, 085315 (2004)**

**Xin-Qi Li *et al* : Spontaneous Relaxation of a Charge Qubit under Electrical Measurement, Phys. Rev. Lett. 94, 066803 (2005).**

**X.N. Hu *et al*: Quantum measurement of an electron in disordered potential, Phys. Rev. B 73, 035320 (2006).**

**J.S. Jin *et al*: Quantum coherence control of solid-state charge qubit by means of a sub-optimal feedback algorithm, PRB 73, 233302 (2006).**

**S.K. Wang *et al*: Continuous weak measurement and feedback control of a solid-state charge qubit: physical unravelling of non-Lindblad master equation, Phys. Rev. B 75, 155304 (2007).**

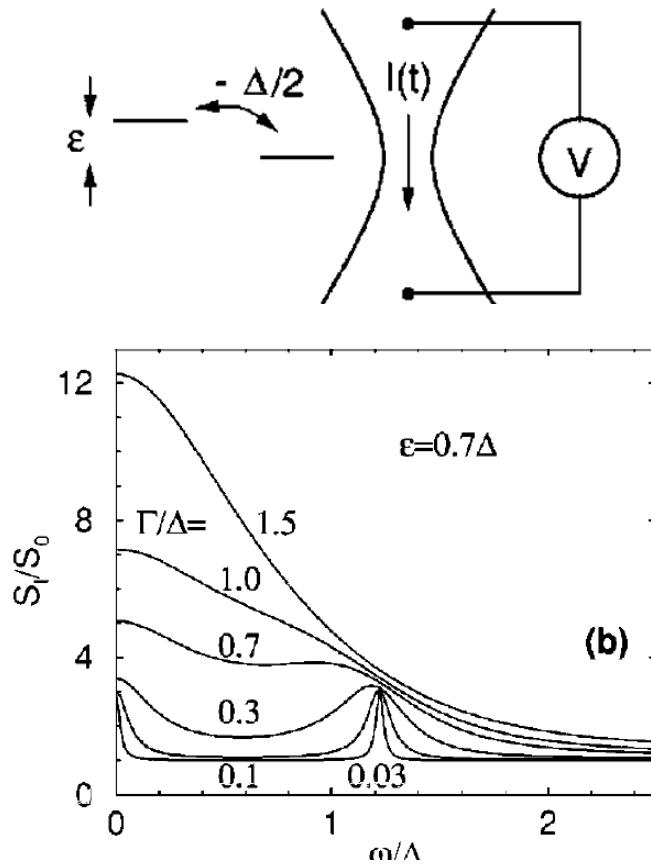
**H.J. Jiao *et al*: Quantum measurement characteristics of double-dot single electron transistor, Phys. Rev. B 75, 155333 (2007).**

# Continuous weak measurement of quantum coherent oscillations

A. N. Korotkov and D. V. Averin

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(Received 22 February 2001; revised manuscript received 8 May 2001; published 5 October 2001)



## K-A bound:

**1)** It is shown that the interplay between the information acquisition and the backaction dephasing of the oscillations by the detector imposes a fundamental limit, equal to “4”, on the signal-to-noise ratio of the measurement.

**2)** The limit is universal, e.g., independent of the coupling strength between the detector and system, and results from the tendency of quantum measurement to localize the system in one of the measured eigenstates.

100

Line

$$O = I$$

$$\gamma_0 = \frac{2\pi m e QI}{\hbar}$$

$$+ (\lambda^2/4) C_0(\omega)$$

$$_{zz}(\tau )=\left\langle \sigma _z(\tau )\right\rangle$$

$$\Omega ^2$$

$$+\omega ^2\Gamma ^2$$

$$S_{\max} = \lambda^2/(2\Gamma) = \hbar^2 \lambda^2 / S_Q$$

$$\lambda = -2 \operatorname{Im} S_{QI} / \hbar$$

$$\hbar^2 \lambda^2 = 4(\operatorname{Im} S_{QI})^2 \leq 4|S_{QI}|^2 \leq 4S_Q S_I$$

$$\mathcal{R} = S_{\max}/S_I \leq 4$$

**The two conditions needed to reach  
the “Heisenberg efficiency”:**

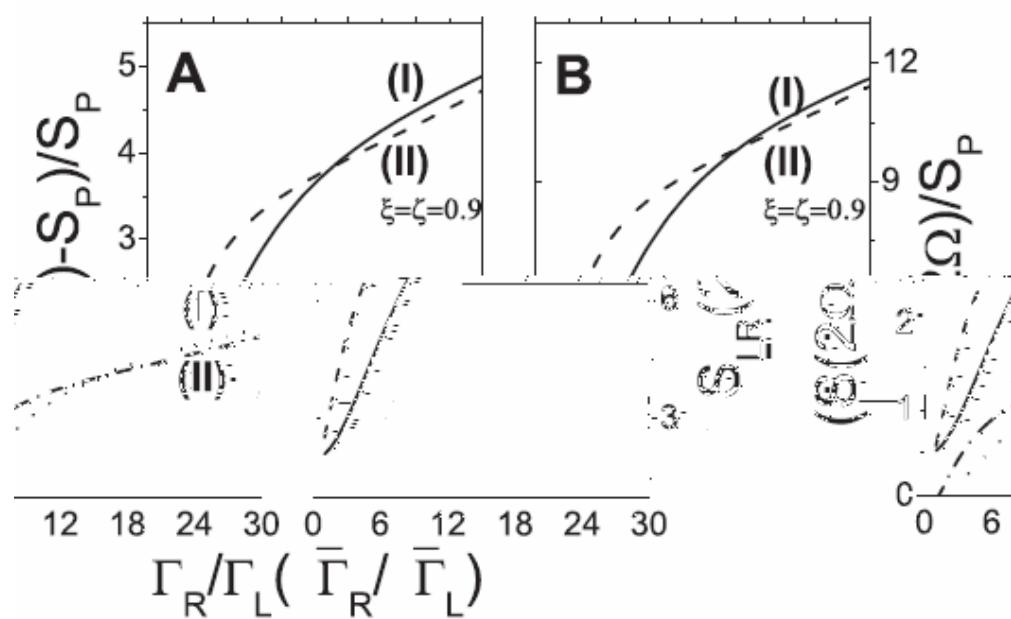
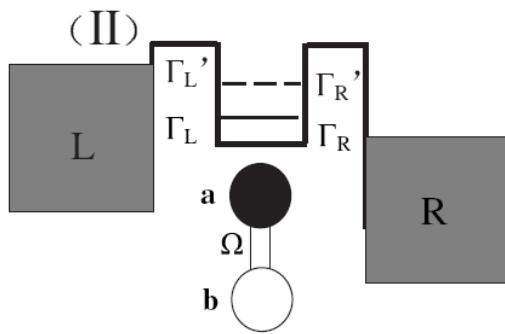
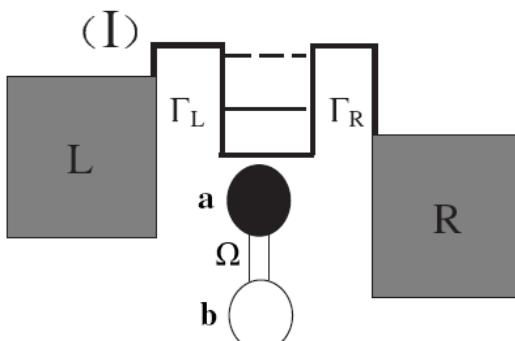
$$(a) \operatorname{Re} S_{QI} = 0, \quad (b) |S_{QI}|^2 = S_Q S_I.$$

**They indicate no lost information either through  
(a) phase or (b) energy averaging**

si ngl e-dot SET  
 SET  
 Si gnal - to- Nbi se Rati o ,

SNR  
 SNR 4

**H.J. Jiao *et al*, “Weak Measurement of Qubit Oscillations with Strong Response Detectors: Violation of the Fundamental Bound Imposed on Linear Detectors”, Phys. Rev. B 79 075320 (2009)**

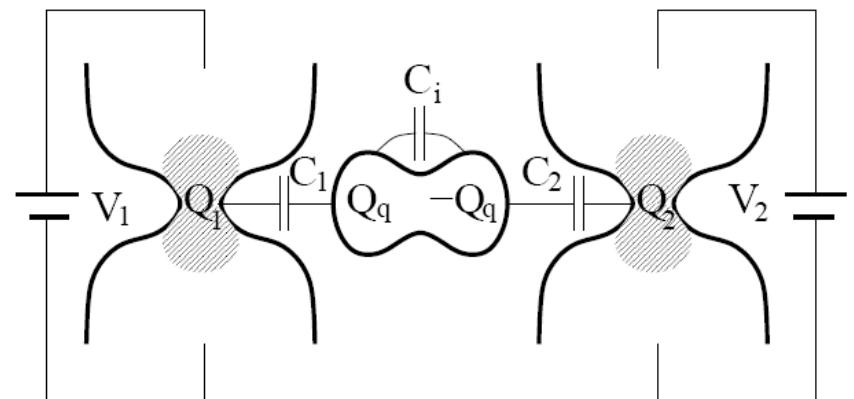
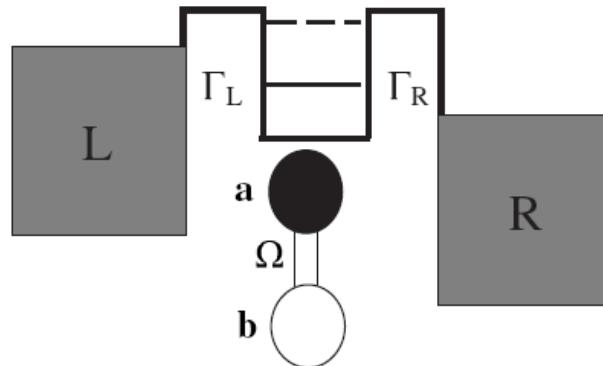


# Understanding the violation of Korotkov-Averin bound

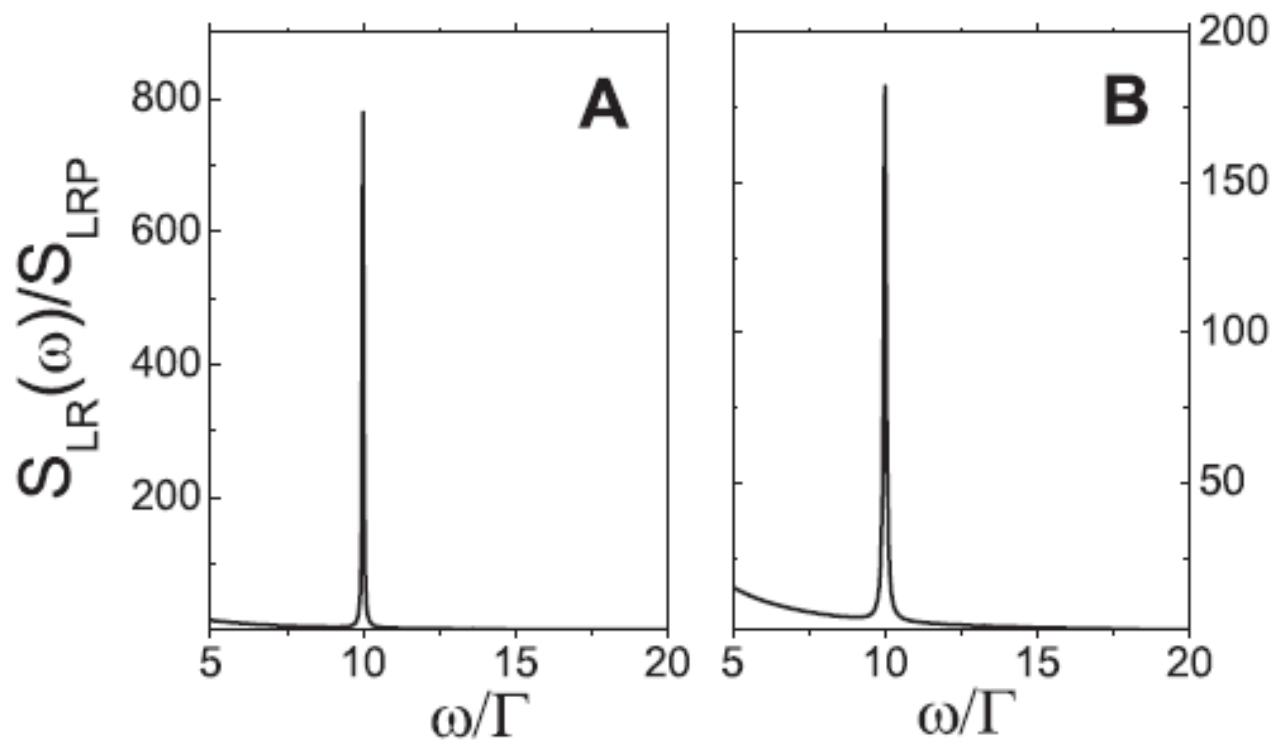
$$I(t) = aI_L(t) + bI_R(t)$$

$$\langle I(t)I(0) \rangle : \quad S_{LR}(t) = \langle I_L(t)I_R(0) + I_R(t)I_L(0) \rangle$$

## Cross correlation function



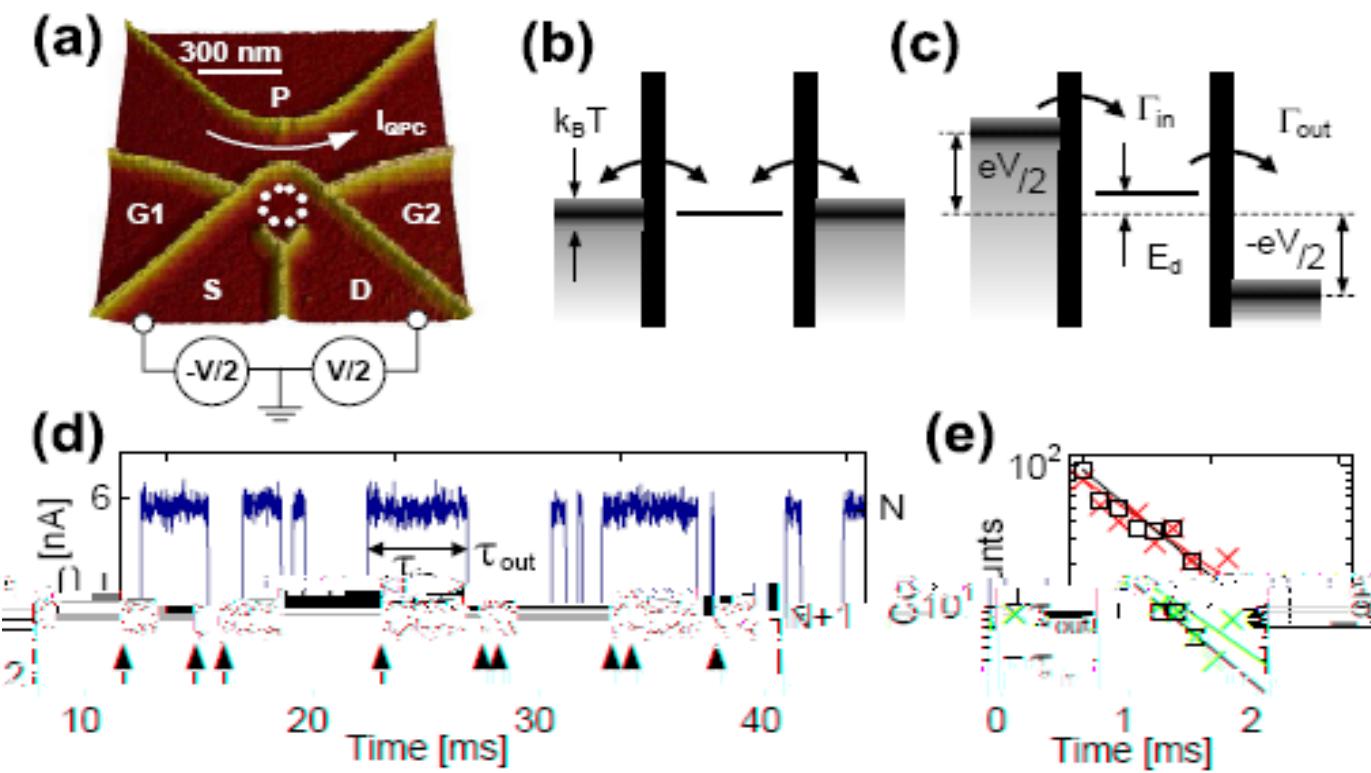
A. N. Jordan and M. Buttiker, Phys. Rev. Lett. 95, 220401 (2005).





K. Ensslin & A.C. Gossard *et al*, PRL **96**, 076605 (2006)

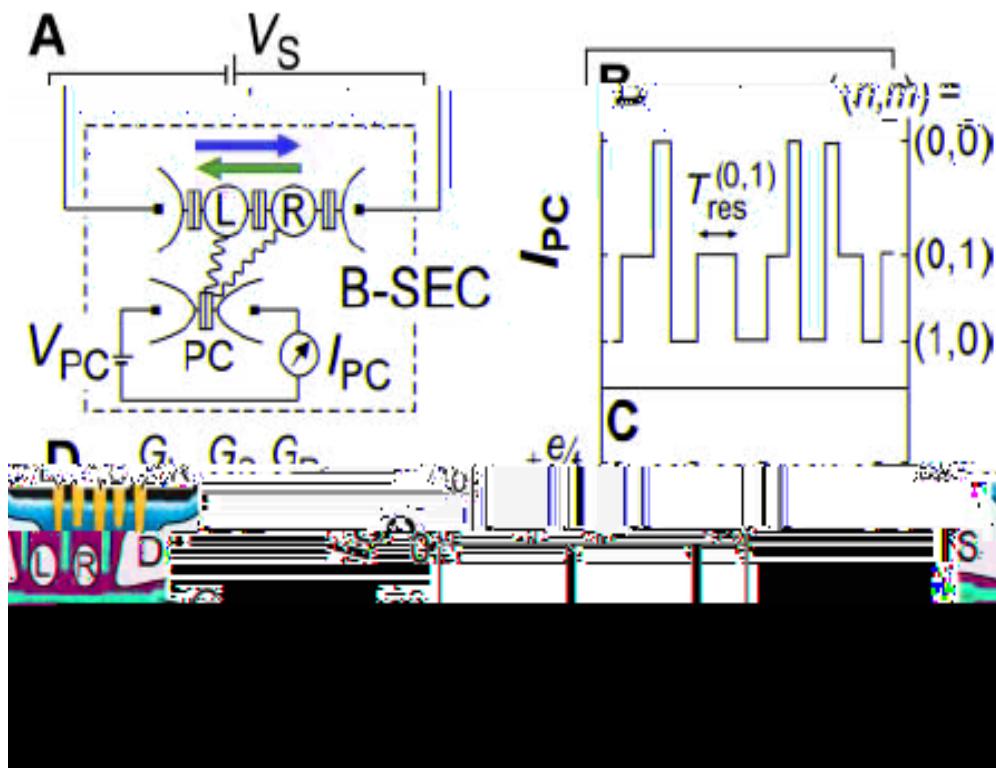
## Counting Statistics of Single Electron Transport in a Quantum Dot



Science 310,  
1634 (2006)

# Bidirectional Counting of Single Electrons

Toshimasa Fujisawa,<sup>1,2\*</sup> Toshiaki Hayashi,<sup>1</sup> Ritsuya Tomita



# Quantum mechanical complementarity Bohm probed in a closed-loop Aharonov- interferometer

YOUNG-LEE<sup>1,4\*</sup>, DONG-IN CHANG<sup>1</sup>, BYOUNG-LUCK KHYM<sup>1,2</sup>, KICHEON KANG<sup>2</sup>, YUNCHUL CHUNG<sup>3\*</sup>, HU-JAE  
MINKY SEO<sup>3</sup>, MOCTY HEIBLUM<sup>3</sup>, DIANA MAHALUF<sup>3</sup> AND VLADIMIR UMANSKY<sup>4</sup>

<sup>1</sup> Department of Physics, Pohang University of Science and Technology, Pohang 790-784, Republic of Korea

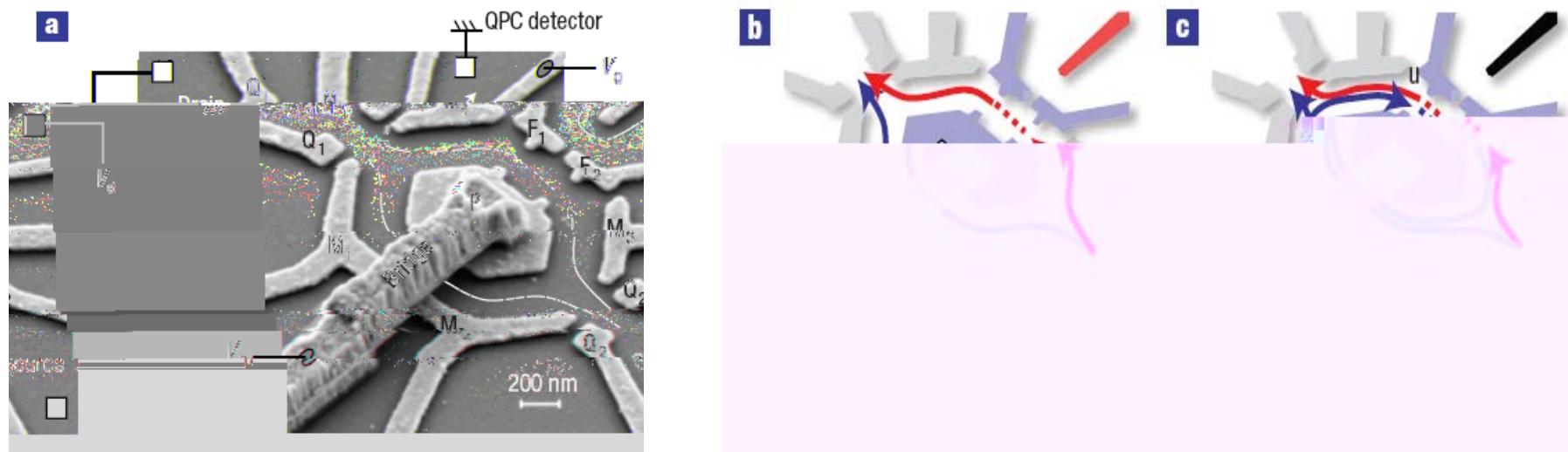
<sup>2</sup> Department of Physics, Chonnam National University, Gwangju 500-757, Republic of Korea

<sup>3</sup> Department of Physics, Pusan National University, Busan 609-735, Republic of Korea

<sup>4</sup> National Center for Nanomaterials Technology, Pohang 790-784, Republic of Korea

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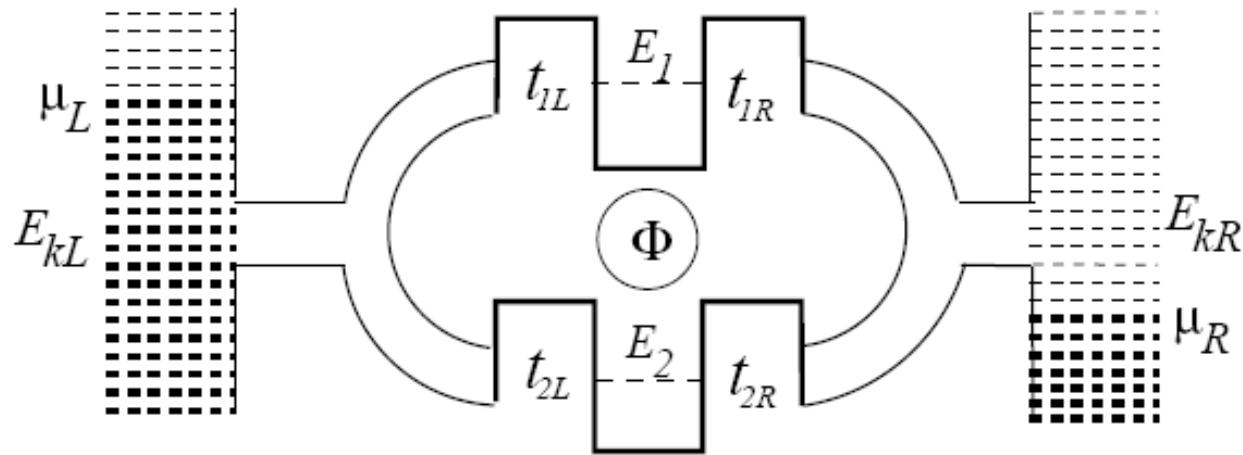


## Application to quantum transport:

- 1 Single and double QDs: *Coulomb staircase, noise spectrum*  
**X.Q. Li et al, PRB 71, 205304 (2005)**  
**J. Y. Luo et al, PRB 76, 085325 (2007)**
- 2 QD coupled to FM electrodes: *spin-dependent current & fluctuations*  
**J. Y. Luo et al, J. Phys.:Condens.Matter 20, 345215 (2008)**
- 3 Transport through parallel quantum dots: *counting statistics, magnetic field switching of current, giant fluctuations of current, harmonic decomposition of the interference pattern*  
**S.K. Wang et al, PRB 76, 125416 (2007)**  
**F. Li, X.Q.Li, W.M. Zhang, and S.A. Gurvitz:  
Europhys. Lett. 88, 37001(2009)**  
**F. Li et al, Physica E 41, 521 (2009)**  
**F. Li et al, Physica E 41, 1707(2009)**

# Quantum Transport through Parallel Quantum Dots

F. Li, X.Q.Li, W.M. Zhang, and S.A. Gurvitz: *Europhys. Lett.* **88**, 37001(2009)



$$H = H_0 + H_T + \sum_{\mu=1,2} E_\mu d_\mu^\dagger d_\mu + U d_1^\dagger d_1 d_2^\dagger d_2$$

$$H_0 = \sum_k [E_{kL} a_{kL}^\dagger a_{kL} + E_{kR} a_{kR}^\dagger a_{kR}]$$

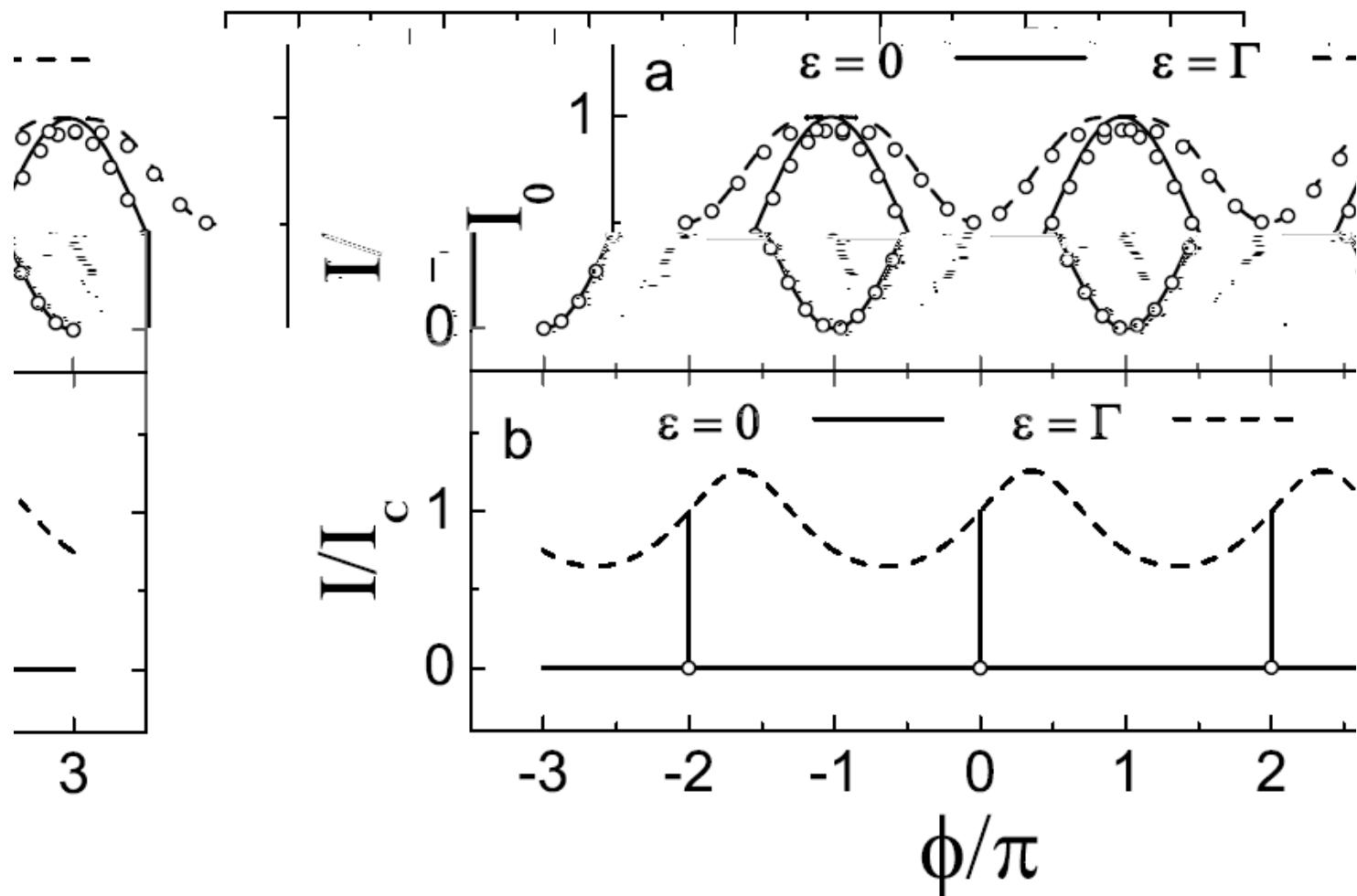
$$H_T = \sum_{\mu,k} \left( t_{\mu L} d_\mu^\dagger a_{kL} + t_{\mu R} a_{kR}^\dagger d_\mu \right) + H.c.$$

$$\begin{aligned} t_{\mu L(R)} &= \bar{t}_{\mu L(R)} e^{i\phi_{\mu L(R)}} \\ \phi_{1L} + \phi_{1R} - \phi_{2L} - \phi_{2R} &= \phi \\ \phi &\equiv 2\pi\Phi/\Phi_0 \end{aligned}$$

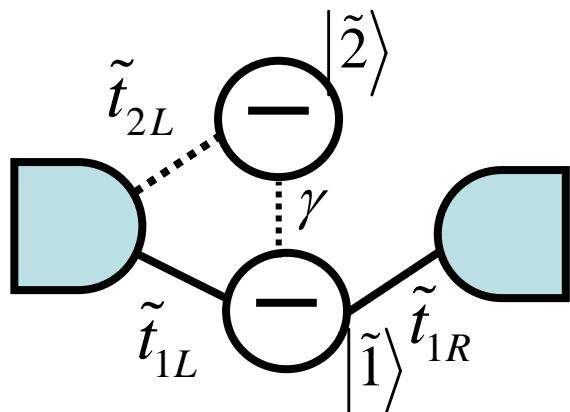
$$\begin{aligned}\dot{\sigma}_{00} &= -2\Gamma_L \sigma_{00} + \Gamma_R (\sigma_{11} + \sigma_{22} + \bar{\sigma}_{12} + \bar{\sigma}_{21}) \\ \dot{\sigma}_{11} &= \Gamma_L \sigma_{00} - \Gamma_R \sigma_{11} - \Gamma_R (\bar{\sigma}_{12} + \bar{\sigma}_{21})/2 \\ \dot{\sigma}_{22} &= \Gamma_L \sigma_{00} - \Gamma_R \sigma_{22} - \Gamma_R (\bar{\sigma}_{12} + \bar{\sigma}_{21})/2 \\ \dot{\bar{\sigma}}_{12} &= e^{i\phi} \Gamma_L \sigma_{00} - \frac{\Gamma_R}{2} (\sigma_{11} + \sigma_{22}) - (i\epsilon + \Gamma_R) \bar{\sigma}_{12}\end{aligned}$$

$$I(\phi)=I_C\frac{\epsilon^2}{\epsilon^2+I_C\left(2\Gamma_R\sin^2\frac{\phi}{2}-\epsilon\sin\phi\right)}$$

$$I_C = 2\Gamma_L\Gamma_R/(2\Gamma_L+\Gamma_R)$$



State basis transformation:



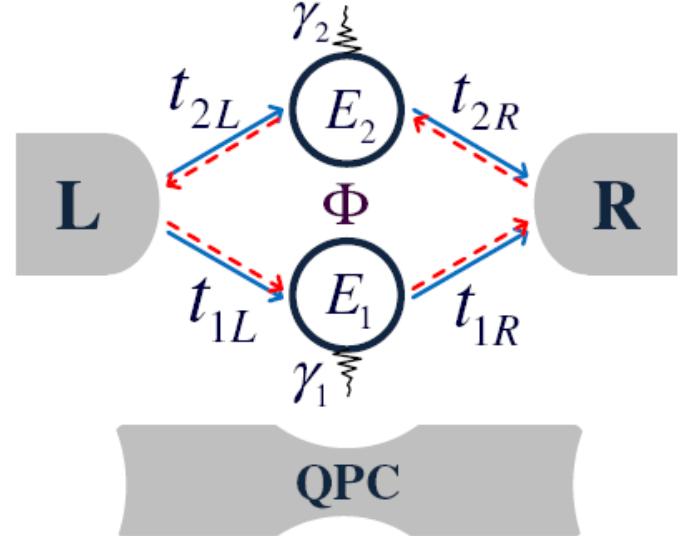
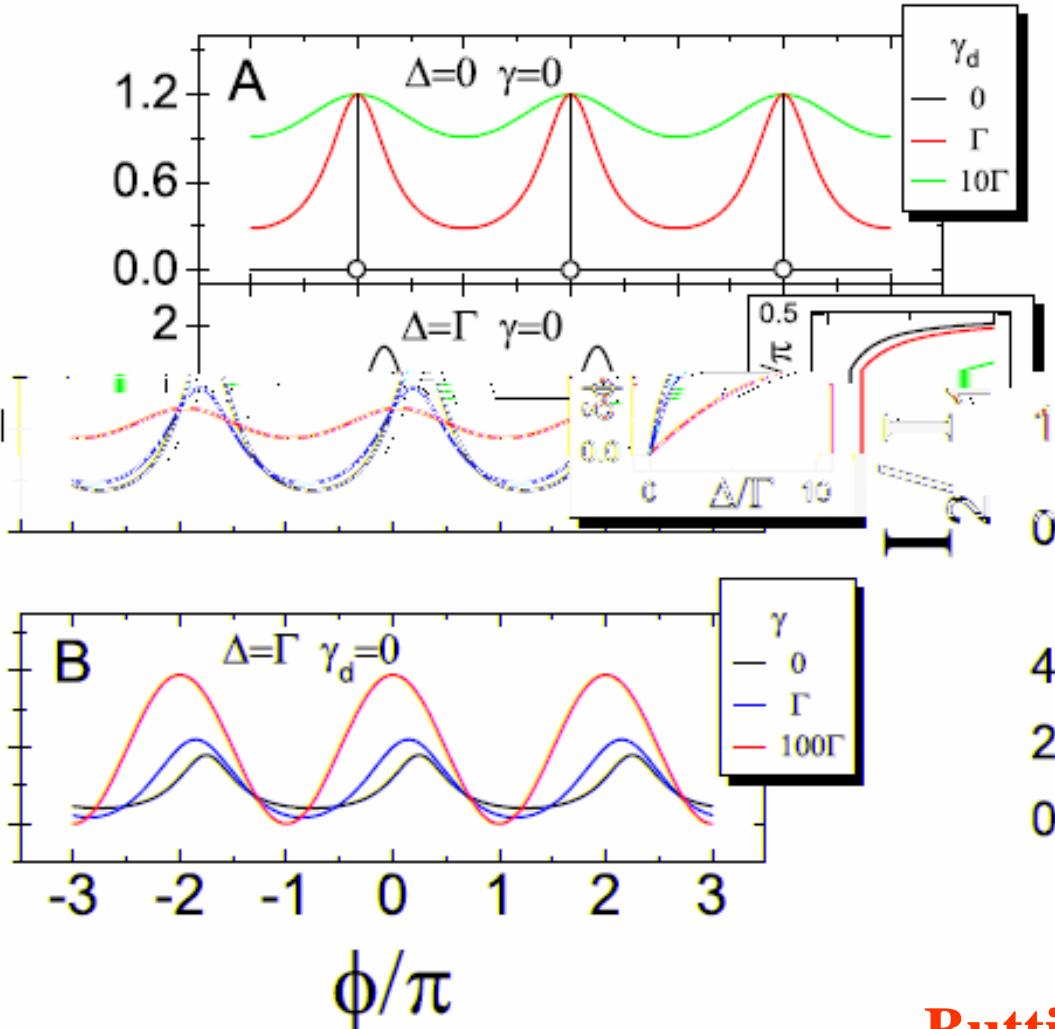
$$\begin{pmatrix} \tilde{d}_1 \\ \tilde{d}_2 \end{pmatrix} = \frac{1}{\mathcal{N}} \begin{pmatrix} t_{1R} & t_{2R} \\ -t_{2R}^* & t_{1R}^* \end{pmatrix} \begin{pmatrix} d_1 \\ d_2 \end{pmatrix}$$

$$\mathcal{N} = (\bar{t}_{1R}^2 + \bar{t}_{2R}^2)^{1/2}$$

$$\gamma = \varepsilon \bar{t}_{1R} \bar{t}_{2R} / (\bar{t}_{1R}^2 + \bar{t}_{2R}^2) \quad (\text{I}) \quad \tilde{t}_{2R} = 0$$

$$\tilde{t}_{2L}(\phi) = -e^{i(\phi_{2L} - \phi_{1R})} (\bar{t}_{1L} \bar{t}_{2R} e^{i\phi} - \bar{t}_{2L} \bar{t}_{1R}) / \mathcal{N}$$

$$(\text{II}) \quad \tilde{t}_{2L} = 0 \text{ for } \phi = 2n\pi \text{ provided that } \bar{t}_{1L}/\bar{t}_{2L} = \bar{t}_{1R}/\bar{t}_{2R}$$



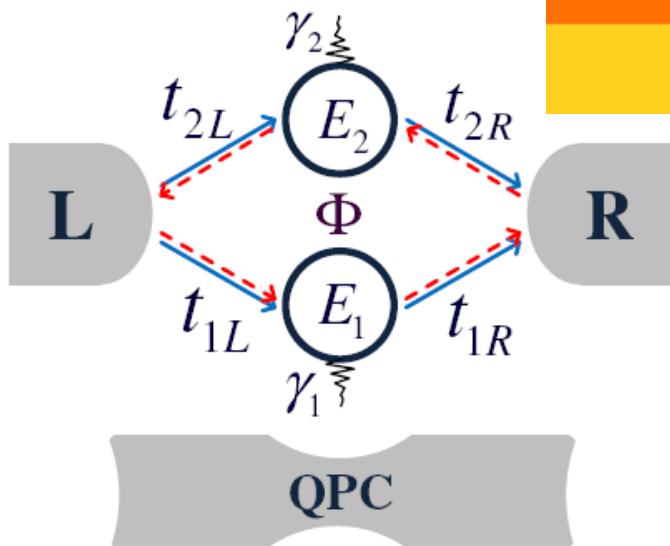
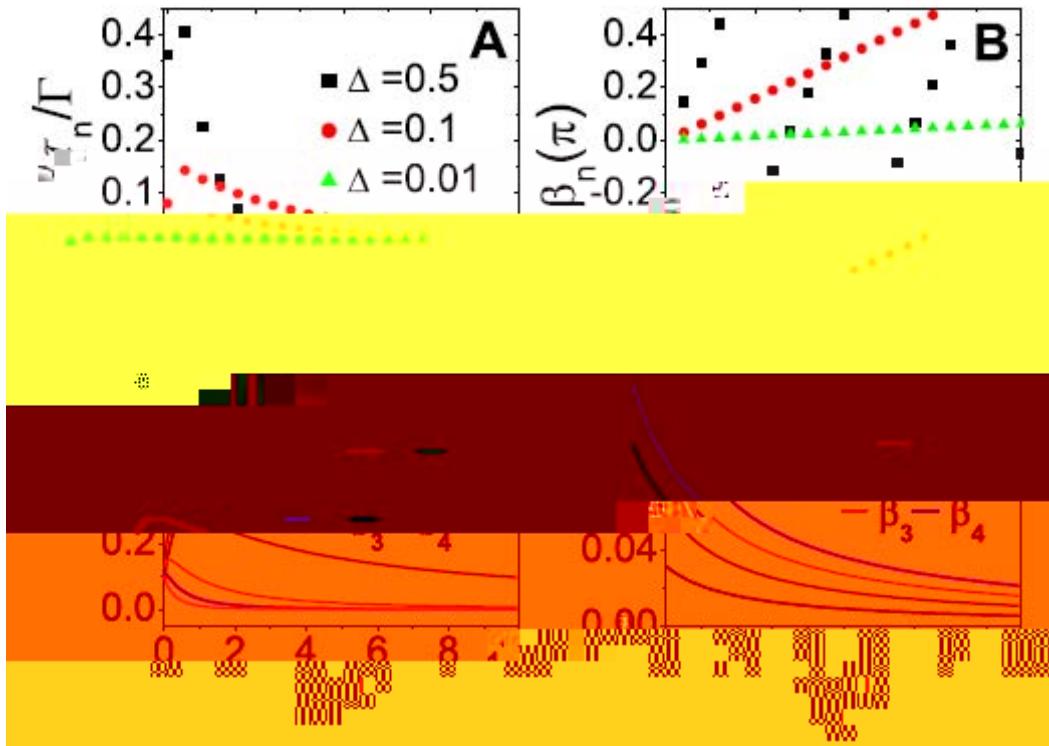
Visibility, dephasing  
Close vs open ...  
Magnetic asymmetry

— Buttiker, PRL 57, 1761 (1986)

Based on current conservation and time-reversal invariance, the Onsager relation, say, the symmetry relation of transport coefficients under inversion of magnetic field, will lock the current peaks at ..., for any two-terminal linear transport.

## Harmonic Analysis

$$I(\phi) = I_0 + \sum_{n=1}^{\infty} I_n \cos(n\phi + \beta_n)$$



$$(1) \quad \beta_n = n\beta_1$$

$$(2) \quad \begin{aligned} \text{1st partial wave} &\propto t_2 e^{i\chi_2} \\ \text{2nd partial wave} &\propto t_1 e^{i\chi_1} t_2^* e^{i\tilde{\chi}_2} t_1 e^{i\tilde{\chi}_1} \end{aligned}$$

# Quantum mechanical complementarity Bohm probed in a closed-loop Aharonov- interferometer

YOUNG-LEE<sup>1,4\*</sup>, DONG-IN CHANG<sup>1</sup>, BYOUNG-LUCK KHYM<sup>1,2</sup>, KICHEON KANG<sup>2</sup>, YUNCHUL CHUNG<sup>3\*</sup>, HU-JAE  
MINKY SEO<sup>3</sup>, MOGY-HEIBLUM<sup>4</sup>, DIANA MAHALUF<sup>4</sup> AND VLADIMIR UMANSKY<sup>4</sup>

<sup>1</sup> Department of Physics, Pohang University of Science and Technology, Pohang 790-784, Republic of Korea

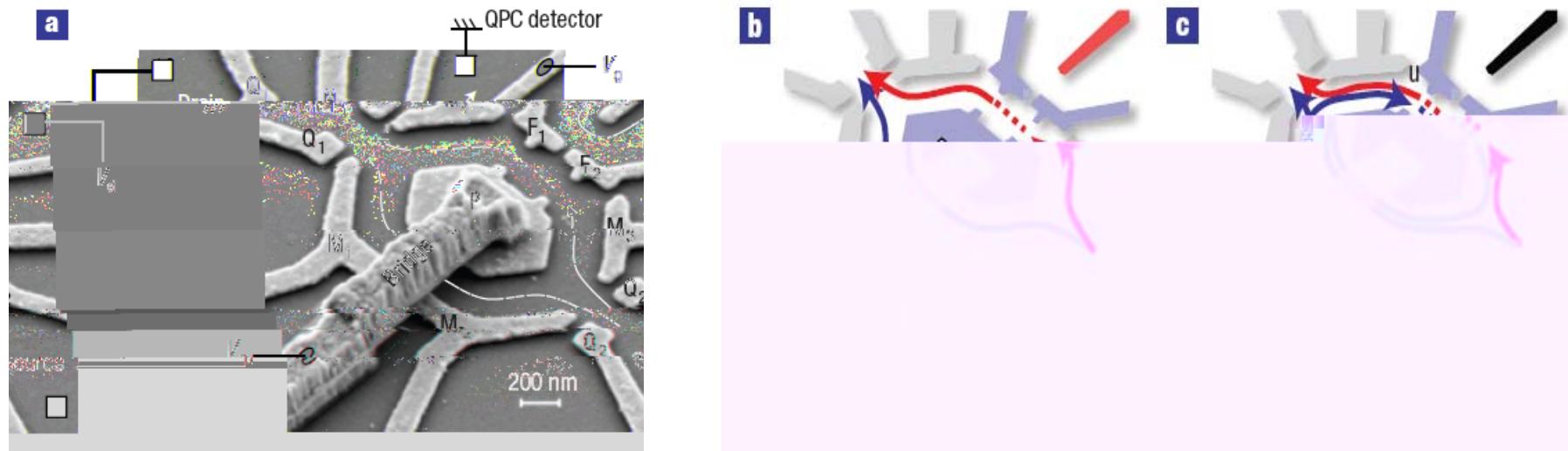
<sup>2</sup> Department of Physics, Chonnam National University, Gwangju 500-757, Republic of Korea

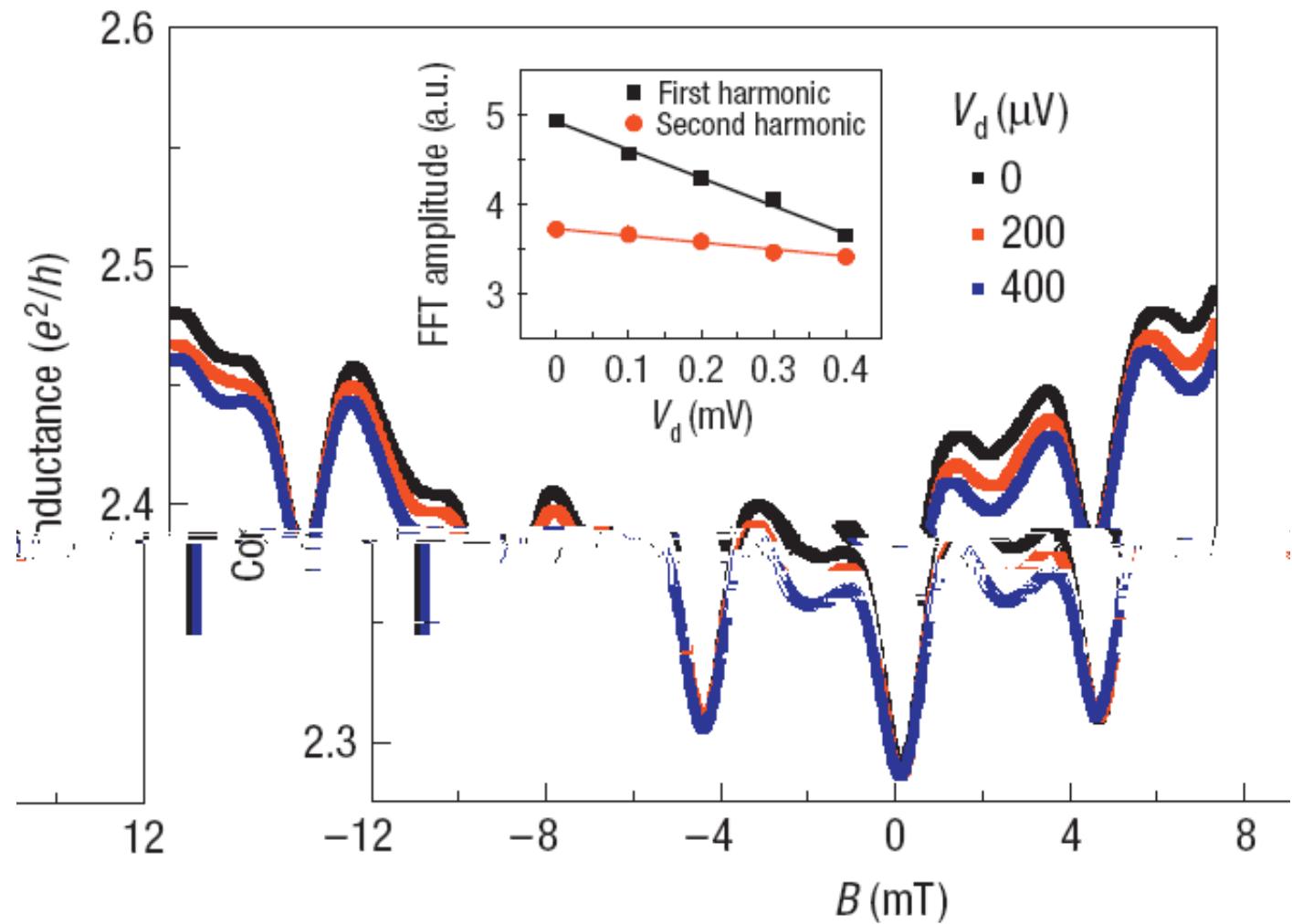
<sup>3</sup> Department of Physics, Pusan National University, Busan 609-735, Republic of Korea

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# Strongly super-Poissonian fluctuations, giant Fano factor

$$S_R(\omega) = \frac{8\Gamma_L\Gamma_R[2\Gamma_L\Gamma_R\Delta^2 - \Delta^4 + 3\Delta^2\omega^2 - 2\omega^2(\Gamma_R^2 + \omega^2)]\bar{I}}{[(2\Gamma_L + \Gamma_R)\Delta^2 - (2\Gamma_L + 2\Gamma_R)\omega^2]^2 + [2(2\Gamma_L\Gamma_R + 2\Gamma_L^2 + \Delta^2)\omega^2]^2} + 2\bar{I}$$

Limiting order:

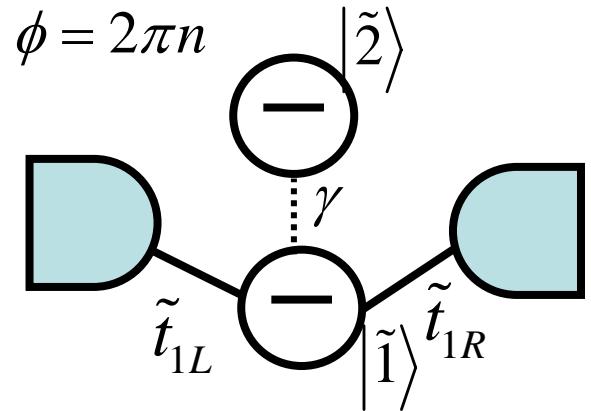
(i) First  $\omega \rightarrow 0$  then  $\Delta \rightarrow 0$

$$F \equiv \frac{S_R(0)}{2\bar{I}} = \frac{8\Gamma_L^2\Gamma_R^2 + (4\Gamma_L^2 + \Gamma_R^2)\Delta^2}{(2\Gamma_L + \Gamma_R)^2\Delta^2}$$

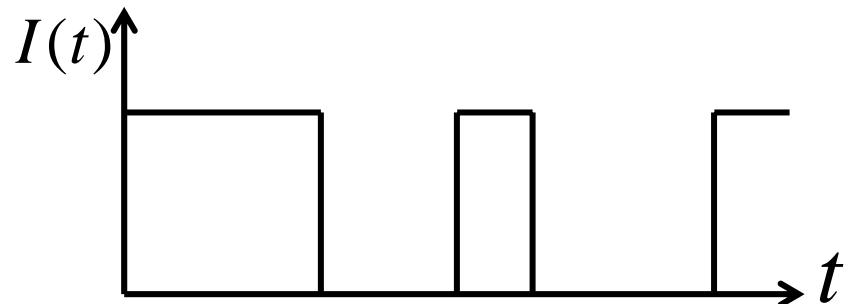
Divergent !!

(ii) First  $\Delta \rightarrow 0$  then  $\omega \rightarrow 0$

$$F = \frac{\Gamma_L^2 + \Gamma_R^2}{(\Gamma_L + \Gamma_R)^2}$$



$$\gamma \propto \Delta = |E_1 - E_2|$$



# Enhanced Shot Noise in Tunneling through a Stack of Coupled Quantum Dots

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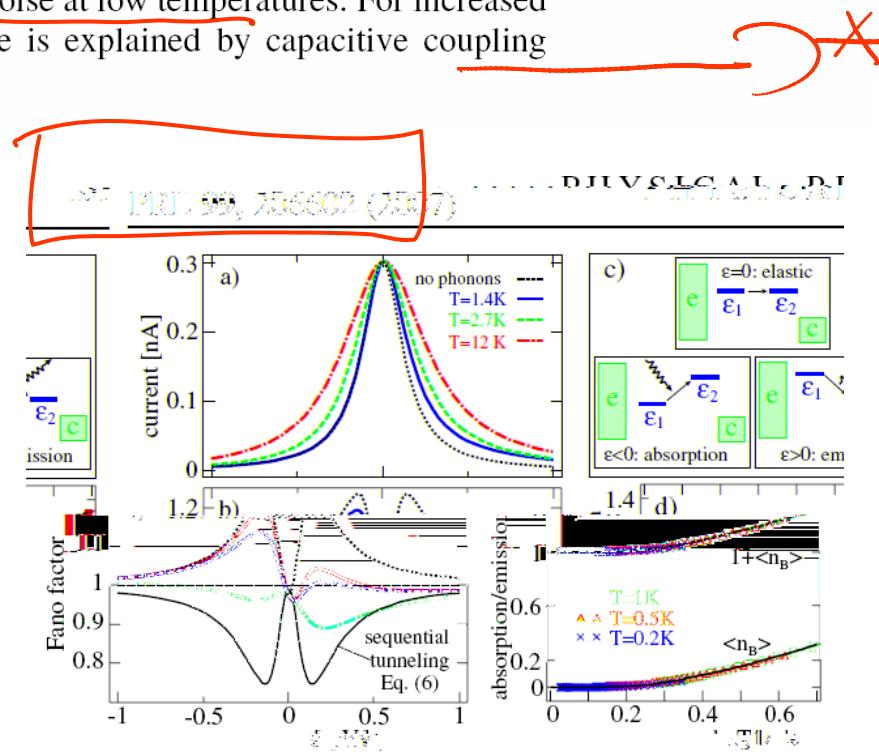
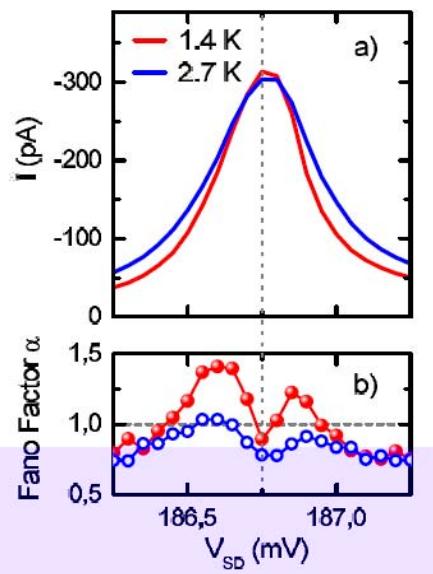
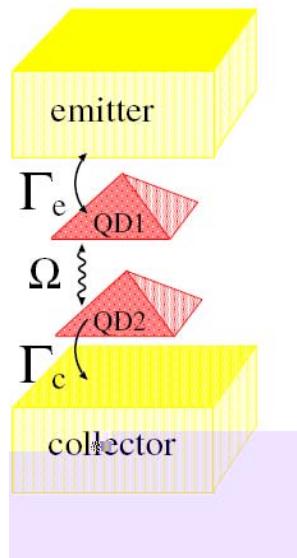
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We have investigated the noise properties of the tunneling current through vertically coupled self-assembled InAs quantum dots. We observe super-Poissonian shot noise at low temperatures. For increased temperature this effect is suppressed. The super-Poissonian noise is explained by capacitive coupling between different stacks of quantum dots.



# Summary

**Master equation approach to quantum transport**

**Quantum measurement of solid-state qubit**

- Example: SET detector, signal-to-noise ratio, etc

**Quantum transport**

- Current fluctuation, counting statistics
- Example: double-dot interferometer